# (Rev. January 2020) Department of the Treasury Internal Revenue Service

Return of Organization Exempt From Income Tax
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

OMB No. 1545-0047

► Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public Inspection

A F	or the	2019 calendar year, or tax year beginning	and	ending	-						
<b>B</b> c	heck if	C Name of organization			D Employer identifi	cation number					
	Addres	NATURAL RESOURCE GOVERN	ANCE INSTITUTE								
	Name change	Doing business as			20-44513	90					
	]Initial return ]Final return/	Number and street (or P.O. box if mail is not delived 80 BROAD STREET		Room/suite 1801	E Telephone number 646-929-9750						
	termin ated	City or town, state or province, country, and Z	G Gross receipts \$	12,651,850.							
	Ameno return	NEW TORK, NI TOUGE		H(a) Is this a group r							
	Applic tion pendir	F Name and address of principal officer:	ETA KAIMAL		for subordinates? Yes X No						
SAME AS C ABOVE H(b) Are all subordinates included? Yes No											
I Tax-exempt status:     Solicities   Solic											
				1	H(c) Group exemption						
			ociation Other	<b>L</b> Year	of formation: 2006	M State of legal domicile: DC					
Га		Summary	innificant cations SFF	CCHEDII	II.F O						
ce	1	Briefly describe the organization's mission or most s	significant activities: DEE	BCIIEDO	пв О	_					
Activities & Governance	2	2 Check this box if the organization discontinued its operations or disposed of more than 25% of its net asse									
ver		Number of voting members of the governing body (F			3	14					
G		Number of independent voting members of the government of the gove			4	14					
S &		Total number of individuals employed in calendar ye				32					
νitie		Total number of volunteers (estimate if necessary)				16					
cti	7 a	Total unrelated business revenue from Part VIII, colu				0.					
1		Net unrelated business taxable income from Form 9				0.					
					Prior Year	Current Year					
Revenue	8	Contributions and grants (Part VIII, line 1h)			16,317,552.	12,559,829.					
					340,337.						
Rev		Investment income (Part VIII, column (A), lines 3, 4,			1,531.						
_		Other revenue (Part VIII, column (A), lines 5, 6d, 8c,			5,027.						
		Total revenue - add lines 8 through 11 (must equal F			16,664,447. 3,973,040.						
		Grants and similar amounts paid (Part IX, column (A			3,973,040.	3,045,503.					
		Benefits paid to or for members (Part IX, column (A)			5,322,760.	4,720,992.					
Expenses		Salaries, other compensation, employee benefits (Partis) Professional fundraising fees (Part IX, column (A), lir			0.	0.					
pen	h	Total fundraising expenses (Part IX, column (D), line	25) > 382.8	53.	<u> </u>	3,					
Ĕ	17	Other expenses (Part IX, column (A), lines 11a-11d,	11f-24e)		6,923,203.	5,447,520.					
		Total expenses. Add lines 13-17 (must equal Part IX			16,219,003.						
	19	Revenue less expenses. Subtract line 18 from line 1			445,444.	-562,165.					
t Assets or nd Balances				Ве	ginning of Current Year	End of Year					
sets alan	20	Total assets (Part X, line 16)			10,258,903.	9,346,807.					
it As Id B	21	Total liabilities (Part X, line 26)			1,755,771.						
Fund		Net assets or fund balances. Subtract line 21 from li	ine 20		8,503,132.	7,726,983.					
	ırt II	Signature Block									
		lties of perjury, I declare that I have examined this return, in t, and complete. Declaration of preparer (other than officer				y knowledge and belief, it is					
uue,	COLLEC	i, and complete. Declaration of preparer (other than officer)	) is based on an information of wi	nicii preparei	lias any knowledge.						
Sigr		Signature of officer			I Date						
			PRESIDENT AND	CEO							
Here SUNEETA KAIMAL, INTERIM PRESIDENT AND CEO Type or print name and title											
		Print/Type preparer's name	Preparer's signature	10	Date Check	PTIN					
Paid	I	LAUREN CRESCI	. •		if self-employ	P01268493					
Prep	arer	Firm's name LUTZ AND CARR, CF			Firm's EIN	13-1655065					
Use	Only	Firm's address 551 FIFTH AVENUE,									
		NEW YORK, NY 1017	16		Phone no.21	2-697-2299					
Mav	the IF	RS discuss this return with the preparer shown above	e? (see instructions)			X Yes No					

Pai	Statement of Program Service Accomplishments  Check if Schedule O contains a response or note to any line in this Part III
1	Briefly describe the organization's mission:
-	SEE SCHEDULE O
2	Did the organization undertake any significant program services during the year which were not listed on the
	prior Form 990 or 990-EZ?
	If "Yes," describe these new services on Schedule O.
3	Did the organization cease conducting, or make significant changes in how it conducts, any program services? Yes X No
4	If "Yes," describe these changes on Schedule O.
4	Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and
	revenue, if any, for each program service reported.
 4а	(Code:) (Expenses \$ 3,945,177. including grants of \$ 1,391,043.) (Revenue \$ 90,448.)
	TRAINING AND CAPACITY DEVELOPMENT
	CAPACITY DEVELOPMENT AND TECHNICAL ASSISTANCE GO HAND IN HAND AS PART
	OF NRGI'S COMMITMENT TO FACILITATING EFFECTIVE POLICY DECISIONS WHILE
	SIMULTANEOUSLY BUILDING LONG-TERM CAPACITY.
	IN CAPACITY DEVELOPMENT, OUR EFFORTS INCLUDE SUBSTANTIAL COMMITMENTS TO
	INNOVATIVE TRAINING PROGRAMS.
	IN TRAINING, WE RECOGNIZE THAT DEVELOPING CAPACITY OVER TIME REQUIRES
	FOLLOW UP, MENTORSHIP, AND ACTIVITIES EMBEDDED IN THE FABRIC OF THE
	WORK THAT PARTNERS ARE CARRYING OUT EVERY DAY.
4b	(Code:) (Expenses \$ 1,932,284 • including grants of \$ 709,449 • ) (Revenue \$)
	RESEARCH
	KNOWLEDGE PRODUCTS: OVER THE YEARS, NRGI HAS DEVELOPED CORE KNOWLEDGE
	PRODUCTS, INCLUDING FLAGSHIP PRODUCTS LIKE THE RESOURCE GOVERNANCE INDEX (RGI) AND THE NATURAL RESOURCE CHARTER.
	LOCAL AND GLOBAL POLICY THEMES: NRGI ALSO CARRIED OUT RESEARCH AND
	ANALYSIS ON KEY THEMES IMPACTING GOVERNANCE OF NATURAL RESOURCES IN OUR
	TARGET COUNTRIES, TO INFLUENCE POLICY DECISIONS AND SUPPORT THE
	AMBITIONS OF OUR PARTNERS.
	OPEN DATA AND ANALYTICAL TOOLS: AS THE GLOBAL TRANSPARENCY MOVEMENT
	CONTINUES TO BEAR FRUIT, IT HAS PRODUCED A TROVE OF NEW PUBLIC
	INFORMATION THAT MAY REDUCE INFORMATION ASYMMETRIES, INFORM POLICY AND
	IMPROVE CITIZEN OVERSIGHT.
4c	(Code:) (Expenses \$ 1,775,585. including grants of \$607,763. ) (Revenue \$) INTERNATIONAL ADVOCACY
	2019'S WORK CONTINUED IN THE LINE OF OUR PREVIOUS THEMATIC ENGAGEMENTS.
	THESE KEY ISSUES FALL UNDER FOUR ADVOCACY PRIORITIES THAT NRGI
	IDENTIFIED AS PART OF ITS STRATEGIC PLAN:
	-CONTRACT TRANSPARENCY
	-PROMOTION OF OPEN DATA STANDARDS
	-GOVERNANCE OF STATE-OWNED ENTERPRISES
	-MANDATORY PAYMENT DISCLOSURE STANDARDS
	-BENEFICIAL OWNERSHIP
	-COMMODITY TRADING
4d	Other program services (Describe on Schedule O.)
	(Expenses \$ 2,055,208 • including grants of \$ 337,248 •) (Revenue \$ )
4e	Total program service expenses ▶ 9,708,254.
	Form <b>990</b> (2019)

# Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?			
	If "Yes," complete Schedule A	1	X	<u> </u>
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	Х	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for			3,7
	public office? If "Yes," complete Schedule C, Part I	3		X
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4	Х	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or			
J	similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5		х
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to			
	provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space,			
	the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8		х
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for			
	amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services?			
	If "Yes," complete Schedule D, Part IV	9		X
10	Did the organization, directly or through a related organization, hold assets in donor-restricted endowments or in quasi endowments? If "Yes," complete Schedule D, Part V	10		x
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X			
	as applicable.			
а	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D,		37	
	Part VI	11a	Х	
b	Did the organization report an amount for investments - other securities in Part X, line 12, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	116		x
•	Did the organization report an amount for investments - program related in Part X, line 13, that is 5% or more of its total	11b		
·	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c		х
d	Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in			
	Part X, line 16? If "Yes," complete Schedule D, Part IX	11d		Х
е	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e	Х	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses			
	the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f		X
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	12a		х
b	Was the organization included in consolidated, independent audited financial statements for the tax year?			
	If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b	Х	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	Х	
b				
	investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000		37	
	or more? If "Yes," complete Schedule F, Parts I and IV	14b	X	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15	Х	
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to			<u> </u>
	or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16		X
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX,	ا ــــــا		v
40	column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I	17		X
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines	18		х
19	1c and 8a? If "Yes," complete Schedule G, Part II  Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes,"	10		
19	complete Schedule G, Part III	19		x
20a	Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a		X
b		20b		
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or			
	domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21		Х

# Form 990 (2019) NATURAL RESOURCE G Part IV Checklist of Required Schedules (continued)

	officering of regarded contained			T
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on		Yes	No
	Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22		х
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current			
	and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete			
	Schedule J	23	X	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the			
	last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete			v
	Schedule K. If "No," go to line 25a	24a		X
	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		_
C	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease	24c		
d	any tax-exempt bonds?  Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit			
	transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		Х
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and			
	that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete			
	Schedule L, Part I	25b		X
26	Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current			
	or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35%			v
07	controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II	26		X
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled			
	entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III	27		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV			
	instructions, for applicable filing thresholds, conditions, and exceptions):			
а	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If			
	"Yes," complete Schedule L, Part IV	28a		X
	A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV	28b		Х
С	A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b?//			77
	"Yes," complete Schedule L, Part IV	28c		X
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29		
30		30		х
31	contributions? If "Yes," complete Schedule M  Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete	-		
	Schedule N, Part II	32		Х
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations			
	sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33		X
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and		١	
	Part V, line 1	34	X	Х
	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		
D	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization?	330		
-	If "Yes," complete Schedule R, Part V, line 2	36		Х
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization			
	and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		Х
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?			
D .	Note: All Form 990 filers are required to complete Schedule O	38	X	
Pa	rt V Statements Regarding Other IRS Filings and Tax Compliance			T
	Check if Schedule O contains a response or note to any line in this Part V		Yes	No
12	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable 1a 27		res	140
b	The state of the s			
	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming			
	(gambling) winnings to prize winners?	1c		

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Form **990** (2019)

#### Statements Regarding Other IRS Filings and Tax Compliance (continued) Part V

			Yes	No					
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements,								
	filed for the calendar year ending with or within the year covered by this return 2a 32								
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b	X						
	Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)								
За	Did the organization have unrelated business gross income of \$1,000 or more during the year?	За		X					
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule O	3b							
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a								
	financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	X						
b	If "Yes," enter the name of the foreign country ► SEE SCHEDULE O								
_	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).	_		v					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a 5b		X					
	<b>b</b> Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?								
	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c							
oa	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a		х					
h	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts	Ua							
	were not tax deductible?	6b							
7	Organizations that may receive deductible contributions under section 170(c).	0.0							
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a		х					
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b							
С	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required								
	to file Form 8282?	7c		Х					
d	If "Yes," indicate the number of Forms 8282 filed during the year								
е	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		X					
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		Х					
g									
h	, , , , , , , , , , , , , , , , , , , ,								
8	,								
_	sponsoring organization have excess business holdings at any time during the year?								
9									
a	Did the sponsoring organization make any taxable distributions under section 4966?	9a 9b							
10	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?  Section 501(c)(7) organizations. Enter:	90							
а	Initiation fees and capital contributions included on Part VIII, line 12								
	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b								
11	Section 501(c)(12) organizations. Enter:								
а	Gross income from members or shareholders								
b	Gross income from other sources (Do not net amounts due or paid to other sources against								
	amounts due or received from them.)								
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a							
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year								
13	Section 501(c)(29) qualified nonprofit health insurance issuers.								
а	Is the organization licensed to issue qualified health plans in more than one state?	13a							
	Note: See the instructions for additional information the organization must report on Schedule O.								
b	Enter the amount of reserves the organization is required to maintain by the states in which the								
	organization is licensed to issue qualified health plans 13b								
	Enter the amount of reserves on hand  Did the exemplation receive any payments for indeed temping any local during the tay year?	44		X					
14a	7, 7	14a							
	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation on Schedule O	14b							
IJ	15 Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or								
	excess parachute payment(s) during the year?	15		X					
16	Is the organization an educational institution subject to the section 4968 excise tax on net investment income?	16		Х					
. •	If "Yes," complete Form 4720, Schedule O.								
		Гания	990	(0040					

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions.

_	Check if Schedule O contains a response or note to any line in this Part VI				X			
Sec	tion A. Governing Body and Management							
				Yes	No			
1a	Enter the number of voting members of the governing body at the end of the tax year	1a 14	¥					
	If there are material differences in voting rights among members of the governing body, or if the governing							
	body delegated broad authority to an executive committee or similar committee, explain on Schedule 0.							
b	Enter the number of voting members included on line 1a, above, who are independent	1b 14	<u>L</u>					
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationsh	ip with any other						
	officer, director, trustee, or key employee?		2		X			
3	Did the organization delegate control over management duties customarily performed by or under the	ne direct supervision			x			
	of officers, directors, trustees, or key employees to a management company or other person?							
4	$\label{eq:decomposition} Did the organization make any significant changes to its governing documents since the prior Form \\$	990 was filed?	4		Х			
5	Did the organization become aware during the year of a significant diversion of the organization's as	sets?	5		Х			
6	Did the organization have members or stockholders?		6		Х			
7a	Did the organization have members, stockholders, or other persons who had the power to elect or a	ppoint one or						
	more members of the governing body?		7a		X			
b	Are any governance decisions of the organization reserved to (or subject to approval by) members,	stockholders, or						
	persons other than the governing body?		7b		X			
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year	ar by the following:						
а	The governing body?		8a	Х				
b	Each committee with authority to act on behalf of the governing body?		8b	X				
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be re	ached at the						
	organization's mailing address? If "Yes," provide the names and addresses on Schedule O		9		X			
Sec	tion B. Policies (This Section B requests information about policies not required by the Internal F	Revenue Code.)						
				Yes	No			
10a	Did the organization have local chapters, branches, or affiliates?		10a	X				
b	If "Yes," did the organization have written policies and procedures governing the activities of such of	hapters, affiliates,						
	and branches to ensure their operations are consistent with the organization's exempt purposes? $\ _{\cdot}$		10b	X				
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?							
b	<b>b</b> Describe in Schedule O the process, if any, used by the organization to review this Form 990.							
12a	a Did the organization have a written conflict of interest policy? If "No," go to line 13							
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give ris	e to conflicts?	12b	X				
С	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "	es," describe						
	in Schedule O how this was done		12c	X				
13	Did the organization have a written whistleblower policy?		13	X				
14	Did the organization have a written document retention and destruction policy?		14	Х				
15	Did the process for determining compensation of the following persons include a review and approve	al by independent						
	persons, comparability data, and contemporaneous substantiation of the deliberation and decision?	)						
	The organization's CEO, Executive Director, or top management official		15a	X				
b	Other officers or key employees of the organization		15b	Х				
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).							
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrange	ment with a						
	taxable entity during the year?		16a		X			
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate	ate its participation						
	in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organic	ınization's						
	exempt status with respect to such arrangements?		16b					
Sec	tion C. Disclosure							
17	List the states with which a copy of this Form 990 is required to be filed ▶ NY							
18	Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, a	and 990-T (Section 501(c)(	3)s only	/) avail	able			
	for public inspection. Indicate how you made these available. Check all that apply.							
	X Own website X Another's website X Upon request Other (explain	n on Schedule O)						
19 Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and fi								
	statements available to the public during the tax year.							
20	State the name, address, and telephone number of the person who possesses the organization's be	ooks and records 🕨						
	FEI ZHENG - 718-395-5149							
	80 BROAD STREET, SUITE 1801, NEW YORK, NY 10004							

# Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

#### Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
  - List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

ot Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

See instructions for the order in which to list the persons above.

(A)	(B)	0.90			C)			(D)	(E)	(F)
Name and title	Average hours per week	box	not c	heck ss pe	more rson	than is bot or/trus	h an	Reportable compensation from	Reportable compensation from related	Estimated amount of other
	(list any hours for related organizations below line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	the organization (W-2/1099-MISC)	organizations (W-2/1099-MISC)	compensation from the organization and related organizations
(1) ERNESTO ZEDILLO	1.00	ļ		l						4 4 7 0
CHAIRMAN	1 00	Х		Х				0.	0.	1,178.
(2) SMITA SINGH	1.00	۱		١						4 4 5 6
VICE CHAIRMAN	1 00	Х		Х				0.	0.	1,178.
(3) ALAN DETHERIDGE	1.00	۱		١						4 4 5 6
TREASURER & SECRETARY		Х		Х				0.	0.	1,178.
(4) ERNEST ARYEETEY	1.00	۱								4 4 7 0
DIRECTOR	1 00	Х						0.	0.	1,178.
(5) PAUL COLLIER	1.00	۱								4 4 7 0
DIRECTOR	1 00	Х						0.	0.	1,178.
(6) BENNETT FREEMAN	1.00	١								1 1 1 17 0
DIRECTOR	1 00	Х						0.	0.	1,178.
(7) SEAN HINTON	1.00	١								1 1 1 17 0
DIRECTOR	1 00	Х						0.	0.	1,178.
(8) YULI ISMARTONO	1.00	١,,								1 170
DIRECTOR	1 00	Х						0.	0.	1,178.
(9) WARREN KRAFCHIK	1.00	١,,								1 170
DIRECTOR	1 00	Х						0.	0.	1,178.
(10) CIELO MAGNO	1.00	٠,							_	1 170
DIRECTOR	1 00	Х						0.	0.	1,178.
(11) LOURDES MELGAR	1.00	Į.,							_	1 170
DIRECTOR	1 00	Х						0.	0.	1,178.
(12) CAROLE NAKHLE	1.00	x						0.	0.	1 170
DIRECTOR	1.00	^						0.	0.	1,178.
(13) ELENA PANFILOVA	1.00	x						0.	0.	1,178.
DIRECTOR (14) ANTHONY PARI	1.00	^						0.	0.	1,170.
(14) ANTHONY PAUL	1.00	x						0.	0.	1,178.
DIRECTOR (15) JOSEPH BELL	1.00	_^						0.	0.	1,1/0.
DIRECTOR (EX-OFFICIO)	1.00	X						0.	0.	1,178.
(16) MICHAEL SPENCER	1.00	┝	$\vdash$	$\vdash$		$\vdash$	$\vdash$	0.	· ·	1,1/0•
DIRECTOR (EX-OFFICIO)	1.00	X						0.	0.	1,178.
(17) DANIEL KAUFMANN	40.00	122					$\vdash$	0.	· ·	±,±/0•
PRESIDENT & CEO (THROUGH 7/31/20)	±0.00	1		Х				367,413.	0.	60,472.
932007 01-20-20	1	<u> </u>						007,410		Form <b>990</b> (2019)

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Part VII Section A. Officers, Directors, Trus	tees, Key Em	ploy	/ees	, and	d Hi	ighe	st C	Compensated Employe	es (continued)				
(A)	(B)	(C)					(D)	(E)			(F)		
Name and title	Average	I (do not check more tha		) than	one	Reportable	Reportable Reportable			timate	d		
	hours per	box	box, unless person is both ar officer and a director/trustee)			is bot	th an	compensation compensation				nount (	of
	week	-	Cer ar	iu a u	recio	)r/trus	iee)	from	from related			other	
	(list any	recto						the	organization			pensa	
	hours for related	or di	es			ated		organization	(W-2/1099-MIS	5C)		om the	
	organizations	ustee	trust		e e	nbens		(W-2/1099-MISC)			·	anizati d relate	
	below	ual tr	ional		ploye	t con						anizatio	
	line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former				orgo	ai iizati	<i>J</i> 110
(18) SUNEETA KAIMAL	40.00	=	<u> </u>	0	포	工高	<u></u>						
INTERIM PRESIDENT AND CEO	1000	1		х				210,894.		0.	વ	2,0	66.
(19) JOHN ROGERS	40.00			21			$\vdash$	210,034.		•		<b>2</b> ,0	<del> </del>
CFO (THROUGH 7/31/19)	40.00	1		x				142,736.		0.	2	3,3	4 N
(20) PATRICK HELLER	40.00						$\vdash$	142,730.		•		5,5	<u> </u>
ADVISOR	40.00	1				x		160,431.		0.	1	0,3	71
(21) ALEXANDRA GILLIES	40.00					12		100, 431.		<u> </u>		0,5	<i>,</i> <u></u>
	40.00	-				x		109,841.		0.	2	1 7	1 5
ADVISOR	40.00					^		109,041.		0.	٥	4,7	13.
(22) ERICA WESTENBERG	40.00	-				\ <sub>v</sub>		122 207		^	່	7 0	<i>c</i> 1
DIRECTOR OF GOVERNANCE	40.00					X	_	132,297.		0.		7,9	<u>эт.</u>
(23) AARON SAYNE	40.00	-				7.		110 720		^	,	E 0	Λ E
SENIOR GOVERNANCE OFFICE	40.00					Х		112,738.		0.		5,0	<u> </u>
(23) REBECCA IWERKS	40.00	-				,,		117 707		^	,	1 5	1 1
DIRECTOR OF CAD						Х		117,797.		0.	4	1,5	<u> </u>
								1 254 145				4 0	
1b Subtotal								1,354,147.		0.	34	4,2	
c Total from continuation sheets to Part V	II, Section A							0.		0.			0.
d Total (add lines 1b and 1c)								1,354,147.		0.	32	4,2	92.
2 Total number of individuals (including but n	ot limited to th	ose	liste	ed al	bove	e) wł	ho r	eceived more than \$100	0,000 of reportable	le			4.0
compensation from the organization													10
												Yes	No
<b>3</b> Did the organization list any <b>former</b> officer,													
line 1a? If "Yes," complete Schedule J for s	uch individual										3		X
4 For any individual listed on line 1a, is the su													
and related organizations greater than \$150	0,000? If "Yes,	" co	mpl	ete S	Sche	edule	e J i	for such individual			4	Х	
5 Did any person listed on line 1a receive or a	-				-			ted organization or indiv	idual for services				
rendered to the organization? If "Yes," com	plete Schedul	e J t	for s	uch ,	pers	son .					5		X
Section B. Independent Contractors													
1 Complete this table for your five highest co	mpensated in	depe	ende	ent c	onti	racto	ors t	that received more than	\$100,000 of com	npens	ation f	rom	
the organization. Report compensation for	the calendar y	ear	endi	ng v	vith	or w	/ithi	n the organization's tax	year.				
(A)								(B)			(C		
Name and business	address	N	INC	3				Description of s	ervices		ompe	nsatio	1
2. Total number of independent contractions (	noludina but	O+ 1:	mit	d +-	+h -	00 15	oto	d abovo) who restinates	oro then				
<ul><li>Total number of independent contractors (i</li><li>\$100,000 of compensation from the organi</li></ul>		iUL II	mie	นเป		0 0	31 <b>0</b> 0	above) who received if	IOIE HIAH				

Form **990** (2019)

Part VIII Statement of Revenue Check if Schedule O contains a response or note to any line in this Part VIII (B) Revenuè éxcluded Related or exempt Unrelated Total revenue from tax under function revenue business revenue sections 512 - 514 Contributions, Gifts, Grants and Other Similar Amounts 1 a Federated campaigns 1a **b** Membership dues 1b c Fundraising events 1c d Related organizations ..... 1d e Government grants (contributions) 1e 7,047,960. f All other contributions, gifts, grants, and similar amounts not included above 5,511,869 1f g Noncash contributions included in lines 1a-1f 1g |\$ 12,559,829 h Total. Add lines 1a-1f **Business Code** 2 a CONSULTING 541900 Program Service Revenue 79,012. 79,012. b f All other program service revenue g Total. Add lines 2a-2f 79,012 Investment income (including dividends, interest, and 1,573 other similar amounts) 1,573. Income from investment of tax-exempt bond proceeds 5 Royalties ..... (i) Real (ii) Personal 6 a Gross rents **b** Less: rental expenses ... 6b **c** Rental income or (loss) d Net rental income or (loss) 7 a Gross amount from sales of (i) Securities (ii) Other assets other than inventory 7a b Less: cost or other basis Other Revenue 7b and sales expenses c Gain or (loss) d Net gain or (loss) 8 a Gross income from fundraising events (not including \$ contributions reported on line 1c). See Part IV, line 18 **b** Less: direct expenses \_\_\_\_\_ c Net income or (loss) from fundraising events 9 a Gross income from gaming activities. See Part IV, line 19 **b** Less: direct expenses 9b c Net income or (loss) from gaming activities 10 a Gross sales of inventory, less returns and allowances **b** Less: cost of goods sold ..... c Net income or (loss) from sales of inventory **Business Code** Miscellaneous Revenue 11 a OTHER INCOME 900099 11,436 11,436 b d All other revenue 11,436 e Total. Add lines 11a-11d ... 12,651,850, Total revenue. See instructions 90,448 1,573. 12

932009 01-20-20

# Part IX | Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

00011	ion 501(c)(3) and 501(c)(4) organizations must com Check if Schedule O contains a respor	•			X
Do	not include amounts reported on lines 6b,	(A)	(B)	(C)	(D)
7b,	8b, 9b, and 10b of Part VIII.	Total expenses	Program service expenses	Management and general expenses	Fundraising expenses
1	Grants and other assistance to domestic organizations				
	and domestic governments. See Part IV, line 21				
2	Grants and other assistance to domestic				
	individuals. See Part IV, line 22				
3	Grants and other assistance to foreign				
	organizations, foreign governments, and foreign				
	individuals. See Part IV, lines 15 and 16	3,045,503.	3,045,503.		
4	Benefits paid to or for members				
5	Compensation of current officers, directors,	006 001	400 015	250 104	66.000
	trustees, and key employees	836,921.	400,917.	369,184.	66,820
6	Compensation not included above to disqualified				
	persons (as defined under section 4958(f)(1)) and				
	persons described in section 4958(c)(3)(B)	0 500 055	4 545 000	4 004 050	450.000
7	Other salaries and wages	2,700,975.	1,517,282.	1,024,870.	158,823
8	Pension plan accruals and contributions (include	264 252	144 240	102 140	10 400
	section 401(k) and 403(b) employer contributions)	264,970.	144,342.	103,149.	17,479
9	Other employee benefits	688,318.	369,624.	271,378.	47,316
10	Payroll taxes	229,808.	122,065.	91,884.	15,859
11	Fees for services (nonemployees):				
а	Management	76 066		76 066	
b	Legal	76,866.		76,866.	
С	Accounting	123,617.		123,617.	
d	Lobbying				
е	Professional fundraising services. See Part IV, line 17				
f	Investment management fees				
g	Other. (If line 11g amount exceeds 10% of line 25,	2 054 400	2 246 760	506 012	017
	column (A) amount, list line 11g expenses on Sch O.)	2,854,489.	2,346,760.	506,912.	817.
12	Advertising and promotion	276,768.	226,191.	41,836.	8,741
13	Office expenses	270,700.	220,191.	41,030.	0,741
14	Information technology				
15	Royalties	526,042.	291,346.	200,837.	33,859
16	Occupancy	536,108.	468,069.	61,992.	6,047
17	Travel	330,100.	400,009.	01,992.	0,047
18	Payments of travel or entertainment expenses				
40	for any federal, state, or local public officials	363,051.	349,832.	13,150.	69.
19	Conferences, conventions, and meetings	303,031•	347,034.	13,130.	09
20	Interest  Payments to offiliates				
21	Payments to affiliates	182,981.	106,510.	66,100.	10,371
22	Depreciation, depletion, and amortization	94,701.	52,450.	36,156.	6,095
23	Other expenses. Itemize expenses not covered	74,1010	32,430.	30,130•	0,000
24	above (List miscellaneous expenses on line 24e. If				
	line 24è amount exceeds 10% of line 25, column (A)				
а	amount, list line 24e expenses on Schedule 0.) MISCELLANEOUS	184,817.	138,318.	39,295.	7,204
a b	SOFTWARE SUPPORT	85,275.	46,698.	38,577.	,,201
C	INTERNET ACCESS AND DUE	75,660.	65,002.	9,120.	1,538
d	RECRUITING	36,503.	33,332.	36,503.	±,550
	All other expenses	30,642.	17,345.	11,482.	1,815
25	Total functional expenses. Add lines 1 through 24e	13,214,015.	9,708,254.	3,122,908.	382,853
26	Joint costs. Complete this line only if the organization	,, 0-0	-,	-, =, 5 0 0 0	
20	reported in column (B) joint costs from a combined				
	educational campaign and fundraising solicitation.				
	Check here if following SOP 98-2 (ASC 958-720)				
	0.01-20-20				Form <b>990</b> (2019

Form **990** (2019)

# Form 990 (2019) Part X Balance Sheet

<u>rai</u>	TX	Balance Sheet					
		Check if Schedule O contains a response or n	ote to an	y line in this Part X			
					<b>(A)</b> Beginning of year		<b>(B)</b> End of year
	1	Cash - non-interest-bearing	3,264,066.	1	1,080,270		
	2	Savings and temporary cash investments			1,071,371.	2	4,022,713
	3	Pledges and grants receivable, net			4,619,558.	3	3,668,800
	4	Accounts receivable, net			300,334.	4	0
	5	Loans and other receivables from any current					
		trustee, key employee, creator or founder, sub	stantial o	contributor, or 35%			
		controlled entity or family member of any of th	ese pers	ons		5	
	6	Loans and other receivables from other disqua	alified pe	rsons (as defined			
		under section 4958(f)(1)), and persons describ		6			
ts	7	Notes and loans receivable, net				7	
Assets	8	Inventories for sale or use			8		
∀	9	Prepaid expenses and deferred charges			537,547.	9	255,753
	10a	Land, buildings, and equipment: cost or other					
		basis. Complete Part VI of Schedule D	10a	1,440,477.			400 400
	b	Less: accumulated depreciation	10b	1,256,825.	354,341.	10c	183,652
	11	Investments - publicly traded securities		11			
	12	Investments - other securities. See Part IV, line		12			
	13	Investments - program-related. See Part IV, line		13			
	14	Intangible assets	111 606	14	125 610		
	15	Other assets. See Part IV, line 11	111,686.	15	135,619		
	16	Total assets. Add lines 1 through 15 (must eq		10,258,903.	16	9,346,807	
	17	Accounts payable and accrued expenses			1,310,415.	17	1,418,642
	18	Grants payable	341,733.	18	131,220		
	19	Deferred revenue				19	549
	20	Tax-exempt bond liabilities				20	
	21	Escrow or custodial account liability. Complete				21	
Liabilities	22	Loans and other payables to any current or fo					
ilit		trustee, key employee, creator or founder, sub					
Lia		controlled entity or family member of any of th				22	
	23	Secured mortgages and notes payable to unre				23	
	24	Unsecured notes and loans payable to unrelate				24	
	25	Other liabilities (including federal income tax, p					
		parties, and other liabilities not included on line of Schedule D	es 17-24,	). Complete Part X	103,623.	25	69,413
	26				1,755,771.	26	1,619,824
	20	Total liabilities. Add lines 17 through 25 Organizations that follow FASB ASC 958, cl			1,733,771	20	1,013,024
ses		and complete lines 27, 28, 32, and 33.	icck iici				
anc	27	Net assets without donor restrictions			3,224,339.	27	3,188,682
Bal	28	Net assets with donor restrictions			5,278,793.	28	4,538,301
nd		Organizations that do not follow FASB ASC			· ·		, ,
. Fu		and complete lines 29 through 33.					
s or	29	Capital stock or trust principal, or current fund			29		
set	30	Paid-in or capital surplus, or land, building, or e			30		
As	31	Retained earnings, endowment, accumulated				31	
Net Assets or Fund Balances	32	Total net assets or fund balances			8,503,132.	32	7,726,983
_	33	Total liabilities and net assets/fund balances			10,258,903.	33	9,346,807

Pa	rt XI Reconciliation of Net Assets						
	Check if Schedule O contains a response or note to any line in this Part XI				X		
1	Total revenue (must equal Part VIII, column (A), line 12)	1	12,65	1,8	50.		
2	Total expenses (must equal Part IX, column (A), line 25)	2	13,21	4,0	<u> </u>		
3	Revenue less expenses. Subtract line 2 from line 1	3		-562,165			
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	8,50	3,1	<u>32.</u>		
5	Net unrealized gains (losses) on investments	5					
6	Donated services and use of facilities	6					
7	Investment expenses	7					
8	Prior period adjustments	8					
9	Other changes in net assets or fund balances (explain on Schedule O)	9	-21	3,9	84.		
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32,						
Pa	column (B)) rt XIII Financial Statements and Reporting	10	7,72	0,5	03.		
<u>. u</u>	<del></del>						
	Check if Schedule O contains a response or note to any line in this Part XII			Yes	No		
1	Accounting method used to prepare the Form 990: Cash X Accrual Other			103	140		
	If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.						
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		2a		X		
	If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed	d on a					
	separate basis, consolidated basis, or both:						
	Separate basis Consolidated basis Both consolidated and separate basis						
b	Were the organization's financial statements audited by an independent accountant?		2b	Х			
	If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separat	e basis,					
	consolidated basis, or both:						
	Separate basis X Consolidated basis Both consolidated and separate basis						
С	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of th	e audit,					
	review, or compilation of its financial statements and selection of an independent accountant?		2c	Х			
	If the organization changed either its oversight process or selection process during the tax year, explain on Sch						
За	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Si	ngle Audit					
	Act and OMB Circular A-133?						
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the requ	ired audit					
	or audits, explain why on Schedule O and describe any steps taken to undergo such audits		3h				

#### **SCHEDULE A**

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

# **Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

Open to Public Inspection

Name of the organization Employer identification number NATURAL RESOURCE GOVERNANCE INSTITUTE 20-4451390 Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions. The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.) 1 A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i). 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).) 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii). A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.) A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v). 7 X An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.) 8 A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.) An agricultural research organization described in section 170(b)(1)(A)(ix) operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: 10 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.) 11 An organization organized and operated exclusively to test for public safety. See section 509(a)(4). 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g. Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B. ☐ Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV. Sections A and C. ☐ Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E. Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV, Sections A and D, and Part V. Check this box if the organization received a written determination from the IRS that it is a Type I, Type III, Type III functionally integrated, or Type III non-functionally integrated supporting organization. f Enter the number of supported organizations Provide the following information about the supported organization(s). (iv) Is the organization listed (i) Name of supported (ii) EIN (iii) Type of organization (v) Amount of monetary (vi) Amount of other in your governing document? (described on lines 1-10 organization support (see instructions) support (see instructions) Yes No above (see instructions))

Total

Schedule A (Form 990 or 990-EZ) 2019 NATURAL RESOURCE GOVERNANCE INSTITUTE 20-4451390 Page 2

### Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Sec	ction A. Public Support										
Cale	ndar year (or fiscal year beginning in) 🕨	(a) 2015	<b>(b)</b> 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total				
1	Gifts, grants, contributions, and										
	membership fees received. (Do not										
	include any "unusual grants.")	21983491.	14359858.	16756582.	16317552.	12559829.	81977312.				
2	Tax revenues levied for the organ-										
	ization's benefit and either paid to										
	or expended on its behalf										
3	The value of services or facilities										
	furnished by a governmental unit to										
	the organization without charge										
4	Total. Add lines 1 through 3	21983491.	14359858.	16756582.	16317552.	12559829.	81977312.				
5	The portion of total contributions										
	by each person (other than a										
	governmental unit or publicly										
	supported organization) included										
	on line 1 that exceeds 2% of the										
	amount shown on line 11,										
	column (f)						35191357.				
	Public support. Subtract line 5 from line 4.						46785955.				
Section B. Total Support											
	ndar year (or fiscal year beginning in) ►	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total				
	Amounts from line 4	21983491.	14359858.	16/56582.	1031/552.	17223873.	81977312.				
8	Gross income from interest,										
	dividends, payments received on										
	securities loans, rents, royalties,	425.	1 225	1 405	1,531.	1 572	6 240				
_	and income from similar sources	443.	1,235.	1,485.	1,331.	1,573.	6,249.				
9	Net income from unrelated business										
	activities, whether or not the										
40	business is regularly carried on						_				
Ю	Other income. Do not include gain										
	or loss from the sale of capital	2,375.		4,191.	5,027.	11 436.	23,029.				
11	assets (Explain in Part VI.)	2,313.		1,101.	3,027.	11,150.	82006590.				
	Gross receipts from related activities	etc (see instruction	one)			12 1	,942,828.				
	First five years. If the Form 990 is fo			rd fourth or fifth ta		<u> </u>	7,7,12,7,02,0,1				
	organization, check this box and <b>sto</b>				-						
Sec	ction C. Computation of Pub						······				
14	Public support percentage for 2019 (	line 6, column (f) d	ivided by line 11, o	column (f))		14	57.05 %				
15	Public support percentage from 2018	3 Schedule A, Part	II, line 14			15	53.96 %				
	33 1/3% support test - 2019. If the					nore, check this b					
	stop here. The organization qualifies	as a publicly supp	orted organizatior	١			<b>▶</b> X				
b	33 1/3% support test - 2018. If the	•		•		•					
	and stop here. The organization qua	lifies as a publicly s	supported organiz	ation			▶□				
17a	10% -facts-and-circumstances tes	<b>t - 2019.</b> If the org	anization did not	check a box on line	e 13, 16a, or 16b,	and line 14 is 10%	or more,				
	and if the organization meets the "fac		•	•	•	•					
	meets the "facts-and-circumstances"	test. The organiza	tion qualifies as a	publicly supported	d organization		▶□				
b	10% -facts-and-circumstances tes	ū				•					
	more, and if the organization meets t		•				e				
	organization meets the "facts-and-cir		ŭ		,		▶∐				
18	Private foundation. If the organization	on did not check a	box on line 13, 16	a, 16b, 17a, or 17b	b, check this box a	and see instruction	ns ▶∟				

Schedule A (Form 990 or 990-EZ) 2019

### Part III | Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) 🕨	(a) 2015	<b>(b)</b> 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
1 Gifts, grants, contributions, and						
membership fees received. (Do not						
include any "unusual grants.")						
2 Gross receipts from admissions,						
merchandise sold or services per-						
formed, or facilities furnished in any activity that is related to the						
organization's tax-exempt purpose						
3 Gross receipts from activities that						
are not an unrelated trade or bus-						
iness under section 513						
4 Tax revenues levied for the organ-						
ization's benefit and either paid to						
or expended on its behalf						
5 The value of services or facilities						
furnished by a governmental unit to						
the organization without charge						
6 Total. Add lines 1 through 5						
<b>7a</b> Amounts included on lines 1, 2, and						
3 received from disqualified persons						
<b>b</b> Amounts included on lines 2 and 3 received						
from other than disqualified persons that						
exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						
Section B. Total Support						
alendar year (or fiscal year beginning in)	(a) 2015	<b>(b)</b> 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
9 Amounts from line 6			, ,		, ,	,,,
I0a Gross income from interest,						
dividends, payments received on						
securities loans, rents, royalties, and income from similar sources						
<b>b</b> Unrelated business taxable income						
(less section 511 taxes) from businesses						
acquired after June 30, 1975						
c Add lines 10a and 10b						
Net income from unrelated business						
activities not included in line 10b,						
whether or not the business is regularly carried on						
12 Other income. Do not include gain						
or loss from the sale of capital						
assets (Explain in Part VI.)						
4 First five years. If the Form 990 is for t	he organization	s first second thin	d fourth or fifth	l tay year as a secti		I nization
check this box and <b>stop here</b>	-			•		
section C. Computation of Public	Support Pe	rcentage				·····
5 Public support percentage for 2019 (lin			column (f))		15	9
6 Public support percentage from 2018 S					16	(
ection D. Computation of Invest					1 10	
7 Investment income percentage for 201			ne 13. column (fl)	1	17	(
8 Investment income percentage from 20					18	(
9a 33 1/3% support tests - 2019. If the o						
more than 33 1/3%, check this box and						►∟
<b>b 33 1/3% support tests - 2018.</b> If the o						
line 18 is not more than 33 1/3%, chec	v 11112 DOX 9110 <b>2</b> 1	top nere. me orga	mzanon qualines	as a publicly supp	onteu organizatio	"' <b>~</b> ' <u>—</u>

# Part IV | Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

#### Section A. All Supporting Organizations

- 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.
- 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in **Part VI** how the organization determined that the supported organization was described in section 509(a)(1) or (2).
- 3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.
- **b** Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in **Part VI** when and how the organization made the determination.
- c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.
- **4a** Was any supported organization not organized in the United States ("foreign supported organization")? *If* "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.
- **b** Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in **Part VI** how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.
- c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.
- 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).
- **b** Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?
- c Substitutions only. Was the substitution the result of an event beyond the organization's control?
- 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI.
- 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI.
- **b** Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI.
- c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI.
- 10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below.
  - **b** Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)

1	
1	
2	
3a	
3b	
20	
3c	
4-	
4a	
4b	
4c	
5a	
5b	
5c	+
30	
6	
7	
8	
9a	
9b	
9c	
10a	
10b	

Par	TIV   Supporting Organizations <sub>(continued)</sub>			
			Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?			
а	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c)			
	below, the governing body of a supported organization?	11a		
b	A family member of a person described in (a) above?	11b		
	A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.	11c		
Sect	tion B. Type I Supporting Organizations			
			Yes	No
1	Did the directors, trustees, or membership of one or more supported organizations have the power to			
	regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the			
	tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or			
	controlled the organization's activities. If the organization had more than one supported organization,			
	describe how the powers to appoint and/or remove directors or trustees were allocated among the supported			
	organizations and what conditions or restrictions, if any, applied to such powers during the tax year.	1		
	Did the organization operate for the benefit of any supported organization other than the supported			
	organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in			
	Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated,			
	supervised, or controlled the supporting organization.	2		
Sect	tion C. Type II Supporting Organizations			
			Yes	No
	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors			
	or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control			
	or management of the supporting organization was vested in the same persons that controlled or managed			
	the supported organization(s).	1		
Sect	tion D. All Type III Supporting Organizations			
			Yes	No
	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the			
	organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax			
	year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the			
	organization's governing documents in effect on the date of notification, to the extent not previously provided?	1		
	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how			
	the organization maintained a close and continuous working relationship with the supported organization(s).	2		
	By reason of the relationship described in (2), did the organization's supported organizations have a			
	significant voice in the organization's investment policies and in directing the use of the organization's			
	income or assets at all times during the tax year? If "Yes," describe in <b>Part VI</b> the role the organization's			
	supported organizations played in this regard.	3		
Sect	tion E. Type III Functionally Integrated Supporting Organizations			
	Check the box next to the method that the organization used to satisfy the Integral Part Test during the yeatsee instructions	s).		
а	The organization satisfied the Activities Test. Complete line 2 below.	,		
b	The organization is the parent of each of its supported organizations. <i>Complete</i> <b>line 3</b> <i>below.</i>			
С	The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see in	structions	s).	
2	Activities Test. Answer (a) and (b) below.		Yes	No
а	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of			
	the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify			
	those supported organizations and explain how these activities directly furthered their exempt purposes,			
	how the organization was responsive to those supported organizations, and how the organization determined			
	that these activities constituted substantially all of its activities.	2a		
	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more			
	of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the			
	reasons for the organization's position that its supported organization(s) would have engaged in these			
	activities but for the organization's involvement.	2b		
	Parent of Supported Organizations. Answer (a) and (b) below.			
	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or	_		
	trustees of each of the supported organizations? Provide details in Part VI.	3a		
b	Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each			
	of its supported organizations? If "Yes " describe in <b>Part VI</b> the role played by the organization in this regard	3h	1	1

Schedule A (Form 990 or 990-EZ) 2019 NATURAL RESOURCE GOVERNANCE INSTITUTE 20-4451390 Page 6

Pa	rt V Type III Non-Functionally Integrated 509(a)(3) Supportin	g Orga	anizations	
1	Check here if the organization satisfied the Integral Part Test as a qualifyin			Part VI). See instructions. Al
	other Type III non-functionally integrated supporting organizations must co	mplete	Sections A through E.	
Sect	ion A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1		
2	Recoveries of prior-year distributions	2		
3	Other gross income (see instructions)	3		
4	Add lines 1 through 3.	4		
5	Depreciation and depletion	5		
6	Portion of operating expenses paid or incurred for production or			
	collection of gross income or for management, conservation, or			
	maintenance of property held for production of income (see instructions)	6		
7	Other expenses (see instructions)	7		
8	Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8		
Sect	ion B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see			
	instructions for short tax year or assets held for part of year):			
а	Average monthly value of securities	1a		
b	Average monthly cash balances	1b		
С	Fair market value of other non-exempt-use assets	1c		
d	Total (add lines 1a, 1b, and 1c)	1d		
е	Discount claimed for blockage or other			
	factors (explain in detail in Part VI):			
2	Acquisition indebtedness applicable to non-exempt-use assets	2		
3	Subtract line 2 from line 1d.	3		
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount,			
	see instructions).	4		
_5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6	Multiply line 5 by .035.	6		
7	Recoveries of prior-year distributions	7		
8	Minimum Asset Amount (add line 7 to line 6)	8		
Sect	ion C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1		
2	Enter 85% of line 1.	2		
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3		
4	Enter greater of line 2 or line 3.	4		
5	Income tax imposed in prior year	5		
6	Distributable Amount. Subtract line 5 from line 4, unless subject to			
	emergency temporary reduction (see instructions).	6		
7	Check here if the current year is the organization's first as a non-functional	ly integr	ated Type III supporting org	ganization (see

Schedule A (Form 990 or 990-EZ) 2019

instructions).

Pai	rt V │ Type III Non-Functionally Integrated 509	(a)(3) Supporting Orga	anizations (continued)	
Sect	ion D - Distributions		\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	Current Year
1	Amounts paid to supported organizations to accomplish exe	empt purposes		
2	Amounts paid to perform activity that directly furthers exempt	ot purposes of supported		
	organizations, in excess of income from activity			
3	Administrative expenses paid to accomplish exempt purpos	es of supported organization	IS	
4	Amounts paid to acquire exempt-use assets			
5	Qualified set-aside amounts (prior IRS approval required)			
6	Other distributions (describe in Part VI). See instructions.			
7	Total annual distributions. Add lines 1 through 6.			
8	Distributions to attentive supported organizations to which t	he organization is responsive	Э	
	(provide details in <b>Part VI</b> ). See instructions.			
9	Distributable amount for 2019 from Section C, line 6			
10	Line 8 amount divided by line 9 amount	T		
Sect	ion E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2019	(iii) Distributable Amount for 2019
1	Distributable amount for 2019 from Section C, line 6			
2	Underdistributions, if any, for years prior to 2019 (reason-			
	able cause required- explain in Part VI). See instructions.			
3	Excess distributions carryover, if any, to 2019			
а	From 2014			
b	From 2015			
С	From 2016			
d	From 2017			
е	From 2018			
f	Total of lines 3a through e			
g	Applied to underdistributions of prior years			
h	Applied to 2019 distributable amount			
i	Carryover from 2014 not applied (see instructions)			
j	Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4	Distributions for 2019 from Section D,			
	line 7: \$			
а	Applied to underdistributions of prior years			
b	Applied to 2019 distributable amount			
С	Remainder. Subtract lines 4a and 4b from 4.			
5	Remaining underdistributions for years prior to 2019, if			
	any. Subtract lines 3g and 4a from line 2. For result greater			
	than zero, explain in <b>Part VI.</b> See instructions.			
6	Remaining underdistributions for 2019. Subtract lines 3h			
	and 4b from line 1. For result greater than zero, explain in			
	Part VI. See instructions.			
7	Excess distributions carryover to 2020. Add lines 3j			
	and 4c.			
8	Breakdown of line 7:			
	Excess from 2015			
b	Excess from 2016			

Schedule A (Form 990 or 990-EZ) 2019

c Excess from 2017 d Excess from 2018 e Excess from 2019

# **SCHEDULE C**

(Form 990 or 990-EZ)

# **Political Campaign and Lobbying Activities**

For Organizations Exempt From Income Tax Under section 501(c) and section 527 Complete if the organization is described below. Attach to Form 990 or Form 990-EZ. ► Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public Inspection

OMB No. 1545-0047

Department of the Treasury Internal Revenue Service

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

<ul> <li>Section 501(c)(4), (5), or (6) organiza</li> <li>Name of organization</li> </ul>	itions: Complete Part III.		Fm	ployer identification number
3	RESOURCE GOVERNA	NCE INSTIT		20-4451390
	ganization is exempt und			
·				
1 Provide a description of the organization	zation's direct and indirect politica	al campaign activities	in Part IV.	
2 Political campaign activity expendi	•	. •		\$
3 Volunteer hours for political campa				
· ·				
Part I-B Complete if the org	ganization is exempt und	er section 501(c)	(3).	
1 Enter the amount of any excise tax				
2 Enter the amount of any excise tax				
3 If the organization incurred a section				
4a Was a correction made?				Yes No
b If "Yes," describe in Part IV.				4/-\/0\
Part I-C Complete if the org	<del>-</del>		•	
1 Enter the amount directly expende				\$
2 Enter the amount of the filing organ		-		•
exempt function activities				\$
3 Total exempt function expenditures			<i>'</i>	Φ.
line 17b				
4 Did the filing organization file Form				
5 Enter the names, addresses and en	• •			
made payments. For each organization contributions received that were presented that were presented to the contributions are contributions.	·			•
political action committee (PAC). If			•	ate obgrogated fand of a
(a) Name	(b) Address	(c) EIN	(d) Amount paid from	(e) Amount of political
(a) Name	(b) Address	(6) EIN	filing organization's	contributions received and
			funds. If none, enter -0	
				delivered to a separate political organization.
				If none, enter -0
		1		

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2019

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Schedule C (Form 990 or 990-EZ) 2019	MATTIRA	T. RES	OURCE GOVER	NANCE TNSTT	ጥ፤፣ <b>ጥ</b> ፑ: 2∩_4	451390 Page 2
Part II-A Complete if the org						
	ation bolongs	to an affi	liated group (and list in	Part IV each affiliated	aroup mombor's nam	o addross EIN
expenses, and sha	-		- · ·	Trait IV each ainmateu	group member s nam	e, address, Liiv,
		, ,	nd "limited control" pro	visions apply		
Lim	its on Lobby	ing Expe	•		(a) Filing organization's	(b) Affiliated group totals
					totals	
1a Total lobbying expenditures to inf	luence public	opinion (	grassroots lobbying)			
b Total lobbying expenditures to inf	luence a legis	slative boo	dy (direct lobbying)		4,452.	
c Total lobbying expenditures (add	lines 1a and	1b)			4,452.	
d Other exempt purpose expenditure	res				13,209,563.	
e Total exempt purpose expenditure	es (add lines	1c and 1c	d)(k		13,214,015.	
f Lobbying nontaxable amount. Ent	ter the amour	nt from the	e following table in bot	h columns.	810,701.	
If the amount on line 1e, column (a)	or (b) is:	The lob	bying nontaxable am	ount is:		
Not over \$500,000		20% of	the amount on line 1e.			
Over \$500,000 but not over \$1,00	00,000	\$100,00	00 plus 15% of the exc	ess over \$500,000.		
Over \$1,000,000 but not over \$1,5	500,000	\$175,00	00 plus 10% of the exc	ess over \$1,000,000.		
Over \$1,500,000 but not over \$17	7,000,000		00 plus 5% of the exce			
Over \$17,000,000	, ,	\$1,000,	<u> </u>	. , ,		
. , ,	•	. , , ,				
g Grassroots nontaxable amount (e	nter 25% of I	ine 1f)			202,675.	
h Subtract line 1g from line 1a. If ze					0.	
i Subtract line 1f from line 1c. If zer	•				0.	
j If there is an amount other than ze						
reporting section 4911 tax for this						Yes No
Toporting Section 4011 tax for this			eraging Period Under			<u> </u>
(Some organizations t					of the five columns b	elow.
(22			ate instructions for lir	•		
	Lobby	ing Expe	nditures During 4-Yea	ar Averaging Period		
Calendar year (or fiscal year beginning in)	(a) 20	16	<b>(b)</b> 2017	(c) 2018	(d) 2019	(e) Total
2a Lobbying nontaxable amount	1,000	,000.	1,000,000.	960,950.	810,701.	3,771,651.
<b>b</b> Lobbying ceiling amount (150% of line 2a, column(e))						5,657,477.
c Total lobbying expenditures	15	,970.	14,927.	14,927.	4,452.	50,276.
d Currente newtonistis and	250	,000.	250,000.	240,238.	202,675.	942,913.
d Grassroots nontaxable amount	450	, 000 •	230,000.	440,430.	404,013.	944,313.
<ul> <li>Grassroots ceiling amount</li> </ul>						

Schedule C (Form 990 or 990-EZ) 2019

1,414,370.

(150% of line 2d, column (e))

f Grassroots lobbying expenditures

# Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.  Ye	(a)		(b	)
	s I	No	Amo	unt
During the year, did the filing organization attempt to influence foreign, national, state, or				
local legislation, including any attempt to influence public opinion on a legislative matter				
or referendum, through the use of:				
a Volunteers?				
<b>b</b> Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?				
c Media advertisements?				
d Mailings to members, legislators, or the public?				
e Publications, or published or broadcast statements?				
f Grants to other organizations for lobbying purposes?				
g Direct contact with legislators, their staffs, government officials, or a legislative body?				
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?				
i Other activities?				
j Total. Add lines 1c through 1i				
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?				
<b>b</b> If "Yes," enter the amount of any tax incurred under section 4912				
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912				
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?	\ <u>\</u>		-4:	
Part III-A Complete if the organization is exempt under section 501(c)(4), section 50	11(C)(5),	or se	ction	
501(c)(6).			Yes	No
4 W 1 1 1 1 1 (00)			162	NO
1 Were substantially all (90% or more) dues received nondeductible by members?		1		
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?		2		
3 Did the organization agree to carry over lobbying and political campaign activity expenditures from the pric Part III-B Complete if the organization is exempt under section 501(c)(4), section 50		3	otion	
501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No answered "Yes."  1 Dues, assessments and similar amounts from members		Part	III-A, lin	e 3, is
Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political		1 4 1		
2 Cection 102(c) nondeductible lobbying and political experiences (do not include amounts of political		1		
evnences for which the section 507(f) tay was naid)		1		
expenses for which the section 527(f) tax was paid).				
a Current year		2a		
a Current year b Carryover from last year		2a 2b		
a Current year b Carryover from last year c Total		2a 2b 2c		
a Current year b Carryover from last year c Total 3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues		2a 2b		
<ul> <li>a Current year</li> <li>b Carryover from last year</li> <li>c Total</li> <li>3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues</li> <li>4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess</li> </ul>		2a 2b 2c		
<ul> <li>a Current year</li> <li>b Carryover from last year</li> <li>c Total</li> <li>3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues</li> <li>4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political</li> </ul>		2a 2b 2c 3		
<ul> <li>a Current year</li> <li>b Carryover from last year</li> <li>c Total</li> <li>3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues</li> <li>4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?</li> </ul>		2a 2b 2c 3		
<ul> <li>a Current year</li> <li>b Carryover from last year</li> <li>c Total</li> <li>3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues</li> <li>4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?</li> <li>5 Taxable amount of lobbying and political expenditures (see instructions)</li> </ul>		2a 2b 2c 3		
<ul> <li>a Current year</li> <li>b Carryover from last year</li> <li>c Total</li> <li>3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues</li> <li>4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?</li> <li>5 Taxable amount of lobbying and political expenditures (see instructions)</li> <li>Part IV Supplemental Information</li> </ul>	l	2a 2b 2c 3	and 2 (coo	
<ul> <li>a Current year</li> <li>b Carryover from last year</li> <li>c Total</li> <li>3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues</li> <li>4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?</li> <li>5 Taxable amount of lobbying and political expenditures (see instructions)</li> <li>Part IV Supplemental Information</li> <li>Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Interest of the part II-A (a</li></ul>	l	2a 2b 2c 3	and 2 (see	
<ul> <li>a Current year</li> <li>b Carryover from last year</li> <li>c Total</li> <li>3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues</li> <li>4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?</li> <li>5 Taxable amount of lobbying and political expenditures (see instructions)</li> <li>Part IV Supplemental Information</li> </ul>	l	2a 2b 2c 3	and 2 (see	
<ul> <li>a Current year</li> <li>b Carryover from last year</li> <li>c Total</li> <li>3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues</li> <li>4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?</li> <li>5 Taxable amount of lobbying and political expenditures (see instructions)</li> <li>Part IV Supplemental Information</li> <li>Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Interest of the part II-A (a</li></ul>	l	2a 2b 2c 3	and 2 (see	
<ul> <li>a Current year</li> <li>b Carryover from last year</li> <li>c Total</li> <li>3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues</li> <li>4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?</li> <li>5 Taxable amount of lobbying and political expenditures (see instructions)</li> <li>Part IV Supplemental Information</li> <li>Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Interest of the part II-A (a</li></ul>	l	2a 2b 2c 3	and 2 (see	
<ul> <li>a Current year</li> <li>b Carryover from last year</li> <li>c Total</li> <li>3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues</li> <li>4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?</li> <li>5 Taxable amount of lobbying and political expenditures (see instructions)</li> <li>Part IV Supplemental Information</li> <li>Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Interest of the part II-A (a</li></ul>	l	2a 2b 2c 3	and 2 (see	
<ul> <li>a Current year</li> <li>b Carryover from last year</li> <li>c Total</li> <li>3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues</li> <li>4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?</li> <li>5 Taxable amount of lobbying and political expenditures (see instructions)</li> <li>Part IV Supplemental Information</li> <li>Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Interest of the part II-A (a</li></ul>	l	2a 2b 2c 3	and 2 (see	
<ul> <li>a Current year</li> <li>b Carryover from last year</li> <li>c Total</li> <li>3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues</li> <li>4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?</li> <li>5 Taxable amount of lobbying and political expenditures (see instructions)</li> <li>Part IV Supplemental Information</li> <li>Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Interest of the part II-A (a</li></ul>	l	2a 2b 2c 3	and 2 (see	
<ul> <li>a Current year</li> <li>b Carryover from last year</li> <li>c Total</li> <li>3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues</li> <li>4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?</li> <li>5 Taxable amount of lobbying and political expenditures (see instructions)</li> <li>Part IV Supplemental Information</li> <li>Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Interest of the part II-A (a</li></ul>	l	2a 2b 2c 3	and 2 (see	
<ul> <li>a Current year</li> <li>b Carryover from last year</li> <li>c Total</li> <li>3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues</li> <li>4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?</li> <li>5 Taxable amount of lobbying and political expenditures (see instructions)</li> <li>Part IV Supplemental Information</li> <li>Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Interest of the part II-A (a</li></ul>	l	2a 2b 2c 3	and 2 (see	

### **SCHEDULE D** (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes" on Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

► Attach to Form 990.

►Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047 Open to Public Inspection

Name of the organization

NATURAL RESOURCE GOVERNANCE INSTITUTE

**Employer identification number** 20-4451390

Pai	t I Organizations Maintaining Donor Advise		or Accounts. Complete if the
	organization answered "Yes" on Form 990, Part IV, lin		<b>-</b>
	, ,	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate value of contributions to (during year)		
3	Aggregate value of grants from (during year)		
4	Aggregate value at end of year		
5	Did the organization inform all donors and donor advisors in	writing that the assets held in donor advised	d funds
	are the organization's property, subject to the organization's	exclusive legal control?	Yes No
6	Did the organization inform all grantees, donors, and donor a		
	for charitable purposes and not for the benefit of the donor of	or donor advisor, or for any other purpose co	onferring
	impermissible private benefit?	· · · · · · · · · · · · · · · · · · ·	Yes No
Pai	t II Conservation Easements. Complete if the org	ganization answered "Yes" on Form 990, Pa	urt IV, line 7.
1	Purpose(s) of conservation easements held by the organization	on (check all that apply).	
	Preservation of land for public use (for example, recrea	ition or education) Preservation of a	historically important land area
	Protection of natural habitat	Preservation of a	certified historic structure
	Preservation of open space		
2	Complete lines 2a through 2d if the organization held a qualif	fied conservation contribution in the form of	a conservation easement on the last
	day of the tax year.		Held at the End of the Tax Year
а	Total number of conservation easements		2a
b	Total acreage restricted by conservation easements		2b
С	Number of conservation easements on a certified historic str	ucture included in (a)	2c
d	Number of conservation easements included in (c) acquired		
	listed in the National Register		2d
3	Number of conservation easements modified, transferred, re-	leased, extinguished, or terminated by the o	organization during the tax
	year ▶		
4	Number of states where property subject to conservation ear	sement is located	
5	Does the organization have a written policy regarding the per	riodic monitoring, inspection, handling of	
	violations, and enforcement of the conservation easements in		
6	Staff and volunteer hours devoted to monitoring, inspecting,	handling of violations, and enforcing conse	rvation easements during the year
	<b></b>		
7	Amount of expenses incurred in monitoring, inspecting, hand	dling of violations, and enforcing conservation	on easements during the year
_	<b>&gt;</b> \$		
8	Does each conservation easement reported on line 2(d) above	•	
_	and section 170(h)(4)(B)(ii)?		
9	In Part XIII, describe how the organization reports conservati	•	
	balance sheet, and include, if applicable, the text of the footr	note to the organization's financial statemer	nts that describes the
Dai	organization's accounting for conservation easements.  t III Organizations Maintaining Collections or	f Art Historical Treasures or Oth	par Similar Assats
I al	Complete if the organization answered "Yes" on Form	-	iei olillidi Assets.
10	If the organization elected, as permitted under FASB ASC 95		d balance sheet works
ıa	of art, historical treasures, or other similar assets held for put	· ·	
	service, provide in Part XIII the text of the footnote to its final	, ,	•
h	If the organization elected, as permitted under FASB ASC 95		
b	art, historical treasures, or other similar assets held for public		
	provide the following amounts relating to these items:	exhibition, education, or research in further	rance of public service,
	•		<b>•</b> •
	(i) Revenue included on Form 990, Part VIII, line 1		
2	(ii) Assets included in Form 990, Part X		
_	the following amounts required to be reported under FASB A	•	gain, provide
а	Revenue included on Form 990, Part VIII, line 1	-	<b>▶</b> \$
	Assets included in Form 990, Part X		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2019

Pai	t III Organizations Maintaining C	ollections of A	rt, His	torical Tr	easures, d	or Other	Similar	Asse	<b>ts</b> (continu	ıed)	<del></del>
3	Using the organization's acquisition, accession	on, and other record	ls, chec	k any of the	following tha	t make sigr	nificant us	se of its			
	collection items (check all that apply):										
а	Public exhibition	d		Loan or exc	hange progra	am					
b	Scholarly research	е									
С	Preservation for future generations			-							
4	Provide a description of the organization's co	llections and explai	n how th	nev further t	he organizati	on's exemp	ot purpos	e in Par	XIII.		
5	During the year, did the organization solicit or										
_	to be sold to raise funds rather than to be ma								Yes		No
Pai	t IV   Escrow and Custodial Arran										
	reported an amount on Form 990, Par			3			,	,	,		
1a	Is the organization an agent, trustee, custodi	an or other intermed	diary for	contribution	ns or other as	sets not in	cluded				
	on Form 990, Part X?								Yes		No
b	If "Yes," explain the arrangement in Part XIII										
		•							Amount		
С	Beginning balance						1c				
	Additions during the year						1d				
	Distributions during the year						1e				
f	Ending balance						1f				
	Did the organization include an amount on Fo						$\overline{}$		Yes		No
	If "Yes," explain the arrangement in Part XIII.	· · ·				•					
Pai											
		(a) Current year		rior year	(c) Two year			rs back	(e) Four y	ears b	back
1a	Beginning of year balance	, ,	. ,								
b	Contributions										
	Net investment earnings, gains, and losses										
	Grants or scholarships										
	Other expenditures for facilities										
·	and programs										
f	Administrative expenses										
	End of year balance										
2	Provide the estimated percentage of the curr	ent vear end haland	e (line 1	a column (	a)) held as:	<u> </u>					
	Board designated or quasi-endowment	crit year erid balarie	%	g, coluitii (	a)) Hold as.						
	Permanent endowment	%	_′°								
C	The percentages on lines 2a, 2b, and 2c sho										
20		=	ation the	at ara bald a	and administa	rad far tha	organizat	ion			
Sa	Are there endowment funds not in the posse	ssion of the organiz	ation the	at are neid a	and administe	red for the	organizai	.1011	Г	/aa	No.
	by:									/es	No
	(i) Unrelated organizations								3a(i)	-+	
	(ii) Related organizations										
									3b		
Par	Describe in Part XIII the intended uses of the tVI Land, Buildings, and Equipm		wment	tunas.							
ı aı	Complete if the organization answered		) Part I\	/ line 11a 9	Saa Form 000	) Part Y lin	a 10				
	Description of property	(a) Cost or o		r .	t or other		umulated		(d) Book	voluo	
	Description of property	basis (investr			(other)		eciation		(u) book	value	,
19	Land	· ` ` `	,	24010	(	дорго					
	Buildings										
	Leasehold improvements							$\dashv$			
	Equipment			1 44	0,477.	1 25	6,82	5.	183	65	52.
	Other		V colu			1,4	, , , , , ,		183		
iota	Aud inles la tillough le. (Column (a) must et	yuai i Uiiii 330, Parl	A, COIUI	וווו <i>(ט),</i> וווו <del>פ</del>	106.)		J	<del></del>		, 0 -	

Schedule D (Form 990) 2019

	nvestments - Other Securities.			
	complete if the organization answered "Yes" of security or category (including name of security)	on Form 990, Part IV, line 1 <b>(b)</b> Book value	<ul><li>1b. See Form 990, Part X, line 12.</li><li>(c) Method of valuation: Cost or end</li></ul>	d-of-vear market value
		(b) Book value	(c) Method of Valuation. Cost of end	1-01-year market value
	lerivatives			
<b>3)</b> Other	ld equity interests			
(A)				
(B)				
(C)				
(D)				
(E)				
(F)				
(G)				
(H)				
	nust equal Form 990, Part X, col. (B) line 12.) ▶			
	nvestments - Program Related.			
	complete if the organization answered "Yes"	on Form 990. Part IV. line 1	1c. See Form 990. Part X. line 13.	
	(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end	d-of-year market value
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				
(7)				
(8)				
(9)				
Total. (Col. (b) n	nust equal Form 990, Part X, col. (B) line 13.)			
	Other Assets.			
C	complete if the organization answered "Yes"	on Form 990, Part IV, line 1	1d. See Form 990, Part X, line 15.	
	(a)	Description		(b) Book value
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				
(7)				
(8)				
(9)				
	n (b) must equal Form 990, Part X, col. (B) line	e 15.)	<b>&gt;</b>	
Part X O	Other Liabilities.			
С	complete if the organization answered "Yes"	on Form 990, Part IV, line 1	1e or 11f. See Form 990, Part X, line 25	
1.	(a) Description of liability			(b) Book value
	al income taxes			
(2) DEF	ERRED RENT LIABILITY			69,413
(3)				
(4)				
(5)				
(6)				
(7)				
(8)				
(0)				
(9)				22 112
(9) Гotal. (Column	n (b) must equal Form 990, Part X, col. (B) line			69,413
(9)  Fotal. (Column  2. Liability for	n (b) must equal Form 990, Part X, col. (B) line r uncertain tax positions. In Part XIII, provide on's liability for uncertain tax positions under	the text of the footnote to	the organization's financial statements	that reports the

	rt XI Reconciliation of Revenue per Audited Financial	<b>Statements With Reven</b>	ue per Return.	
	Complete if the organization answered "Yes" on Form 990, Part	IV, line 12a.		
1	Total revenue, gains, and other support per audited financial statements	S	1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
а	Net unrealized gains (losses) on investments	2a		
b	Donated services and use of facilities	2b		
С				
	Other (Describe in Part XIII.)			
е	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
а	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
С	Add lines 4a and 4b		4c	
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line			
Pai	rt XII Reconciliation of Expenses per Audited Financia	I Statements With Exper	ises per Return.	
	Complete if the organization answered "Yes" on Form 990, Part			
1	Total expenses and losses per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
а	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
С	Other losses	2c		
d	Other (Describe in Part XIII.)	2d		
е	Add lines 2a through 2d			
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
	, , , ,			
b	Other (Describe in Part XIII.)	4b		
С	Add lines <b>4a</b> and <b>4b</b>		-	
5		ne 18.)	5	
Pai	rt XIII Supplemental Information.			
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
			Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,
	ride the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a		Part V, line 4; Part X, line 2; Part	XI,

Schedule D (Form 990) 2019

#### SCHEDULE F (Form 990)

Department of the Treasury Internal Revenue Service

# **Statement of Activities Outside the United States**

► Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

2019
Open to Public Inspection

Name of the organization

**Employer identification number** 

NATURAL RESC	OURCE GOVE	RNANCE I	NSTITUTE
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20-4451390

Part I General Infor	mation on A	ctivities Ou	tside the United States. Comple	ete if the organization answered "Y	es" on
Form 990, Part IV			ioras irro ormisa otatooroompik	ste ii tilo organization anoworda ii	00 011
	·	n maintain recor	ds to substantiate the amount of its gra	ants and other assistance	
•	ū		the selection criteria used to award the	·	Yes No
and graineds engiamly is	or and grained or a				
2 For grantmakers. Desc	ribe in Part V the	e organization's	procedures for monitoring the use of it	s grants and other assistance outs	side the
United States.			procedures for memoring the decoration	- g. a a a	
	he following Part	: I. line 3 table ca	an be duplicated if additional space is a	needed.)	
(a) Region	(b) Number of	(c) Number of	(d) Activities conducted in the region		(f) Total
	offices	employees, agents, and	(by type) (such as, fundraising, pro-	is a program service,	expenditures
	in the region	independent	gram services, investments, grants to	' ''	for and investments
		contractors in the region	recipients located in the region)	of service(s) in the region	in the region
				TO PROVIDE SUPPORT TO	
				COUNTRIES AROUND THE	
				WORLD TO IMPROVE	
EUROPE	1	18	PROGRAM SERVICES	GOVERNANCE OVER THEIR	3,512,301.
				TO IMPROVE COUNTRIES'	
				GOVERNANCE OVER THEIR	
				NATURAL RESOURCES (IN	
SUB-SAHARAN AFRICA	2	19	PROGRAM SERVICES	PARTICULAR OIL, GAS AND	2,950,109.
				TO IMPROVE COUNTRIES'	
				GOVERNANCE OVER THEIR	
				NATURAL RESOURCES (IN	
SOUTH AMERICA	1	7	PROGRAM SERVICES	PARTICULAR OIL, GAS AND	758,128.
				TO IMPROVE COUNTRIES'	
				GOVERNANCE OVER THEIR	
MIDDLE EAST AND				NATURAL RESOURCES (IN	
NORTH AFRICA	1	4	PROGRAM SERVICES	PARTICULAR OIL, GAS AND	364,212.
				TO IMPROVE COUNTRIES'	
				GOVERNANCE OVER THEIR	
EAST ASIA AND THE				NATURAL RESOURCES (IN	
PACIFIC	2	12	PROGRAM SERVICES	PARTICULAR OIL, GAS AND	1,208,278.
				TO IMPROVE COUNTRIES'	
				GOVERNANCE OVER THEIR	
RUSSIA AND				NATURAL RESOURCES (IN	
NEIGHBORING STATES	0	0	PROGRAM SERVICES	PARTICULAR OIL, GAS AND	232,984.
				TO IMPROVE COUNTRIES'	
				GOVERNANCE OVER THEIR	
				NATURAL RESOURCES (IN	
NORTH AMERICA	0	0	PROGRAM SERVICES	PARTICULAR OIL, GAS AND	105,841.
3 a Subtotal	7	60			9,131,853.
<b>b</b> Total from continuation					
sheets to Part I	0	0			0.
c Totals (add lines 3a					
and 3b)	7	60			9,131,853.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990. SEE PART V FOR COLUMN (E) DESCRIPTIONS Schedule F (Form 990) 2019

Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	<b>(b)</b> IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			TO CONTRIBUTE TO					
			TRANSPARENCY IN THE					
		SUB-SAHARAN	MANAGEMENT OF MINERAL					
		AFRICA	RESOURCES, BY SETTING	22,424.	.WIRE	0.		
			TO DEVELOP A STUDY					
			HIGHLIGHTING THE					
		MIDDLE EAST AND	IMPORTANCE OF THE					
		NORTH AFRICA	ADOPTION OF A	5,000.	.WIRE	0.		
			TO ELABORATE IN-DEPTH					
			ENVIRONMENTAL CLAUSES					
		MIDDLE EAST AND	IN OIL AND GAS					
		NORTH AFRICA	CONTRACTS THAT	7,500.	,WIRE	0.		
			TO UTILIZE STRATEGIC					
			ENGAGEMENT WITH					
		SUB-SAHARAN	POLICYMAKERS AND					
		AFRICA	LEGISLATORS TO	40,000.	.WIRE	0.		
			TO FUND PART OF THE					
			HUB WORK-PLAN OF THE					
		SUB-SAHARAN	FIVE YEAR HUB					
		AFRICA	STRATEGY (2015-2019)	59,209.	,WIRE	0.		
			TO PROMOTE THE					
			INCLUSION OF THE					
			SOCIO-ENVIRONMENTAL					
		SOUTH AMERICA	DIMENSION IN PEM'S	30,000.	.WIRE	0.		
			TO HOLD AN ADVANCED					
			COURSE ON NATURAL					
		EUROPE	RESOURCES.	110,000.	.WIRE	0.		
			TO MOBILIZE KNOWLEDGE					
			TO INFORM POLICY					
		EAST ASIA AND THE	REFORMS BY PROVIDING					
		PACIFIC	EVIDENCE-BASED	25,000.	WIRE	0.		

Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter
 Enter total number of other organizations or entities

Schedule F (Form 990) 2019

19

	of Grants and Other	Assistance to Organiza	ations or Entities Outside the	United States.	. (Schedule F (Form 9			-
<b>1 (a)</b> Name of organization	(b) IRS code section	(c) Region	(d) Purpose of	(e) Amount	(f) Manner of	(g) Amount of non-cash	(h) Description of non-cash	(i) Method of valuation (book, FM
and EIN (if applicable)	(c) Negion	grant	of cash grant	cash disbursement	assistance	assistance	appraisal, other)	
			1. TO PROMOTE THE					
			JOINT CONSTRUCTION OF					
			A VISION AND					
		SOUTH AMERICA	PROPOSALS FOR	75,000.	WIRE	0.		
			TO TAKE ADVANTAGE OF					
			THE 2019 ELECTORAL					
			PROCESS TO INFORM					
		SOUTH AMERICA	CITIZENS ABOUT	30,000.	WIRE	0.		
			TO CAMPAIGN FOR					
			PARTICIPATORY					
			DECISION MAKING					
		SOUTH AMERICA	SPACES THROUGH	40,000.	WIRE	0.		
			1. TO CONTINUE TO					
			USE ITS PLATFORM TO					
		MIDDLE EAST AND	INFORM THE DEBATE PN					
		NORTH AFRICA	THE OIL AND GAS	20,000.	WIRE	0.		
			TO ORGANIZE THE 11TH					
			EDITION OF THE ANNUAL					
		SUB-SAHARAN	EXCELLENCE IN					
		AFRICA	JOURNALISM AWARDS	5,500.	WIRE	0.		
			TO EMPOWER LOCAL					
			COMMUNITIES TO BETTER					
		EAST ASIA AND THE	ENGAGE WITH MEDIA AND					
		PACIFIC	TO CONTINUE	15,000.	WIRE	0.		
			TO HELP LOCAL					
			WATCHDOG GROUPS TO					
		SUB-SAHARAN	UNDERSTAND THE LEGAL					
		AFRICA	FOUNDATION ON WHICH	18,844.	WIRE	0.		
			TO PROMOTE	,				
			SUBNATIONAL RESOURCE					
			GOVERNANCE					
		EUROPE	INNOVATIONS IN	50,000.	WIRE	0.		
			TO EMPOWER	,				
			JOURNALISTS WITH					
		EAST ASIA AND THE	KNOWLEDGE AND SKILLS					
		PACIFIC	IN REPORTING NATURAL	15,000.	WIRE	0.		

932182 04-01-19

Scriedule F (Form 990)		112 1125001102	GOVERNMEN THEF			31370		Page 2
Part II Continuation	of Grants and Other	Assistance to Organiza	ations or Entities Outside the	United States.	(Schedule F (Form 9	90), Part II, line	1)	
1 (a) Name of organization	<b>(b)</b> IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	<b>(h)</b> Description of non-cash assistance	(i) Method of valuation (book, FM\ appraisal, other)
		EUROPE	GRANTS TO THE UK	2162327.		0.		
		SUB-SAHARAN AFRICA	GRANTS TO TANZANIA	275,122.		0.		
		MIDDLE EAST AND NORTH AFRICA	GRANTS TO LEBANON	39,577.		0.		
		NORTH AFRICA	SKANTS TO LEBANON	33,377.		0.		

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed. (h) Method of valuation (book, FMV, appraisal, other) (c) Number of (d) Amount of (e) Manner of (f) Amount of (g) Description of (a) Type of grant or assistance (b) Region recipients cash grant cash disbursement noncash noncash assistance assistance

### Part IV | Foreign Forms 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes." the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926) Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization 2 may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990) Yes X No 3 Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect to Certain Foreign Corporations (see Instructions for Form 5471) 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621) Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," 5 the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865) Did the organization have any operations in or related to any boycotting countries during the tax year? If 6 "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see

Instructions for Form 5713; don't file with Form 990)

Schedule F (Form 990) 2019

### Part V | Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

#### PART I, LINE 2:

Schedule F (Form 990) 2019

THE STAFF WILL COMMUNICATE WITH THE GRANTEE DURING PROJECT IMPLEMENTATION AND HELP THEM TO THINK THROUGH THE SPECIFIC MILESTONES TO BE ACHIEVED. ALL THE GRANTEES ARE REQUIRED TO REPORT AT LEAST ANNUALLY TO NGRI AND RESTATE THE PROJECT'S OBJECTIVES/ OUTCOMES AND ACTIVITIES AS STATED IN THE ORIGINAL PROPOSAL; EXPLAIN WHICH ACTIVITIES WERE ACCOMPLISHED AND WHICH WERE NOT; EXPLAIN ANY VARIANCES FROM THE ORIGINAL PROPOSAL; EXPLAIN THE MAIN PROJECT CHALLENGES, AND HOW THE GRANTEE RESPONDED TO THEM; DESCRIBE THE PRINCIPAL LESSONS LEARNED; DESCRIBE ANY UNFORESEEN DEVELOPMENTS THAT HAVE POSITIVELY OR NEGATIVELY AFFECTED THE PROJECT.

#### PART I, LINE 3, COLUMN (E):

REGION: EUROPE

(E) SPECIFIC TYPES OF SERVICES IN REGION: TO PROVIDE SUPPORT TO COUNTRIES AROUND THE WORLD TO IMPROVE GOVERNANCE OVER THEIR NATURAL RESOURCES (IN PARTICULAR OIL, GAS AND MINERALS) AND TO PROMOTE SUSTAINABLE AND INCLUSIVE DEVELOPMENT.

#### REGION: SUB-SAHARAN AFRICA

(E) SPECIFIC TYPES OF SERVICES IN REGION: TO IMPROVE COUNTRIES' GOVERNANCE OVER THEIR NATURAL RESOURCES (IN PARTICULAR OIL, GAS AND MINERALS) AND TO PROMOTE SUSTAINABLE AND INCLUSIVE DEVELOPMENT.

#### REGION: SOUTH AMERICA

(E) SPECIFIC TYPES OF SERVICES IN REGION: TO IMPROVE COUNTRIES' GOVERNANCE OVER THEIR NATURAL RESOURCES (IN PARTICULAR OIL, GAS AND MINERALS) AND TO PROMOTE SUSTAINABLE AND INCLUSIVE DEVELOPMENT.

Page 5

#### Part V | Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

REGION: MIDDLE EAST AND NORTH AFRICA

(E) SPECIFIC TYPES OF SERVICES IN REGION: TO IMPROVE COUNTRIES'

GOVERNANCE OVER THEIR NATURAL RESOURCES (IN PARTICULAR OIL, GAS AND

MINERALS) AND TO PROMOTE SUSTAINABLE AND INCLUSIVE DEVELOPMENT.

REGION: EAST ASIA AND THE PACIFIC

(E) SPECIFIC TYPES OF SERVICES IN REGION: TO IMPROVE COUNTRIES'

GOVERNANCE OVER THEIR NATURAL RESOURCES (IN PARTICULAR OIL, GAS AND

MINERALS) AND TO PROMOTE SUSTAINABLE AND INCLUSIVE DEVELOPMENT.

REGION: RUSSIA AND NEIGHBORING STATES

(E) SPECIFIC TYPES OF SERVICES IN REGION: TO IMPROVE COUNTRIES'

GOVERNANCE OVER THEIR NATURAL RESOURCES (IN PARTICULAR OIL, GAS AND

MINERALS) AND TO PROMOTE SUSTAINABLE AND INCLUSIVE DEVELOPMENT.

REGION: NORTH AMERICA

(E) SPECIFIC TYPES OF SERVICES IN REGION: TO IMPROVE COUNTRIES'

GOVERNANCE OVER THEIR NATURAL RESOURCES (IN PARTICULAR OIL, GAS AND

MINERALS) AND TO PROMOTE SUSTAINABLE AND INCLUSIVE DEVELOPMENT.

PART II, COLUMN (D):

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: TO CONTRIBUTE TO TRANSPARENCY IN THE MANAGEMENT OF

MINERAL RESOURCES, BY SETTING UP A DIGITAL PLATFORM CALLED TRANSPARENCY

FODEL, AS AN ONLINE TOOL FOR PUBLISHING INFORMATION ON THE ONGOING

IMPLEMENTATION OF THE LOCAL AND ECONOMIC DEVELOPMENT FUND (FODEL)

Schedule F (Form 990) 2019

#### Schedule F (Form 990) 2019 Part V | Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

REGION: MIDDLE EAST AND NORTH AFRICA

(D) PURPOSE OF GRANT: TO DEVELOP A STUDY HIGHLIGHTING THE IMPORTANCE OF THE ADOPTION OF A FINANCIAL ECONOMIC MODEL (FISCAL MODELLING) IN THE NATURAL RESOURCE SECTOR

REGION: MIDDLE EAST AND NORTH AFRICA

PURPOSE OF GRANT: TO ELABORATE IN-DEPTH ENVIRONMENTAL CLAUSES IN OIL AND GAS CONTRACTS THAT TUNISIAN CITIZEN CAN FINALLY GAIN THE OPPORTUNITY TO UNDERSTAND HOW THEIR RESOURCES ARE BEING MANAGED AND AT WHAT COST

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: TO UTILIZE STRATEGIC ENGAGEMENT WITH POLICYMAKERS AND LEGISLATORS TO ADVOCATE FOR ADOPTION OF RECOMMENDATIONS MADE ACROSS KEY BUDGIT POLICY BRIEFS DONE UNDER PAST NRGI SUPPORTED PROJECTS

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: TO FUND PART OF THE HUB WORK-PLAN OF THE FIVE YEAR HUB STRATEGY (2015-2019) PRIORITIZING THE SUMMER SCHOOL AND POLICY DIALOGUE INITIATIVES

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: TO PROMOTE THE INCLUSION OF THE SOCIO-ENVIRONMENTAL DIMENSION IN PEM'S SUB-NATIONAL EITI PROCESSES IN FIVE SELECTED REGIONS AND WILL PROMOTE A NATIONAL DEBATE ON THE NEED TO SEE THAT EXTRACTIVE COMPANIES PAY THEIR FAIR SHARE OF TAXES. THE PROPOSED PROJECT AIMS TO CONTRIBUTE TO THE DEVELOPMENT OF NATIONAL AND

#### Schedule F (Form 990) 2019 Part V | Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

SUB-NATIONAL POLICY DECISIONS ON INCREASED TRANSPARENCY AND IMPROVED GOVERNANCE OF EI IN PERU.

REGION: EAST ASIA AND THE PACIFIC

TO MOBILIZE KNOWLEDGE TO INFORM POLICY REFORMS BY (D) PURPOSE OF GRANT: PROVIDING EVIDENCE-BASED ANALYSES AND OPTIONS FOR GOVERNANCE REFORM, DEVELOPING THE CAPACITY OF REFORM ACTORS AND STRENGTHENING AND EXPANDING THE NETWORK OF REFORM ACTORS

REGION: SOUTH AMERICA

TO PROMOTE THE JOINT CONSTRUCTION OF A VISION (D) PURPOSE OF GRANT: 1. AND PROPOSALS FOR ECONOMIC DEVELOPMENT IN EI BARGE REGION OF ANTIOQUIA, COLOMBIA BASED ON PRODUCTIVE DIVERSIFICATION INITIATIVES AND THE 2. STRENGTHENING OF LINKAGES WITH BUSINESS MINING ACTIVITY. TO CONDUCT RESEARCH AND ADVOCACY ON ECONOMIC DIVERSIFICATION AND SUBNATIONAL PARTICIPATION.3. TO PROVIDE TECHNICAL SUPPORT TO PARLIAMENTARIANS FOR INCLUSION IN THE LEGISLATIVE AGANDA DEVELOPMENT AND DISCUSSIONS OF BILLS ON MINING-ENVIRONMENTAL ISSUES 4. TO SYSTEMATIZE DIALOGUE PROCESSESS IN EXTRACTIVE TERRITORIES ESPECIALLY IN REGARDS TO THE SCOPE AND DISAGGREGATION OF THE EITI TRANSPARENCY PROCESS

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: TO TAKE ADVANTAGE OF THE 2019 ELECTORAL PROCESS TO INFORM CITIZENS ABOUT CHALLENGES IN THE MANAGEMENT OF THE OILD INDUSTRY AND ITS REVENUES AND FACILITATE A DEBATE AMONG PARLIMENTARY CANDIDATES AND LEADERS OF POLITICAL PARTIES ON WAYS TO IMPROVE THE MANAGEMENT OF THE SECTOR, INCLUDING SOE, THE USE OF INCOME TO REDUCE POVERTY AND GENERATE

Page 5

#### Part V | Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

#### DEVELOPMENT

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: TO CAMPAIGN FOR PARTICIPATORY DECISION MAKING SPACES THROUGH HIGH-LEVEL DIALOGUE PROCESSES SUCH AS CNH AND EITI AND BOTTOMS UP STRATEGIES SUCH AS MONOTORING AND DOUBLE REPORTING

REGION: MIDDLE EAST AND NORTH AFRICA

(D) PURPOSE OF GRANT: 1. TO CONTINUE TO USE ITS PLATFORM TO INFORM THE DEBATE PN THE OIL AND GAS SECTOR AND TO REINFORCE A CULTURE OF ACCOUNTABILITY BY FACT-CHECKING THE STATEMENTS OF THE KEY ACTORS.

2.PROVIDING TECHNICAL AND CONTENT SUPPORT TO NRGIS ARABIC MOOC COURSE

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: TO ORGANIZE THE 11TH EDITION OF THE ANNUAL EXCELLENCE IN JOURNALISM AWARDS TANZANIA (EJAT) 2019 FOR THE PERIOD OF DECEMBER 6, 2019 TO JUNE 30, 2020

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: TO EMPOWER LOCAL COMMUNITIES TO BETTER ENGAGE WITH MEDIA AND TO CONTINUE MONITORING THE IMPACTS OF THE MYANMAR CHINA PIPELINES

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: TO HELP LOCAL WATCHDOG GROUPS TO UNDERSTAND THE LEGAL FOUNDATION ON WHICH FODEL SHOULD OPERATE AND HOW THEY FIT INTO

GENERAL LOCAL PLANNING PRACTICES

Schedule F (Form 990) 2019

#### Schedule F (Form 990) 2019 Part V | Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

REGION: EUROPE
(D) PURPOSE OF GRANT: TO PROMOTE SUBNATIONAL RESOURCE GOVERNANCE
INNOVATIONS IN SELECTED PROVINCES AND TO PUSH PENDING EI FISCAL POLICY
REFORM PROPOSALS AND FURTHER THE INSTITUTIONALIZATION OF EITI UNDER THE
NEW PHILIPPINE ADMINISTRATION TO HARNESS THE MOMENTUM GENERATED BY
PUBLISH WHAT YOU PAY (PWYP) TOWARDS A GLOBAL MANDATORY DISCLOSURE
REPORTING STANDARD IN THE EXTRACTIVE SECTOR, IN LINE WITH PWYPS
NEWLY-ADOPTED VISION 2025 GLOBAL STRATEGY
REGION: EAST ASIA AND THE PACIFIC
(D) PURPOSE OF GRANT: TO EMPOWER JOURNALISTS WITH KNOWLEDGE AND SKILLS
IN REPORTING NATURAL RESOURCE GOVERNANCE ISSUES WHILE MAINTAINING REGULAR
CONTACT WITH ALUMNI

#### **SCHEDULE J** (Form 990)

**Compensation Information** 

For certain Officers, Directors, Trustees, Key Employees, and Highest

Compensated Employees

Complete if the organization answered "Yes" on Form 990, Part IV, line 23. ► Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

Open to Public Inspection

Name of the organization

Department of the Treasury

Internal Revenue Service

NATURAL RESOURCE GOVERNANCE INSTITUTE

Employer identification number 20-4451390

Pa	art I Questions Regarding Compensation			
			Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990,			
	Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.			
	First-class or charter travel Housing allowance or residence for personal use			
	Travel for companions Payments for business use of personal residence			
	Tax indemnification and gross-up payments Health or social club dues or initiation fees			
	Discretionary spending account Personal services (such as maid, chauffeur, chef)			
b	If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or			
	reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b		<u> </u>
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors,			
	trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?	2	Х	<u> </u>
3	Indicate which, if any, of the following the organization used to establish the compensation of the organization's			
	CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to			
	establish compensation of the CEO/Executive Director, but explain in Part III.			
	Compensation committee Written employment contract			
	Independent compensation consultant Compensation survey or study			
	X Form 990 of other organizations X Approval by the board or compensation committee			
4	During the view did any payon listed on Faver 2000 Part VIII. Continue A. line 15 with respect to the filling			
4	During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:			
•		4a		х
a	Receive a severance payment or change-of-control payment?  Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		X
D	Participate in, or receive payment from, an equity-based compensation arrangement?	4c		X
·	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.	1		
	The storage of lines 4a o, list the persons and provide the applicable amounts for each term in a trini.			
	Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation			
	contingent on the revenues of:			
а	The organization?	5a		X
b	Any related organization?	5b		Х
	If "Yes" on line 5a or 5b, describe in Part III.			
6	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation			
	contingent on the net earnings of:			
а	The organization?	6a		X
b	Any related organization?	6b		Х
	If "Yes" on line 6a or 6b, describe in Part III.			
7	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments			
	not described on lines 5 and 6? If "Yes," describe in Part III	7		X
8	Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the			
	initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	8		X
9	If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in			
	Regulations section 53.4958-6(c)?	9		<u> </u>

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2019

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

		(B) Breakdown of	W-2 and/or 1099-MI	SC compensation	(C) Retirement and	(D) Nontaxable	(E) Total of columns	
(A) Name and Title		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	other deferred compensation	benefits	(B)(i)-(D)	in column (B) reported as deferred on prior Form 990
(1) DANIEL KAUFMANN	(i)	363,222.	0.	4,191.	36,741.	23,731.	427,885.	0.
PRESIDENT & CEO (THROUGH 7/31/20)	(ii)	0.	0.	0.	0.	0.	0.	0.
(2) SUNEETA KAIMAL	(i)	210,564.	0.	330.	21,089.	10,977.	242,960.	0.
INTERIM PRESIDENT AND CEO	(ii)	0.	0.	0.	0.	0.	0.	0.
(3) JOHN ROGERS	(i)	141,375.	0.	1,361.	14,274.	9,066.		0.
CFO (THROUGH 7/31/19)	(ii)	0.	0.	0.	0.	0.	0.	0.
(4) PATRICK HELLER	(i)	160,101.	0.	330.	16,043.	24,331.	200,805.	0.
ADVISOR	(ii)	0.	0.	0.	0.	0.	0.	0.
(5) ERICA WESTENBERG	(i)	132,000.	0.	297.	13,230.	24,731.	170,258.	0.
DIRECTOR OF GOVERNANCE	(ii)	0.	0.	0.	0.	0.	0.	0.
(6) REBECCA IWERKS	(i)	117,500.	0.	297.	11,780.	29,731.	159,308.	0.
DIRECTOR OF CAD	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

Part III Supplemental Information
Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

#### SCHEDULE O

Internal Revenue Service

(Form 990 or 990-EZ) Department of the Treasury

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ Go to www.irs.gov/Form990 for the latest information. Open to Public

OMB No. 1545-0047

Inspection

Name of the organization

NATURAL RESOURCE GOVERNANCE INSTITUTE

**Employer identification number** 20-4451390

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION: THE NATURAL RESOURCE GOVERNANACE INSTITUTE("NRGI") IS A NON-PROFIT POLICY INSTITUTE AND GRANTMAKING ORGANIZATION THAT PROMOTES THE RESPONSIBLE MANAGEMENT OF OIL, GAS AND MINERAL RESOURCES FOR THE PUBLIC GOOD. WITH EFFECTIVE REVENUE MANAGEMENT, CITIZEN ENGAGEMENT AND REAL GOVERNMENT ACCOUNTABILITY, NATURAL RESOURCE WEALTH CAN DRIVE DEVELOPMENT AND NATIONAL GROWTH. NRGI PROVIDES THE EXPERTISE, FUNDING, APPLIED RESEARCH AND TECHNICAL ASSISTANCE TO HELP COUNTRIES REALIZE THESE BENEFITS.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION: NRGI IS A NON-PROFIT POLICY INSTITUTE AND GRANTMAKING ORGANIZATION THAT PROMOTES THE RESPONSIBLE MANAGEMENT OF OIL, GAS AND MINERAL RESOURCES FOR THE PUBLIC GOOD. WITH EFFECTIVE REVENUE MANAGEMENT, CITIZEN ENGAGEMENT AND REAL GOVERNMENT ACCOUNTABILITY, NATURAL RESOURCE WEALTH CAN DRIVE DEVELOPMENT AND NATIONAL GROWTH. NRGI PROVIDES THE EXPERTISE, FUNDING, APPLIED RESEARCH AND TECHNICAL ASSISTANCE TO HELP COUNTRIES REALIZE THESE BENEFITS.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

TECHNICAL ASSISTANCE

OUR TECHNICAL ASSISTANCE WORK HAS TAKEN ON SLIGHTLY DIFFERENT FORMS WITH DIFFERENT STAKEHOLDERS, INCLUDING GOVERNMENTS AND CIVIL SOCIETY GROUPS.

#### MONITORING AND EVALUATION

Name of the organization NATURAL RESOURCE GOVERNANCE INSTITUTE **Employer identification number** 20-4451390

NRGI HAS WORKED WITH A BROAD SPECTRUM OF CIVIL SOCIETY ACTORS SINCE THE FOUNDING IN 2006 OF ITS PREDECESSOR ORGANIZATION, THE REVENUE WATCH INSTITUTE. NRGI'S WORK WITH CIVIL SOCIETY IS STRONGLY ANCHORED IN THE EXPENSES \$ 2,055,208. INCLUDING GRANTS OF \$ 337,248. REVENUE \$ 0.

FORM 990, PART V, LINE 4B, LIST OF FOREIGN COUNTRIES:

GHANA, UNITED KINGDOM, TANZANIA, PERU,

INDONESIA, LEBANON, BURMA

FORM 990, PART VI, SECTION B, LINE 11B:

THE FORM 990 IS REVIEWED BY NRGI'S CORPORATE CONTROLLER, CHIEF FINANCIAL OFFICER AND THE BOARD AUDIT & FINANCE COMMITTEE.

FORM 990, PART VI, SECTION B, LINE 12C:

NRGI'S BOARD MEMBERS AND STAFF ARE REQUIRED TO COMPLETE A CONFLICT OF INTEREST FORM TO DISCLOSE ANY CONFLICT OF INTEREST ON AN ANNUAL BASIS. COMPLETED FORMS ARE RETAINED AND MONITORED BY NRGI'S LEGAL ASSOCIATE.

FORM 990, PART VI, SECTION B, LINE 15:

NRGI'S PRESIDENT AND CEO SALARY IS APPROVED IN ADVANCE BY THE BOARD OF DIRECTORS IN ACCORDANCE WITH ITS CONFLICT OF INTEREST POLICY AFTER OBTAINING AND RELYING ON APPROPRIATE DATA AS TO COMPARABILITY.

FORM 990, PART VI, SECTION C, LINE 19:

GOVERNING DOCUMENTS AND CONFLICT OF INTEREST STATEMENT ARE AVAILABLE UPON REQUEST. FINANCIAL STATEMENTS ARE POSTED ON OUR WEBSITE.

FORM 990, PART IX, LINE 11G, OTHER FEES:

Schedule O (Form 990 or 990-EZ) (2019)	Page 2
Name of the organization NATURAL RESOURCE GOVERNANCE INSTITUTE	Employer identification number 20-4451390
OTHER PROFESSIONAL FESS:	
PROGRAM SERVICE EXPENSES	1,390,287.
MANAGEMENT AND GENERAL EXPENSES	319,337.
FUNDRAISING EXPENSES	0.
TOTAL EXPENSES	1,709,624.
CONSULTANT FEES:	
PROGRAM SERVICE EXPENSES	878,009.
MANAGEMENT AND GENERAL EXPENSES	65,124.
FUNDRAISING EXPENSES	0.
TOTAL EXPENSES	943,133.
INFORMATION SYSTEMS:	
PROGRAM SERVICE EXPENSES	4,397.
MANAGEMENT AND GENERAL EXPENSES	115,747.
FUNDRAISING EXPENSES	0.
TOTAL EXPENSES	120,144.
TRANSLATION SERVICES:	
PROGRAM SERVICE EXPENSES	30,509.
MANAGEMENT AND GENERAL EXPENSES	1,120.
FUNDRAISING EXPENSES	0.
TOTAL EXPENSES	31,629.
HONORARIA:	
PROGRAM SERVICE EXPENSES	6,720.
MANAGEMENT AND GENERAL EXPENSES	0.
FUNDRAISING EXPENSES 932212 09-06-19	0 . Schedule O (Form 990 or 990-EZ) (2019)
00E E 00 00 10	201104410 0 (1 01111 000 01 000-LE) (2019)

CONSULTANTS' EXPENSES:  PROGRAM SERVICE EXPENSES 26,19  MANAGEMENT AND GENERAL EXPENSES 5,58  FUNDRAISING EXPENSES 81  TOTAL EXPENSES 32,59  TRAINING SERVICES:  PROGRAM SERVICE EXPENSES 10,64  MANAGEMENT AND GENERAL EXPENSES  FUNDRAISING EXPENSES  TOTAL EXPENSES 10,64  TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A 2,854,48  FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:  REVERSAL OF MANAGEMENT FEE RECOGNIZED IN PRIOR YEAR -217,58  FOREIGN EXCHANGE GAIN 3,55	Name of the organization  NATURAL RESOURCE GOVERNANCE INSTITUTE	Employer identification number 20-4451390
PROGRAM SERVICE EXPENSES  MANAGEMENT AND GENERAL EXPENSES  FUNDRAISING EXPENSES  TOTAL EXPENSES  TRAINING SERVICES:  PROGRAM SERVICE EXPENSES  MANAGEMENT AND GENERAL EXPENSES  FUNDRAISING EXPENSES  TOTAL EXPENSES  TOTAL EXPENSES  TOTAL EXPENSES  TOTAL EXPENSES  TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A  2,854,48  FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:  REVERSAL OF MANAGEMENT FEE RECOGNIZED IN PRIOR YEAR  -217,58  FOREIGN EXCHANGE GAIN  3,59	TOTAL EXPENSES	6,720.
MANAGEMENT AND GENERAL EXPENSES  FUNDRAISING EXPENSES  81  TOTAL EXPENSES  TRAINING SERVICES:  PROGRAM SERVICE EXPENSES  10,64  MANAGEMENT AND GENERAL EXPENSES  FUNDRAISING EXPENSES  TOTAL EXPENSES  10,64  TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A 2,854,48  FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:  REVERSAL OF MANAGEMENT FEE RECOGNIZED IN PRIOR YEAR -217,58  FOREIGN EXCHANGE GAIN  3,59	CONSULTANTS' EXPENSES:	
FUNDRAISING EXPENSES  TOTAL EXPENSES  TRAINING SERVICES:  PROGRAM SERVICE EXPENSES  MANAGEMENT AND GENERAL EXPENSES  FUNDRAISING EXPENSES  TOTAL EXPENSES  TOTAL EXPENSES  TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A  2,854,48  FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:  REVERSAL OF MANAGEMENT FEE RECOGNIZED IN PRIOR YEAR  -217,58  FOREIGN EXCHANGE GAIN  3,59	PROGRAM SERVICE EXPENSES	26,194.
TRAINING SERVICES:  PROGRAM SERVICE EXPENSES  MANAGEMENT AND GENERAL EXPENSES  FUNDRAISING EXPENSES  TOTAL EXPENSES  TOTAL EXPENSES  10,64  TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A  2,854,48  FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:  REVERSAL OF MANAGEMENT FEE RECOGNIZED IN PRIOR YEAR  -217,58  FOREIGN EXCHANGE GAIN  3,59	MANAGEMENT AND GENERAL EXPENSES	5,584.
TRAINING SERVICES:  PROGRAM SERVICE EXPENSES  MANAGEMENT AND GENERAL EXPENSES  FUNDRAISING EXPENSES  TOTAL EXPENSES  TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A  2,854,48  FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:  REVERSAL OF MANAGEMENT FEE RECOGNIZED IN PRIOR YEAR  -217,58  FOREIGN EXCHANGE GAIN  3,59	FUNDRAISING EXPENSES	817.
PROGRAM SERVICE EXPENSES  MANAGEMENT AND GENERAL EXPENSES  FUNDRAISING EXPENSES  TOTAL EXPENSES  10,64  TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A 2,854,48  FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:  REVERSAL OF MANAGEMENT FEE RECOGNIZED IN PRIOR YEAR -217,58  FOREIGN EXCHANGE GAIN 3,59	TOTAL EXPENSES	32,595.
MANAGEMENT AND GENERAL EXPENSES  FUNDRAISING EXPENSES  TOTAL EXPENSES  10,64  TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A  2,854,48  FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:  REVERSAL OF MANAGEMENT FEE RECOGNIZED IN PRIOR YEAR  -217,58  FOREIGN EXCHANGE GAIN  3,59	TRAINING SERVICES:	
FUNDRAISING EXPENSES  TOTAL EXPENSES  10,64  TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A  2,854,48  FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:  REVERSAL OF MANAGEMENT FEE RECOGNIZED IN PRIOR YEAR  -217,58  FOREIGN EXCHANGE GAIN  3,59	PROGRAM SERVICE EXPENSES	10,644.
TOTAL EXPENSES  10,64  TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A  2,854,48  FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:  REVERSAL OF MANAGEMENT FEE RECOGNIZED IN PRIOR YEAR  -217,58  FOREIGN EXCHANGE GAIN  3,59	MANAGEMENT AND GENERAL EXPENSES	0.
TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A 2,854,48  FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:  REVERSAL OF MANAGEMENT FEE RECOGNIZED IN PRIOR YEAR -217,58  FOREIGN EXCHANGE GAIN 3,59	FUNDRAISING EXPENSES	0.
FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:  REVERSAL OF MANAGEMENT FEE RECOGNIZED IN PRIOR YEAR -217,58  FOREIGN EXCHANGE GAIN 3,59	TOTAL EXPENSES	10,644.
FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:  REVERSAL OF MANAGEMENT FEE RECOGNIZED IN PRIOR YEAR -217,58  FOREIGN EXCHANGE GAIN 3,59	TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A	2,854,489.
FOREIGN EXCHANGE GAIN 3,59		
	REVERSAL OF MANAGEMENT FEE RECOGNIZED IN PRIOR YEAR	-217,580.
TOTAL TO FORM 990, PART XI, LINE 9 -213,98	FOREIGN EXCHANGE GAIN	3,596.
	TOTAL TO FORM 990, PART XI, LINE 9	-213,984.

#### SCHEDULE R (Form 990)

**Related Organizations and Unrelated Partnerships** 

Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

➤ Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

2019 Open to Public Inspection

OMB No. 1545-0047

Name of the organization

Department of the Treasury Internal Revenue Service

#### NATURAL RESOURCE GOVERNANCE INSTITUTE

Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

Employer identification number 20-4451390

(a) Name, address, and EIN (if applicable) of disregarded entity	<b>(b)</b> Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	<b>(f)</b> Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	<b>(b)</b> Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section	(f) Direct controlling entity	Section 5 contr enti	
				501(c)(3))		Yes	No
NATURAL RESOURCE CHARTER, LTD.	TO ADVANCE EDUCATION FOR				NATURAL RESOURCES		
KNIGHT RIDER COURT LONDON 2ND FLOOR	THE PUBLIC BENEFIT IN THE			501(C)(3)	GOVERNANCE		i
, GREATER LONDON EC4V 5BJ, UNITED KINGDOM	MANAGEMENT AND USE OF NON	UNITED KINGDOM		EQUIVALENCY	INSTITUTE		Х
NRGI TZ LIMITED	TO ADVANCE EDUCATION FOR				NATURAL RESOURCES		
TCRS BUILDING, FL 3, MIKOCHENI B, MWAI KIBAK	THE PUBLIC BENEFIT IN THE			501(C)(3)	GOVERNANCE		
, DAR ES SALAAM, TANZANIA	MANAGEMENT AND USE OF NON	TANZANIA		EQUIVALENCY	INSTITUTE		X
NRGI LEBANON	TO ADVANCE EDUCATION FOR				NATURAL RESOURCES		
HAROUT BUILDING, FL 3	THE PUBLIC BENEFIT IN THE			501(C)(3)	GOVERNANCE		i
, BEIRUT, LEBANON	MANAGEMENT AND USE OF NON	LEBANON		EQUIVALENCY	INSTITUTE		Х
							l

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2019

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

									1									
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)		(i)	(j)	(k)							
Name, address, and EIN of related organization	Primary activity	Legal domicile	Direct controlling entity	Predominant income	Share of total	Share of total	Share of total	Share of total	Share of	Disprop	ortionate	Code V-UBI	Genera	or Percentage				
of related organization		(state or	entity	(related, unrelated,	income	end-of-year	1	itions?	amount in box	partne	ownership							
		foreign country)		Predominant income (related, unrelated, excluded from tax under sections 512-514)		assets	Yes	No	Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	Yes	0							
										$\sqcup$								
										+	+							

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i Sec	i) tion
Name, address, and EIN of related organization	Primary activity	Legal domicile (state or foreign	Direct controlling entity	Type of entity (C corp, S corp, or trust)	Share of total income	Share of end-of-year assets	Percentage ownership	512(b contr enti	o)(13) olled ity?
		country)						Yes	No

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Not	ote: Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.					Yes	No
1	During the tax year, did the organization engage in any of the following transactions with one or mo	ore re	elated organizations listed	in Parts II-IV?			
а	a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity				1a		X
	<b>b</b> Gift, grant, or capital contribution to related organization(s)				1b	Х	
	c Gift, grant, or capital contribution from related organization(s)				1c		X
	d Loans or loan guarantees to or for related organization(s)				1d		X
	e Loans or loan guarantees by related organization(s)				1e		X
f	f Dividends from related organization(s)				1f		X
	g Sale of assets to related organization(s)				1g		X
	h Purchase of assets from related organization(s)				1h		X
	i Exchange of assets with related organization(s)				1i		X
	j Lease of facilities, equipment, or other assets to related organization(s)				1j		X
k	k Lease of facilities, equipment, or other assets from related organization(s)				1k		X
1	Performance of services or membership or fundraising solicitations for related organization(s)				11		X
m	m Performance of services or membership or fundraising solicitations by related organization(s)				1m		X
	n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)				1n		X
	Sharing of paid employees with related organization(s)				10		X
р	p Reimbursement paid to related organization(s) for expenses				1p	X	
q	q Reimbursement paid by related organization(s) for expenses				1q		X
r	r Other transfer of cash or property to related organization(s)				1r	Х	
	s Other transfer of cash or property from related organization(s)				1s		X
2	If the answer to any of the above is "Yes," see the instructions for information on who must complete	lete ti	his line, including covered	relationships and transaction thresholds.			
	(a) (b)  Name of related organization Transaction type (a-s)		(c) Amount involved	(d)  Method of determining amount invo	olved		

(a) Name of related organization

(b) Transaction type (a·s)

(1) NATURAL RESOURCE CHARTER, LTD.

B 2,162,327.

(2) NRGI TZ LIMITED

B 275,122.

(3) NRGI LEBANON

B 39,577.

(4)

(5)

(6)

Part VI Unrelated Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a)	(b)	(c)	(d)	(e) Are all	(f)	(g)	(ŀ	1)	(i)	(j)	(k)
Name, address, and EIN	Primary activity	Legal domicile	Predominant income (related, unrelated, excluded from tax under sections 512-514)	Are all partners sec	Share of	Share of	Dispr	opor-	Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	General	Percentage
of entity		(state or foreign	(related, unrelated, lexcluded from tax under	501(c)(3) orgs.?	total	end-of-year	allocat	tions?	of Schedule K-1	partner?	ownership
		country)	sections 512-514)	Yes No	income	assets	Yes	No	(Form 1065)	Yes No	
				_						$\vdash\vdash$	+
										$\sqcup \!\!\! \perp$	
				_						$\vdash\vdash$	
										$\vdash \vdash$	

FORM 990 PAGE 10 990

Asset No.	Description	Date Acquired	Method	Life	C o n v	Line No.	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	PROPERTY AND EQUIPMENT	VARIOUS	SL	10.00		16	1,440,477.				1,440,477.	1,112,777.		144,048.	1,256,825.
	* TOTAL 990 PAGE 10 DEPR						1,440,477.				1,440,477.	1,112,777.		144,048.	1,256,825.

Form <b>990-T</b>	E	Exempt Orga	nization Bus	sine	ss Income <sup>*</sup>	Tax Returr	า ∟	OMB No. 1545-0047
			nd proxy tax und					2040
	For ca	lendar year 2019 or other tax ye			, and ending			2019
Department of the Treasury Internal Revenue Service	•	Go to www Do not enter SSN numbe	.irs.gov/Form990T for in rs on this form as it may					pen to Public Inspection for 01(c)(3) Organizations Only
A Check box if address changed		Name of organization ( L	Check box if name cl	hanged	and see instructions.)		(Employ instruct	rer identification number yees' trust, see tions.)
<b>B</b> Exempt under section	Print	NATURAL RES	OURCE GOVER	NAN	CE INSTITUT	ΓE	20	-4451390
X 501(c)(3)	or	Number, street, and roon					E Unrelat	ed business activity code structions.)
408(e) 220(e)	Туре	80 BROAD ST					(000 1113	audions.)
408A 530(a)		City or town, state or pro	vince, country, and ZIP or	r foreigi	n postal code			
529(a)		NEW YORK, N	Y 10004				9000	199
C Book value of all assets at end of year 9,346,8	٥.	F Group exemption num	per (See instructions.)	<u> </u>				
9,346,8	0/.	G Check organization typ	e ► <u>X</u> 501(c) corp	oration	501(c) trust			Other trust
<b>H</b> Enter the number of the (	organiza	ition's unrelated trades or i	ousinesses.	1	Describ	e the only (or first) un		h
		EE STATEMENT		rto I on		e, complete Parts I-V.		
business, then complete	-	ice at the end of the previou	us senience, compiete Pa	ris i an	a II, complete a Schedu	ie ivi for each addition	iai trade (	)r
		ooration a subsidiary in an	affiliated group or a paren	ıt-cuhci	diary controlled group?		Yes	No
		tifying number of the parer		it ouboi	alary controlled group.		100	110
J The books are in care of					Telep	hone number 🕨 7	18-3	395-5149
Part I Unrelated	d Trac	de or Business Inc	come		(A) Income	(B) Expenses	3	(C) Net
1a Gross receipts or sale	IS .							
<b>b</b> Less returns and allow			<b>c</b> Balance ▶	1c				
2 Cost of goods sold (S	chedule	e A, line 7)		2				
3 Gross profit. Subtract				3				
		ch Schedule D)		4a				
		Part II, line 17) (attach Form		4b				
c Capital loss deduction	oortner	sts	ttoch statement)	4c 5				
<ul><li>Income (loss) from a</li><li>Rent income (Schedu</li></ul>		ship or an S corporation (a	*	6 6				
*	, ,	me (Schedule E)		7				
		and rents from a controlled		8				
		on 501(c)(7), (9), or (17) o				1		
		ome (Schedule I)	- '	10				
		e J)		11				
		ns; attach schedule)		12				
13 Total. Combine lines	3 throu	gh 12						
		ot Taken Elsewher be directly connected w				.)		
•		rectors, and trustees (Sche			<u> </u>		14	
							15	
							16	
17 Bad debts							17	
		ee instructions)					18	
							19	
		562)						
		n Schedule A and elsewher					21b	
		mnaneation plane					22	
		mpensation plans					24	
		chedule I)					25	
		hedule J)					26	
27 Other deductions (at	tach sch	nedule)					27	
		14 through 27					28	0.
		ncome before net operating					29	0.
30 Deduction for net op	erating	loss arising in tax years be	ginning on or after Janua	ry 1, 20	18			
							30	0.
31 Unrelated husiness t	axahle i	ncome. Subtract line 30 fro	m line 29				31	0.

923701 01-27-20 LHA For Paperwork Reduction Act Notice, see instructions.

Form **990-T** (2019)

Part		Total Unrelated Business Taxa	ble Income						
32	Total o	f unrelated business taxable income computed	I from all unrelated trades or business	es (see ins	tructions)		3	2	0.
33	Amour	its paid for disallowed fringes					3	3	
34	Charita	ble contributions (see instructions for limitatio	n rules)				3	4	0.
35		nrelated business taxable income before pre-2					3	5	
36	Deduct	ion for net operating loss arising in tax years b	eginning before January 1, 2018 (see	instruction	ns)		3		
		f unrelated business taxable income before sp					3	7	
38		c deduction (Generally \$1,000, but see line 38					3	8	1,000.
39		ted business taxable income. Subtract line 3							
	enter t	ne smaller of zero or line 37					3	9	0.
		Tax Computation	22.1 2.10( (2.2.1)				1 .		
40		zations Taxable as Corporations. Multiply line				<b>&gt;</b>	4	0	0.
41		Taxable at Trust Rates. See instructions for to				_			
40		ax rate schedule or Schedule D (Form					4	_	
		tax. See instructions					4:		
	Alterna	tive minimum tax (trusts only)					4		
44 45	Total	Noncompliant Facility Income. See instruction	over applies				4	_	0.
		Add lines 42, 43, and 44 to line 40 or 41, which Tax and Payments	ievei applies				4	<u> </u>	
		n tax credit (corporations attach Form 1118; tr	usts attach Form 1116)		46a				
		redits (see instructions)			46b		1		
		I business credit. Attach Form 3800			46c		1		
		for prior year minimum tax (attach Form 8801			46d		1		
		redits. Add lines 46a through 46d			I		46	3e	
		ct line 46e from line 45					4	7	0.
48	Other t	axes. Check if from: Form 4255	Form 8611 Form 8697 F	orm 8866	Other (	attach schedule)	4	8	
49	Total t	ax. Add lines 47 and 48 (see instructions)					4	9	0.
50		et 965 tax liability paid from Form 965-A or Fo					5	0	0.
51 a	Payme	nts: A 2018 overpayment credited to 2019		;	51a	2,080.			
b	2019 e	stimated tax payments		[	51b				
		oosited with Form 8868			51c	15,940.			
		n organizations: Tax paid or withheld at source			51d				
е	Backup	withholding (see instructions)			51e				
		for small employer health insurance premiums	(attach Form 8941)		51f				
g			orm 2439						
					51g				
52	Total p	ayments. Add lines 51a through 51g					5		<u>18,020.</u>
		ted tax penalty (see instructions). Check if For					5	_	
54		e. If line 52 is less than the total of lines 49, 50					5		10 000
55	-	ayment. If line 52 is larger than the total of line		aid		<b>&gt;</b>	5		18,020.
56 Part		ne amount of line 55 you want: Credited to 20 Statements Regarding Certain		rmation		funded  ctions)	5	5   .	18,020.
		time during the 2019 calendar year, did the or			-	5110115)			Yes No
01	-	financial account (bank, securities, or other) in			-				103 100
		Form 114, Report of Foreign Bank and Finance		-					
	here	► SEE STATEMENT 2	war recounted in 100, officer the name of	01 1110 10101	girodanay				х
58		the tax year, did the organization receive a dis	tribution from, or was it the grantor of	or transfe	eror to, a forei	an trust?			<del></del>
•••	_	see instructions for other forms the organization		, 0		y			
59		ne amount of tax-exempt interest received or a	-						
	U	nder penalties of perjury, I declare that I have examine	d this return, including accompanying schedu	ules and stat	ements, and to	the best of my kno	wledg	ge and belief, it	is true,
Sign	۱	noer penalties of perjury, I declare that I have examine- prrect, and complete. Declaration of preparer (other tha			PRESID	ĔNT	lav th	e IRS discuss t	this return with
Here			AND	CEO			•	parer shown be	
	<u> </u>	Signature of officer	Date				struct	tions)? X	Yes No
		Print/Type preparer's name	Preparer's signature	Date		Check	if I	PTIN	
Paid	ı					self- employed			
	arer	LAUREN CRESCI						P0126	
-	Only	Firm's name ► LUTZ AND CAR		0		Firm's EIN	-	13-16	55065
	_		AVENUE, SUITE 400	U		<u></u>	110		2200
		Firm's address <b>NEW YORK</b> ,	NY TUT/6			Phone no. 2	<u>4 1 2</u>		
923711	01-27-20							Form 9	<b>990-T</b> (2019)

FORM 990-T DESCRIPTION OF ORGANIZATION'S PRIMARY UNRELATED STATEMENT 1 BUSINESS ACTIVITY

QUALIFIED TRANSPORTATION BENEFITS -VOLUNTARY EMPLOYEE DEDUCTIONS

TO FORM 990-T, PAGE 1

2 FORM 990-T NAME OF FOREIGN COUNTRY IN WHICH STATEMENT ORGANIZATION HAS FINANCIAL INTEREST

NAME OF COUNTRY

GHANA UNITED KINGDOM TANZANIA PERU INDONESIA LEBANON BURMA

## Form **547**1

(Rev. December 2019)

Department of the Treasury

## Information Return of U.S. Persons With Respect to Certain Foreign Corporations

► Go to www.irs.gov/Form5471 for instructions and the latest information.

Information furnished for the foreign corporation's annual accounting period (tax year required by

OMB No. 1545-0123

Attachment Sequence No. 12:

Internal Revenue Service Section 898) (	(see instructions) beginning JAN 1	, 2019, and ending	g DEC 31, 201	9 Sequer	nce No. <b>12</b>	1		
Name of person filing this return	, , ,	A Identifying num		•				
NATURAL RESOURCE GOV  Number, street, and room or suite no. (or P.O. box nur		20-4451						
,	,	<b>B</b> Category of filer	(See instructions. Check					
80 BROAD STREET, NO.	1801	C Enter the total n	1 2 3 3	4 <b>X</b> 5		<del></del>		
City or town, state, and ZIP code NEW YORK, NY 10004			ercentage of the foreign on e end of its annual accou	-	oung Stock	%		
Filer's tax year beginning JAN 1	, 2019, and ending	DEC 31	. 2019	nting period		/0		
D Check box if this is a final Form 5471 for the	, , , , , , , , , , , , , , , , , , ,		, = = = =					
E Check if any excepted specified foreign final								
F Person(s) on whose behalf this informatio	n return is filed:	,						
(1) Name	(2) Address		(3) Identifying number	( <b>4</b> ) Check a	applicable b	ox(es)		
(1) Name	(Z) Address		(3) Identifying number	Shareholder	Officer [	Director		
Important Fill to the Problem Problem	Allia Canadiana Allia Canadiana	La la Esallata Allaca	ata ha atatadia	110 111 -				
Important: Fill in all applicable lines a unless otherwise indicate		be in English. All amou	nts <b>must</b> be stated in	U.S. dollars				
1a Name and address of foreign corporation			<b>b(1)</b> Employer identif	fication number	er if any			
NATURAL RESOURCE C			000000		51, 11 ully			
KNIGHT RIDER COURT	•		b(2) Reference ID nu		tructions)			
GREATER LONDON E4V	5BJ		2044513		,			
UNITED KINGDOM			<b>c</b> Country under v	vhose laws inc	corporated			
			UNITED					
d Date of e Principal place of b incorporation	husiness activity	<b>g</b> Principal business ac	HIVILY	<b>h</b> Functional	currency			
поирои	$ $ code number $ $ $\perp$	NFORMATIONA						
05/05/13UNITED KINGD			UNITE	D KING	DOM, P	ОИИО		
2 Provide the following information for the			h If a II C in a a man day.	t	d anton			
a Name, address, and identifying number of NOT APPLICABLE	or agent (if any) in the one	ieu Siales	<b>b</b> If a U.S. income tax return was filed, enter:  (ii) U.S. income tax paid					
NOT THE DECEMBER			(i) Taxable income or (lo		ter all credi			
c Name and address of foreign corporation	's statutory or resident agent	<b>d</b> Name and address	(including corporate depa	artment, if app	licable) of			
in country of incorporation			) with custody of the book e location of such books			eign		
		, ,		ĺ				
NOT APPLICABLE			ESOURCE GOV	ERNANC	E INS	т.		
		80 BROAD						
		NEW YORK	NY 10004					
Schedule A Stock of the For	reign Corporation							
Concadie /	eigh corporation		(b) Number of sha	res issued an	d outstandi	na		
(a) Desc	cription of each class of stock		(i) Beginning of annua		End of ann			
(-,			accounting period	acc	ounting per			
COMMON				1		1		
L ⊢∆ For Panerwork Reduction Act Notice	see instructions			Form <b>5</b> 4	171 (Rev	12-20191		

Form 5471 (Rev. 12-2019) Page 2

Schedule B   Shareholders of Forei						
Part I U.S. Shareholders of Foreig	n Corp	ooration (see instructions)				
(a) Name, address, and identifying number of shareholder	(b) Desc <b>Note</b> ;	escription of each class of stock held by shareholder.  10: This description should match the corresponding description entered in Schedule A, column (a).  (c) Number of shares held at beginning of annual accounting period			(d) Number of shares held at end of annual accounting period	(e) Pro rata share of Subpart F income (enter as a percentage)
NAT RES GOV INST	COMM	ON		1	1	
80 BROAD STREET						
NEW YORK NY 10004						
						_
						_
						-
	1					
						_
						-
						-
						1
Part II Direct Shareholders of For	eign C	orporation (see instructions)				
(a) Name, address, and identifying number of shareholder. Also include country of incorporation or formation, if applicable.	r	(b) Description of each class of stock held by shareholder.  Note: This description should match the corresponding description entered in Schedule A, column (a).  (c) Number of shares held at beginning of annual accounting period				(d) Number of shares held at end of annual accounting period
					I	1

Form **5471** (Rev. 12-2019)

Form 5471 (Rev. 12-2019) Page **3** 

#### Schedule C Income Statement

**Important:** Report all information in functional currency in accordance with U.S. GAAP. Also, report each amount in U.S. dollars translated from functional currency (using GAAP translation rules). However, if the functional currency is the U.S. dollar, complete only the U.S. Dollars column. See instructions for special rules for DASTM corporations.

1a Gross receipts or sales   1a   1b   1b   1c   1c   1c   1c   1c   1c				Functional Currency	U.S. Dollars
Determined allowances   1b		1a Gross receipts or sales	1a		
2   2   3   3   3   3   3   3   3   3		h Datuma and allemana	1b		
2   2   3   3   3   3   3   3   3   3		c Subtract line 1b from line 1a	1c		
3 Gross profit (subtract line 2 from line 1c)   3   4   4   5   5   5   5   5   5   5   5					
Solution   Solution					
Solution   Section   Sec	Je	4 Dividends	4		
Bactoris   Bactoris	COU				
B Gross royalties and license fees   6b   7   Net gain or (loss) on sale of capital assets   8a   Foreign currency transaction gain or loss - unrealized   8b	ء	6a Gross rents	6a		
7 Net gain or (loss) on sale of capital assets   7   8a   5   6a   Foreign currency transaction gain or loss - unrealized   8a   8b   9   1,805,366   2,307,368   10   Total income (attach statement)   SEE STATEMENT   3   9   1,805,366   2,307,368   10   Total income (attach statement)   SEE STATEMENT   3   9   1,805,366   2,307,368   10   Total income (add lines 3 through 9)   10   1,805,366   2,307,368   10   Total income (add lines 3 through 9)   10   1,805,366   2,307,368   10   10   1,805,366   2,307,368   10   10   1,805,366   2,307,368   10   10   1,805,366   2,307,368   10   10   1,805,366   2,307,368   10   10   1,805,366   2,307,368   10   10   1,805,366   2,307,368   10   10   1,805,366   2,307,368   10   10   1,805,366   2,307,368   10   10   1,805,366   2,307,368   10   10   1,805,366   2,307,368   10   1,805,366   2,307,368   10   10   10   1,805,366   2,307,368   10   10   10   1,805,366   2,307,368   10   10   10   1,805,366   2,307,368   10   10   10   10   10   1,805,366   2,307,368   10   1,509,739   12   10   10   10   10   10   10   10					
8a   Foreign currency transaction gain or loss - unrealized   b   Foreign currency transaction gain or loss - realized   3b   5   Foreign currency transaction gain or loss - realized   3b   9   1,805,366.   2,307,368.   10   Total income (add lines 3 through 9)   10   1,805,366.   2,307,368.   10   Total income (add lines 3 through 9)   11   Compensation not deducted elsewhere   11   1,181,273.   1,509,739.   12a   271,579.   347,094.   12a   271,579.   347,094.   12b   13   Interest   13   10,881.   14   Depreciation not deducted elsewhere   14   8,513.   10,881.   15   Depletion   15   16   17   Other deductions (attach statement - exclude income tax expense (benefit))   16   Taxes (exclude income tax expense (benefit))   18   Total deductions (attach statement - exclude income tax expense (benefit))   18   Total deductions (add lines 11 through 17)   18   1,778,715.   2,273,307.   19   Net income or (loss) before unusual or infrequently occurring items, and income tax expense (benefit) - deferred   21b   22   26,651.   34,061.   23a   Foreign currency translation adjustments   23a   Foreign currency translation adjustments   23a   24   Other comprehensive income (loss), net of tax (line 23a plus line 23b less   line 23c)   24   Other comprehensive income (loss), net of tax (line 23a plus line 23b less   line 23c)   24   Other comprehensive income (loss), net of tax (line 23a plus line 23b less   Line 23c)   24   Defense contact of tax (line 23a plus line 23b less   Line 23c)   24   Defense contact of tax (line 23a plus line 23b less   Line 23c)   24   Defense contact of tax (line 23a plus line 23b less   Line 23c)   24   Defense contact of tax (line 23a plus line 23b less   Line 23c)   24   Defense contact of tax (line 23a plus line 23b less   Line 23c)   24   Defense contact of tax (line 23a plus line 23b less   Line 23c)   24   Defense contact of tax (line 23a plus line 23b less   Line 23c)   24   Defense contact of tax (line 23a plus line 23b less   Line 23c)   24   Defense contact of tax (line 23c)   2					
B   Foreign currency transaction gain or loss - realized   9   Other income (attach statement)   SEE STATEMENT   3   9   1,805,366   2,307,368   2,307,368   10   Total income (add lines 3 through 9)   10   1,805,366   2,307,368   2,307,368   11   1,181,273   1,509,739   11   1,181,273   1,509,739   12   Rents   12   271,579   347,094   12   Rents   12   271,579   347,094   12   Rents   13   1   1   1,181,273   1,509,739   12   Rents   12   2   271,579   347,094   13   Interest   13   1   1   1,181,273   1,509,739   13   Interest   13   1   1   1,181,273   1,509,739   14   15   Depletion   15   16   17   16   17   17   17   17   17					
9 Other income (attach statement) SEE STATEMENT 3 9 1,805,366 . 2,307,368 . 10 Total income (add lines 3 through 9) 10 1,805,366 . 2,307,368 .  11 Compensation not deducted elsewhere 11 1,181,273 . 1,509,739 .  12a Rents 12a 271,579 . 347,094 .  b Royalties and license fees 12b					
11   Compensation not deducted elsewhere   11   1,181,273   1,509,739   12a   Rents   12a   271,579   347,094   12b   12b   12b   13   Interest   13   14   8,513   10,881   15   Depletion   15   Depletion   16   Taxes (exclude income tax expense (benefit))   16   17   Other deductions (attach statement - exclude income tax expense (benefit))   18   Total deductions (add lines 11 through 17)   18   1,778,715   2,273,307   19   Net income or (loss) before unusual or infrequently occurring items, and income tax expense (benefit)   19   26,651   34,061   20   Unusual or infrequently occurring items   20   Unusual or infrequently occurring items   20   Current year net income or (loss) per books (combine lines 19 through 21b)   22   26,651   34,061   23a   Foreign currency translation adjustments   23a   Currency translation adjustments   23b   Currency translation adjustments   23c   Cur		9 Other income (attach statement) SEE STATEMENT 3			
11   Compensation not deducted elsewhere   11   1,181,273. 1,509,739.     12a   Rents   12a   271,579.   347,094.     15   Royalties and license fees   12b       16   Depreciation not deducted elsewhere   14   8,513.   10,881.     17   Other deductions (attach statement - exclude income tax expense (benefit))   16       18   Total deductions (add lines 11 through 17)   18   1,778,715.   2,273,307.     19   Net income or (loss) before unusual or infrequently occurring items, and income tax expense (benefit)   5   10   10   10   10   10     20   Unusual or infrequently occurring items   20   21   10   10   10   10   21   21		10 Total income (add lines 3 through 9)	10		
b Royalties and license fees    12b					
13   Interest   14   Depreciation not deducted elsewhere   14   8 , 513 .   10 , 881 .     15   Depletion   15         16   Taxes (exclude income tax expense (benefit))   16       17   Other deductions (attach statement - exclude income tax expense (benefit))   SEE STATEMENT 4   17   317 , 350 .   405 , 593 .     18   Total deductions (add lines 11 through 17)   18   1 , 778 , 715 .   2 , 273 , 307 .     19   Net income or (loss) before unusual or infrequently occurring items, and income tax expense (benefit) (subtract line 18 from line 10)   19   26 , 651 .   34 , 061 .     20   Unusual or infrequently occurring items   20     21a   Income tax expense (benefit) - current   21a     21a   Depreciation not deducted elsewhere   14   8 , 513 .   10 , 881 .     16   Taxes (exclude income tax expense (benefit)   405 , 593 .     18   Total deductions (add lines 11 through 17)   18   1 , 778 , 715 .   2 , 273 , 307 .     19   Net income or (loss) before unusual or infrequently occurring items, and income tax expense (benefit) - current   21   22   26 , 651 .   34 , 061 .     20   Unusual or infrequently occurring items   20   21     21   Income tax expense (benefit) - deferred   21   21     22   Current year net income or (loss) per books (combine lines 19 through 21b)   22   26 , 651 .   34 , 061 .     23a   Foreign currency translation adjustments   23a   24     24   Other comprehensive income (loss), net of tax (line 23a plus line 23b less   10 e 23c   24   24   24   24   24   24   24   2		12a Rents	12a	271,579.	347,094.
To Other deductions (attach statement - exclude income tax expense (benefit))  18 Total deductions (add lines 11 through 17)  19 Net income or (loss) before unusual or infrequently occurring items, and income tax expense (benefit) (subtract line 18 from line 10)  20 Unusual or infrequently occurring items  20 Unusual or infrequently occurring items  21a Income tax expense (benefit) - current  b Income tax expense (benefit) - deferred  22 Current year net income or (loss) per books (combine lines 19 through 21b)  23a Foreign currency translation adjustments  b Other  c Income tax expense (benefit) related to other comprehensive income  23b  Other  c Income tax expense (benefit) related to other comprehensive income  23c  Other comprehensive income (loss), net of tax (line 23a plus line 23b less line 23c)  24		<b>b</b> Royalties and license fees	12b		
To Other deductions (attach statement - exclude income tax expense (benefit))  SEE STATEMENT 4  17 317,350. 405,593.  18 Total deductions (add lines 11 through 17)  19 Net income or (loss) before unusual or infrequently occurring items, and income tax expense (benefit) (subtract line 18 from line 10)  19 Unusual or infrequently occurring items  20 Unusual or infrequently occurring items  21a Income tax expense (benefit) - current  b Income tax expense (benefit) - deferred  22 Current year net income or (loss) per books (combine lines 19 through 21b)  23a Foreign currency translation adjustments  b Other  c Income tax expense (benefit) related to other comprehensive income  23b  Other  c Income tax expense (benefit) related to other comprehensive income  23c  Other comprehensive income (loss), net of tax (line 23a plus line 23b less  line 23c)	ns	13 Interest	13		
To Other deductions (attach statement - exclude income tax expense (benefit))  SEE STATEMENT 4  17 317,350. 405,593.  18 Total deductions (add lines 11 through 17)  19 Net income or (loss) before unusual or infrequently occurring items, and income tax expense (benefit) (subtract line 18 from line 10)  19 Unusual or infrequently occurring items  20 Unusual or infrequently occurring items  21a Income tax expense (benefit) - current  b Income tax expense (benefit) - deferred  22 Current year net income or (loss) per books (combine lines 19 through 21b)  23a Foreign currency translation adjustments  b Other  c Income tax expense (benefit) related to other comprehensive income  23b  Other  c Income tax expense (benefit) related to other comprehensive income  23c  Other comprehensive income (loss), net of tax (line 23a plus line 23b less  line 23c)	čţi		14	8,513.	10,881.
To Other deductions (attach statement - exclude income tax expense (benefit))  18 Total deductions (add lines 11 through 17)  19 Net income or (loss) before unusual or infrequently occurring items, and income tax expense (benefit) (subtract line 18 from line 10)  20 Unusual or infrequently occurring items  20 Unusual or infrequently occurring items  21a Income tax expense (benefit) - current  b Income tax expense (benefit) - deferred  22 Current year net income or (loss) per books (combine lines 19 through 21b)  23a Foreign currency translation adjustments  b Other  c Income tax expense (benefit) related to other comprehensive income  23b  Other  c Income tax expense (benefit) related to other comprehensive income  23c  Other comprehensive income (loss), net of tax (line 23a plus line 23b less line 23c)  24	ρ				
(benefit))  SEE STATEMENT 4  17 317,350 • 405,593 • 18 Total deductions (add lines 11 through 17)  18 Total deductions (add lines 11 through 17)  19 Net income or (loss) before unusual or infrequently occurring items, and income tax expense (benefit) (subtract line 18 from line 10)  20 Unusual or infrequently occurring items  21a Income tax expense (benefit) - current  b Income tax expense (benefit) - deferred  22 Current year net income or (loss) per books (combine lines 19 through 21b)  23a Foreign currency translation adjustments  b Other  c Income tax expense (benefit) related to other comprehensive income  24 Other comprehensive income (loss), net of tax (line 23a plus line 23b less line 23c)  24 Other comprehensive income (loss), net of tax (line 23a plus line 23b less line 23c)	۵				
18 Total deductions (add lines 11 through 17)  19 Net income or (loss) before unusual or infrequently occurring items, and income tax expense (benefit) (subtract line 18 from line 10)  20 Unusual or infrequently occurring items  21a Income tax expense (benefit) - current  b Income tax expense (benefit) - deferred  22 Current year net income or (loss) per books (combine lines 19 through 21b)  23a Foreign currency translation adjustments  b Other  c Income tax expense (benefit) related to other comprehensive income  24 Other comprehensive income (loss), net of tax (line 23a plus line 23b less line 23c)  24 Other comprehensive income (loss), net of tax (line 23a plus line 23b less line 23c)					
Problem 19 Net income or (loss) before unusual or infrequently occurring items, and income tax expense (benefit) (subtract line 18 from line 10)  20 Unusual or infrequently occurring items  21a Income tax expense (benefit) - current  b Income tax expense (benefit) - deferred  22 Current year net income or (loss) per books (combine lines 19 through 21b)  23a Foreign currency translation adjustments  b Other  c Income tax expense (benefit) related to other comprehensive income  24 Other comprehensive income (loss), net of tax (line 23a plus line 23b less line 23c)  24 Other comprehensive income (loss), net of tax (line 23a plus line 23b less line 23c)		(benefit)) SEE STATEMENT 4	17		
income tax expense (benefit) (subtract line 18 from line 10)  19 26,651. 34,061.  20 Unusual or infrequently occurring items  21a Income tax expense (benefit) - current  b Income tax expense (benefit) - deferred  22 Current year net income or (loss) per books (combine lines 19 through 21b)  23a Foreign currency translation adjustments  b Other  c Income tax expense (benefit) related to other comprehensive income  24 Other comprehensive income (loss), net of tax (line 23a plus line 23b less line 23c)  24 Other comprehensive income (loss), net of tax (line 23a plus line 23b less line 23c)		18 Total deductions (add lines 11 through 17)	18	1,778,715.	2,273,307.
20 Unusual or infrequently occurring items 21a Income tax expense (benefit) - current b Income tax expense (benefit) - deferred 22 Current year net income or (loss) per books (combine lines 19 through 21b)  23a Foreign currency translation adjustments  b Other c Income tax expense (benefit) related to other comprehensive income 23b  C Income tax expense (benefit) related to other comprehensive income 23c  4 Other comprehensive income (loss), net of tax (line 23a plus line 23b less line 23c)  24					
22 Current year net income or (loss) per books (combine lines 19 through 21b)  23a Foreign currency translation adjustments  b Other  c Income tax expense (benefit) related to other comprehensive income  24 Other comprehensive income (loss), net of tax (line 23a plus line 23b less line 23c)  24 Other comprehensive income (loss), net of tax (line 23a plus line 23b less	ше			26,651.	34,061.
22 Current year net income or (loss) per books (combine lines 19 through 21b)  23a Foreign currency translation adjustments  b Other  c Income tax expense (benefit) related to other comprehensive income  24 Other comprehensive income (loss), net of tax (line 23a plus line 23b less line 23c)  24 Other comprehensive income (loss), net of tax (line 23a plus line 23b less line 23c)	ည		20		
22 Current year net income or (loss) per books (combine lines 19 through 21b)  23a Foreign currency translation adjustments  b Other  c Income tax expense (benefit) related to other comprehensive income  24 Other comprehensive income (loss), net of tax (line 23a plus line 23b less line 23c)  24 Other comprehensive income (loss), net of tax (line 23a plus line 23b less	± ±	21a Income tax expense (benefit) - current	21a		
22 Current year net income or (loss) per books (combine lines 19 through 21b)  22 26,651. 34,061.  23a Foreign currency translation adjustments  b Other  c Income tax expense (benefit) related to other comprehensive income  24 Other comprehensive income (loss), net of tax (line 23a plus line 23b less line 23c)  24 Other comprehensive income (loss), net of tax (line 23a plus line 23b less line 23c)	ž	<b>b</b> Income tax expense (benefit) - deferred	21b		
b Other 23b 25c 24 Other comprehensive income (loss), net of tax (line 23a plus line 23b less line 23c) 24			22	26,651.	34,061.
line 23c)	Φ <	23a Foreign currency translation adjustments	23a		
line 23c)	er iensi	<b>b</b> Other	23b		
line 23c)	Oth preh Incor	c Income tax expense (benefit) related to other comprehensive income	23c		
line 23c)	Com	24 Other comprehensive income (loss), net of tax (line 23a plus line 23b less			
		line 23c)	24		F474 (D. 40.0040)

Form **5471** (Rev. 12-2019)

Form 5471 (Rev. 12-2019) Page **4** 

#### Schedule F Balance Sheet

**Important:** Report all amounts in U.S. dollars prepared and translated in accordance with U.S. GAAP. See instructions for an exception for DASTM corporations.

101 al	Assets		(a)  Beginning of annual accounting period	End accol	<b>(b)</b> d of annua unting peri	l od
1	Cash	1	207,450.			838.
2a	Trade notes and accounts receivable	2a				
b	Less allowance for bad debts	2b	( )	(		)
3	Derivatives	3				
4	Inventories	4				
5	Other current assets (attach statement) SEE STATEMENT 5	5	87,091.		83,	445.
6	Loans to shareholders and other related persons	6				
7	Investment in subsidiaries (attach statement)	7				
8	Other investments (attach statement)	8				
9a	Buildings and other depreciable assets	9a	120,768.		121,	
b	Less accumulated depreciation	9b	93,895,	(	105,	337 <u>,</u>
10a	Depletable assets	10a				
b	Less accumulated depletion	10b	(	(		)
11	Land (net of any amortization)	11				
12	Intangible assets:					
а	Goodwill	12a				
b	Organization costs	12b				
C	Patents, trademarks, and other intangible assets	12c				
d		12d	( )	(		)
13	Other assets (attach statement) SEE STATEMENT 6	13	67,362.		67,	764.
14	Total assets	14	388,776.		209,	<u> 200.</u>
	Liabilities and Shareholders' Equity		05 445			- 40
15	Accounts payable  Other current liabilities (attach statement)  SEE STATEMENT 7	15	95,115.	<b></b>		548.
16		16		<b></b>		178.
17	Derivatives	17		<b></b>		
18	Loans from shareholders and other related persons Other liabilities (attach statement)  SEE STATEMENT 8	18	046 106	<b></b>	4.0	C = 0
19	Other liabilities (attach statement)  SEE STATEMENT 8	19	246,106.		49,	659.
20	Capital stock:					
а	Preferred stock	20a				
b	Common stock	20b				
21	Paid-in or capital surplus (attach reconciliation)	21	47 555		-01	015
22	Retained earnings	22	47,555.		81,	815.
23	Less cost of treasury stock	23	388,776.	(	209,	<u>200</u>
24	Total liabilities and shareholders' equity	24	300,170.		209,	400.
SCI	ledule di Other Information				Yes	No
1	During the tax year, did the foreign corporation own at least a 10% interest, directly or indirectly, in	n anv fo	oreian		100	110
•	partnership?		•			х
	If "Yes," see the instructions for required statement.					
2	During the touring did the foreign comparation our or interest in any tweet					Х
3	During the tax year, did the foreign corporation own any foreign entities that were disregarded as s					
	their owner under Regulations sections 301.7701-2 and 301.7701-3 or did the foreign corporation	•				
	branches (see instructions)?					Х
	If "Yes," you are generally required to attach Form 8858 for each entity or branch (see instructions'					
4a	During the tax year, did the filer pay or accrue any base erosion payment under section 59A(d) to t	the fore	eign			
	corporation or did the filer have a base erosion tax benefit under section 59A(c)(2) with respect to	a base	erosion			
	payment made or accrued to the foreign corporation (see instructions)?					Х
	If "Yes," complete lines 4b and 4c.					
b	Enter the total amount of the base erosion payments		<b>&gt;</b> \$			
С	Enter the total amount of the base erosion tax benefit		<b>&gt;</b> \$			
5a	During the tax year, did the foreign corporation pay or accrue any interest or royalty for which the	deduct	ion is not			
	allowed under section 267A?					X
	16176 11 - 1 - 1 - 51					

 ${\bf b}$  Enter the total amount of the disallowed deductions (see instructions)  ${}^{912331}$   ${}^{12\text{-}16\text{-}19}$ 

If "Yes," complete line 5b.

FORM 5471 OTF	HER INCOME		STATEMENT	3	
DESCRIPTION	FUNCTIONAL CURRENCY	EXCHANGE RATE	U.S. DOLLAR		
CONTRIBUTIONS	1,805,366	. 1.278061	2,307,36	8.	
TOTAL TO 5471, SCHEDULE C, LINE 9	1,805,366	- • =	2,307,368.		
FORM 5471 OTHER	R DEDUCTIONS		STATEMENT	 4	
DESCRIPTION	FUNCTIONAL CURRENCY	EXCHANGE RATE	U.S. DOLLA	R	
OTHER PROFESSIONAL FEES	28,424		36,32		
TRAVEL CONFERENCES	106,900 1,109		136,62 1,41		
OFFICE EXPENSES	31,798	. 1.278061	40,64	0.	
SOFTWARE SUPPORT	10,815 5,906		13,82 7,54		
MISCELLANEOUS INSURANCE	1,730		2,21		
PRINTING	17,183	. 1.278061	21,96	0.	
MGMT FEE	113,485	. 1.278061	145,04	1.	
TOTAL TO 5471, SCHEDULE C, LINE 17	317,350	• <del>=</del>	405,59	3.	
FORM 5471 OTHER O	CURRENT ASSETS		STATEMENT	<u> </u>	
DESCRIPTION		G. OF ANNUAL ACCOUNTING PERIOD	END OF ANNU ACCOUNTING PERIOD		
PREPAID EXPENSES		87,091.	83,44	5.	
TOTAL TO 5471, PAGE 4, SCHEDULE F, 1	LINE 5	87,091.	83,44	5.	

FORM 5471	OTHER ASSETS		STATEMENT	6
DESCRIPTION		BEG. OF ANNUAL ACCOUNTING PERIOD	END OF ANN ACCOUNTIN PERIOD	
SECURITY DEPOSITS		67,362.	67,7	64.
TOTAL TO 5471, PAGE 4, SCHEDUI	LE F, LINE 13	67,362.	67,7	64.
FORM 5471 OTHE	ER CURRENT LIABILIT	PIES	STATEMENT	7
DESCRIPTION		BEG. OF ANNUAL ACCOUNTING PERIOD	END OF ANN ACCOUNTIN PERIOD	
ACCRUED EXPENSES		0.	22,1	78.
TOTAL TO 5471, PAGE 4, SCHEDUI	LE F, LINE 16	0.	22,1	78.
FORM 5471	OTHER LIABILITIES		STATEMENT	8
DESCRIPTION		BEG. OF ANNUAL ACCOUNTING PERIOD	END OF ANN ACCOUNTIN PERIOD	
DEFERRED REVENUE		71,305. 174,801.	49,6	59.
TOTAL TO 5471, PAGE 4, SCHEDUI	LE F, LINE 19	246,106.	49,6	59.

Form 5471 (Rev. 12-2019)

Schedule G Other Information (continued) Page 5

<u> </u>	Tedule G Other Information (continued)		
٥.	Lather files of this Form F 474 alrianians of making distinct interesting in the second address of the OFO) with second	Yes	No
ьа	Is the filer of this Form 5471 claiming a foreign-derived intangible income deduction (under section 250) with respect		Х
	to any amounts listed on Schedule M?		
	If "Yes," complete lines 6b, 6c, and 6d.		
D	Enter the amount of gross income derived from sales, leases, exchanges, or other dispositions (but not licenses)		
	from transactions with the foreign corporation that the filer included in its computation of foreign-derived deduction		
	eligible income (FDDEI) (see instructions)		
C	Enter the amount of gross income derived from a license of property to the foreign corporation that the filer included		
	in its computation of FDDEI (see instructions)		
d	Enter the amount of gross income derived from services provided to the foreign corporation that the filer included in		
	its computation of FDDEI (see instructions)		
7	During the tax year, was the foreign corporation a participant in any cost sharing arrangement?		X
8	During the course of the tax year, did the foreign corporation become a participant in any cost sharing arrangement?		X
9	If the answer to question 7 is "Yes," was the foreign corporation a participant in a cost sharing arrangement that		
	was in effect before January 5, 2009?		_X_
10	If the answer to question 7 is "Yes," did a U.S. taxpayer make any platform contributions as defined under		
	Regulations section 1.482-7(c) to that cost sharing arrangement during the taxable year?		X
11	If the answer to question 10 is "Yes," enter the present value of the platform contributions in U.S. dollars \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		
12	If the answer to question 10 is "Yes," check the box for the method under Regulations section 1.482-7(g) used to		
	determine the price of the platform contribution transaction(s):		
	Comparable uncontrolled transaction method Income method Acquisition price method		
	Market capitalization method Residual profit split method Unspecified methods		
13	From April 25, 2014, to December 31, 2017, did the foreign corporation purchase stock or securities of a		
	shareholder of the foreign corporation for use in a triangular reorganization (within the meaning of Regulations		
	section 1.358-6(b)(2))?		X
14a	Did the foreign corporation receive any intangible property in a prior year or the current tax year for which the U.S.		
	transferor is required to report a section 367(d) annual income inclusion for the taxable year?		X
	If "Yes," go to line 14b.		
b	Enter the amount of the earnings and profits reduction pursuant to section 367(d)(2)(B) for the taxable year > \$		
15	During the tax year, was the foreign corporation an expatriated foreign subsidiary under Regulations section		
	1.7874-12(a)(9)?		X
	If "Yes," see instructions and attach statement.		
16	During the tax year, did the foreign corporation participate in any reportable transaction as defined in Regulations		
	section 1.6011-4?		X
	If "Yes," attach Form(s) 8886 if required by Regulations section 1.6011-4(c)(3)(i)(G).		
17	During the tax year, did the foreign corporation pay or accrue any foreign tax that was disqualified for credit under		
	section 901(m)?		X
18	During the tax year, did the foreign corporation pay or accrue foreign taxes to which section 909 applies, or treat		
	foreign taxes that were previously suspended under section 909 as no longer suspended?		Х
19	Did you answer "Yes" to any of the questions in the instructions for line 19?		X
	If "Yes," enter the corresponding code(s) from the instructions and attach statement (see instructions)		
20	Does the foreign corporation have interest expense disallowed under section 163(j) (see instructions)?		X
	If "Yes," enter the amount		
21	Does the foreign corporation have previously disallowed interest expense under section 163(j) carried forward		
	to the current tax year (see instructions)?		X
	If "Yes," enter the amount \$\infty\$		

Form **5471** (Rev. 12-2019)

Form 5471 (Rev. 12-2019) Page **6** 

#### Schedule I Summary of Shareholder's Income From Foreign Corporation

If item F on page 1 is completed, a separate Schedule I must be filed for each Category 4 or 5 filer for whom reporting is furnished on this Form 5471. This Schedule I is being completed for:

Name of	U.S. shareholder	Identifying number				
1a	Section 964(e)(4) Subpart F dividend income from the sale of stock of a lower-	r-tier foreign corporation				
	(see instructions)		1a			
b	Section 245A(e)(2) Subpart F income from hybrid dividends of tiered corporat	tions (see instructions)	1b			
C	Section 954(c) Subpart F Foreign Personal Holding Company Income (enter re	esult from Worksheet A)	1c			
d	Section 954(d) Subpart F Foreign Base Company Sales Income (enter result fr	rom Worksheet A)	1d			
е	Section 954(e) Subpart F Foreign Base Company Services Income (enter resul	ılt from Worksheet A)	1e			
f	Other subpart F income (see instructions)		1f			
2	Earnings invested in U.S. property (enter the result from Worksheet B in the in-		2			
3	Section 245A eligible dividends (see instructions)		3			
	Factoring income		4			
	See instructions for reporting amounts on lines 1, 2, and 4 on your income tax					
5	Dividends received (translated at spot rate on payment date under section 989	9(b)(1))	5			
6	Exchange gain or (loss) on a distribution of previously taxed earnings and prof	ofits	6			
					Yes	No
<ul><li>Was a</li></ul>	ny income of the foreign corporation blocked?					X
<ul><li>Did an</li></ul>	y such income become unblocked during the tax year (see section 964(b))?					X
If the ans	swer to either question is "Yes," attach an explanation.					
				- 474 /-		00 10

Form **5471** (Rev. 12-2019)

#### SCHEDULE H (Form 5471)

(December 2018) Department of the Treasury Internal Revenue Service

## **Current Earnings and Profits**

► Attach to Form 5471.

► Go to www.irs.gov/Form5471 for instructions and the latest information.

OMB No. 1545-0123

of person filing Form 5471 JRAL RESOURCE GOVERNANCE INSTITUT	E					nber 4451390					
<b>U</b> 1						number (see instr.) 390					
Separate Category (Enter code-see instructions.)						GEN					
If code 901j is entered on line a, enter the country code for the s	ancti	oned country (see inst	ructions)		<b>•</b>						
MPORTANT: Enter the amounts on lines 1 through 5c infunctional currency.											
Current year net income or (loss) per foreign books of account					1	-244,969.					
Net adjustments made to line 1 to determine current											
earnings and profits according to U.S. financial and tax											
accounting standards (see instructions):		Net Additions	Net Subtr	actions							
Capital gains or losses	2a										
	2b										
	2c										
	2d										
	2e										
	2f										
Income taxes (see Schedule E, Part I, line 9, column (j))	2g										
Foreign currency gains or losses	2h										
	2i										
	3										
Total net subtractions	4										
Current earnings and profits (line 1 plus line 3 minus line 4)					5a	-244,969.					
					5b						
	5c	-244,969.									
						<u> </u>					
						-115.					
	of foreign corporation I TZ LIMITED  Separate Category (Enter code-see instructions.) If code 901j is entered on line a, enter the country code for the separate Category (Enter code-see instructions.)  Current year net income or (loss) per foreign books of account Net adjustments made to line 1 to determine current earnings and profits according to U.S. financial and tax accounting standards (see instructions):  Capital gains or losses  Depreciation and amortization  Depletion  Investment or incentive allowance  Charges to statutory reserves Inventory adjustments Income taxes (see Schedule E, Part I, line 9, column (j))  Foreign currency gains or losses  Other (attach statement)  Total net additions  Total net subtractions  Current earnings and profits (line 1 plus line 3 minus line 4)  DASTM gain or (loss) for foreign corporations that use DASTM (see Combine lines 5a and 5b)  Current earnings and profits in U.S. dollars (line 5c translated at the defined in section 989(b)(3) and the related regulations (see instructions (see instructions)	TRAL RESOURCE GOVERNANCE INSTITUTE of foreign corporation I TZ LIMITED  Separate Category (Enter code-see instructions.) If code 901j is entered on line a, enter the country code for the sanctic process of account in the sanction of the s	of foreign corporation I TZ LIMITED Separate Category (Enter code-see instructions.) If code 901j is entered on line a, enter the country code for the sanctioned country (see instructions) ORTANT: Enter the amounts on lines 1 through 5c infunctional currency.  Current year net income or (loss) per foreign books of account Net adjustments made to line 1 to determine current earnings and profits according to U.S. financial and tax accounting standards (see instructions):  Capital gains or losses Depreciation and amortization Depletion Investment or incentive allowance Charges to statutory reserves Inventory adjustments Income taxes (see Schedule E, Part I, line 9, column (j)) Total net additions Total net additions Total net subtractions Combine lines 5a and 5b Current earnings and profits in U.S. dollars (line 5c translated at the average exchange rate, defined in section 989(b)(3) and the related regulations (see instructions)	Separate Category (Enter code-see instructions.)  If code 901 j is entered on line a, enter the country code for the sanctioned country (see instructions)  PRTANT: Enter the amounts on lines 1 through 5c infunctional currency.  Current year net income or (loss) per foreign books of account Net adjustments made to line 1 to determine current earnings and profits according to U.S. financial and tax accounting standards (see instructions):  Capital gains or losses  Depreciation and amortization Depletion Investment or incentive allowance Charges to statutory reserves Income taxes (see Schedule E, Part I, line 9, column (ji)) Proreign currency gains or losses  Other (attach statement) Total net additions Total net subtractions  Current earnings and profits (line 1 plus line 3 minus line 4) DASTM gain or (loss) for foreign corporations that use DASTM (see instructions)  EIN (if any) 0 000000000  EIN (if any) 0 0000000000  EIN (if any) 0 000000000  EIN (if any) 0 00000000  EIN (if any) 0 00000000  EIN (if any) 0 00000000  EIN (if any) 0 0000000000  EIN (if any) 0 00000000  EIN (if any) 0 00000000  EIN (if any) 0 0000000  EIN (if any) 0 000000  EIN (if any) 0 0000000  EIN (if any) 0 0000000  EIN (if any) 0 000000  EIN (if any) 0 000000  EIN (if any) 0 00000000  EIN (if any) 0 000000  EIN (if any) 0 000	foreign corporation   EIN (if any)   Reference   20 4 4   Separate Category (Enter code-see instructions.)   If code 901) is entered on line a, enter the country code for the sanctioned country (see instructions)	TRAL RESOURCE GOVERNANCE INSTITUTE  EIN (if any) 0 0 0 0 0 0 0 0 0 20 4 4 5 1 3  Separate Category (Enter code-see instructions.)  If code 901j is entered on line a, enter the country code for the sanctioned country (see instructions)  PRTANT: Enter the amounts on lines 1 through 5c infunctional currency.  Current year net income or (loss) per foreign books of account  Net adjustments made to line 1 to determine current earnings and profits according to U.S. financial and tax accounting standards (see instructions):  Capital gains or losses  Depreciation and amortization Depletion  Investment or incentive allowance  Charges to statutory reserves  Income taxes (see Schedule E, Part I, line 9, column (j))  Foreign currency gains or losses  Other (attach statement)  Total net additions  Total net subtractions  Current earnings and profits (line 1 plus line 3 minus line 4)  DASTM gain or (loss) for foreign corporations that use DASTM (see instructions)  Selection on 000000000  EIN (if any)  Reference ID n 2044513  Reference ID n 2044513  Policidany  Reference ID n 2044513  Reference ID n 2044513  Reference ID n 2044513  Reference ID n 2044513  Policidany  Reference ID n 2044513  Reference ID n 2044513  Policidany  Reference ID n 2044513  Policidany  Reference ID n 2044513  Policidany  Reference ID n 2044513  Reference ID n 2044512  Reference ID n 2044513  Reference I					

LHA For Paperwork Reduction Act Notice, see instructions.

Schedule H (Form 5471) (12-2018)

#### SCHEDULE J (Form 5471) (Rev. December 2019)

Department of the Treasury Internal Revenue Service

## Accumulated Earnings & Profits (E&P) of Controlled Foreign Corporation

► Attach to Form 5471.

► Go to www.irs.gov/Form5471 for instructions and the latest information.

OMB No. 1545-0123

Name of person filing Form 5471

Identifying number

אדא תי	URAL RESOURCE GOVERNANCE INSTIT	TIME						20-	4451390
	f foreign corporation	OIE			ı	1		1 40-	4431330
	I TZ LIMITED				EIN (if any) 000000	000	Reference ID number 20445139	0	
	Separate Category (Enter code - see instructions.)								FB
	f code 901j is entered on line a, enter the country code for the							< -	
	t I Accumulated E&P of Controlled Foreign Co		se iristructions)						
	Check the box if person filing return does not have all U.S. sha	•	to complete amount for	or colum	nns (e)(i), (e)(ii),	(e)(iv), and (e)(x)	through (e)(xii) (se	e instruct	ions).
Important: Enter amounts in functional currency.		(a) Post-2017 E&P Not Previously Taxed (post-2017 section 959(c)(3) balance)	Post-1986 Undistributed Earnings (post-1986 and pre-2018 section 959(c)(3) balance)	Pre-19 Previo	(c) e-1987 E&P Not eviously Taxed re-1987 section 9(c)(3) balance)  (d) Hovering D and Deduc for Suspen Taxes		(e) Previous	sly Taxed n 965(a) sion	E&P (see instructions)  (ii) Section 965(b)(4)(A) (section 959(c)(1)(A))
1a	Balance at beginning of year (as reported on prior								
	year Schedule J)		1,705,643.						
b	Beginning balance adjustments (attach statement)								
С	Adjusted beginning balance (combine lines 1a and 1b)		1,705,643.						
2a	Reduction for taxes unsuspended under anti-splitter rules								
b	Disallowed deduction for taxes suspended under								
	anti-splitter rules								
3	Current year E&P (or deficit in E&P)		-244,969.						
4	E&P attributable to distributions of previously taxed								
	E&P from lower-tier foreign corporation								
5a	E&P carried over in nonrecognition transaction								
	Reclassify deficit in E&P as hovering deficit after								
	nonrecognition transaction								
6	Other adjustments (attach statement)								
7	Total current and accumulated E&P (combine lines								
	1c through 6)		1,460,674.						
8	Amounts reclassified to section 959(c)(2) E&P from								
	section 959(c)(3) E&P								
9	Actual distributions								
10	Amounts reclassified to section 959(c)(1) E&P							•	
	from section 959(c)(2) E&P								
11	Amounts included as earnings invested in U.S. property							•	
	and reclassified to section 959(c)(1) E&P (see instructions)								
12	Other adjustments (attach statement)								
13	Hovering deficit offset of undistributed								
	posttransaction E&P (see instructions)								
14	Balance at beginning of next year (combine lines 7								
	through 13)		1,460,674.						

Schedu	ule J (Form 5471) (Rev. 12-2	2019)											Page 2
Part	I Accumulated	E&I	P of Controlled	Forei	ign Corporat	i <b>on <sub>(contini</sub></b>	ued)						-
	(e) Previously Taxed E&P (see instructions)												
	(iii) Earnings Invested in U.S. Property (section 959(c)(1)(A))		(v) Section 951A Inclusion ection 959(c)(1)(A))		ction 245A(e)(2) Inclusion on 959(c)(1)(A))	(vi) Section	on 959(e) 59(c)(1)(A))	(vii) Section 9 Inclusio (section 959(d	n	(viii) Section 951(a)(1)(A) Inclu (section 959(c)(	ısion	(ix) Earnings Investe in Excess Passive Ass (section 959(c)(1)(B	ets   Section 965(a) Inclusion
1a													
b													
С													
2a													
b													
3													
4													
5a													
b													
6													
_ 7													
8													
9													
10													
11													
12													
13													
14													
	(e) Previously Taxed E&P (see instructions)									<b>(f)</b> Total Section 964(a) E&P			
	(xi) Section 965(b)(4)(A) (section 959(c)(2))  (xii) Section 951A Inclusion (section 959(c)(2))			(xiii) Section 245A(e)(2) Inclusion (section 959(c)(2))		(xiv) Section 959(e) (section 959(c)(2))		(xv) Section 964(e)(4) Inclusion (section 959(c)(2))		(xvi) Section 951(a)(1)(A) Inclusion (section 959(c)(2))		(combine columns (a), (b), (c), and (e)(i) through (e)(xvi))	
1a													1,705,643.
b													
С													1,705,643.
2a													
b													
3													-244,969.
4													
5a													
b													
_6													
7													1,460,674.
_ 8		_											
9		$\rightarrow$							1				
10													
11													
12		_							1				
13									1				1 160 674
14		- 1			1		1		1		l		1,460,674.

Dar	t II Nonpreviously Taxed E&P Subject to Recapture as Subpart F Income (section 952(c)(2))		
rai	Nonpreviously raked Lar Subject to Necapture as Subpart 1 income (section 952(c)(2))		
Impo	tant: Enter amounts in functional currency.		
1	Balance at beginning of year	1	1,701,122.
2	Additions (amounts subject to future recapture)	2	635,744,240.
3	Subtractions (amounts recaptured in current year)	3	-635,989,209.
4	Balance at end of year (combine lines 1 through 3)	4	1,456,153.

Schedule J (Form 5471) (Rev. 12-2019)

#### **SCHEDULE M** (Form 5471)

(Rev. December 2018) Department of the Treasury Internal Revenue Service

Name of person filing Form 5471

### Transactions Between Controlled Foreign Corporation and Shareholders or Other Related Persons

► Attach to Form 5471.

► Go to www.irs.gov/Form5471 for instructions and the latest information.

OMB No. 1545-0123

Identifying number

20-4451390

NATURAL	RESOURCE	GOVERNANCE	INSTITUTE
---------	----------	------------	-----------

Reference ID number

Name of foreign corporation EIN (if any) NATURAL RESOURCE CHARTER, LTD 00000000 204451390

Important: Complete a separate Schedule M for each controlled foreign corporation. Enter the totals for each type of transaction that occurred during the annual accounting period between the foreign corporation and the persons listed in columns (b) through (f). All amounts must be stated in U.S. dollars translated from functional currency at the average exchange rate for the foreign corporation's tax year. See instructions.

Enter the relevant functional currency and the ex		(C) Any domestic	(d) Any other foreign	(e) 10% or more U.S.	. 78244 (f) 10% or more U.S.
(a) Transactions of foreign corporation	(b) U.S. person filing this return	corporation or partnership controlled by U.S. person filing this return	corporation or partnership controlled by U.S. person filing this return	shareholder of controlled foreign corporation (other than the U.S. person filing this return)	shareholder of any corporation controlling the foreign corporation
1 Sales of stock in trade (inventory)					
2 Sales of tangible property other than					
stock in trade					
3 Sales of property rights (patents,					
trademarks, etc.) 4 Platform contribution transaction payments					
4 Platform contribution transaction payments received					
5 Cost sharing transaction payments received					
<b>6</b> Compensation received for technical,					
managerial, engineering, construction,					
or like services					
7 Commissions received					
8 Rents, royalties, and license fees received					
9 Hybrid dividends received (see instr.)					
10 Dividends received (exclude hybrid dividends, deemed distributions under					
subpart F, and distributions of previously taxed income)					
11 Interest received					
12 Premiums received for insurance or					
reinsurance					
13 Add lines 1 through 12					
14 Purchases of stock in trade (inventory)					
<b>15</b> Purchases of tangible property other					
than stock in trade					
<b>16</b> Purchases of property rights					
(patents, trademarks, etc.)					
17 Platform contribution transaction payments paid					
<b>18</b> Cost sharing transaction payments paid					
19 Compensation paid for technical, managerial, engineering, construction,					
or like services					
21 Rents, royalties, and license fees paid					
22 Hybrid dividends paid (see instructions) 23 Dividends paid (exclude hybrid dividends paid)					
24 Interest paid					
25 Premiums paid for insurance or reinsurance					
26 Add lines 14 through 25					
27 Accounts Payable					
28 Amounts borrowed (enter the maximum					
loan balance during the year) - see instr.					
29 Accounts Receivable					
30 Amounts loaned (enter the maximum	<u> </u>				
loan balance during the year) - see instr.					

912371 04-01-19 LHA For Paperwork Reduction Act Notice, see the Instructions for Form 5471.

Schedule M (Form 5471) (Rev. 12-2018)

(Rev. December 2019)

# Information Return of U.S. Persons With Respect to Certain Foreign Corporations ▶ Go to www.irs.gov/Form5471 for instructions and the latest information.

Information furnished for the foreign corporation's annual accounting period (tax year required by

OMB No. 1545-0123

Attachment

Internal Revenue Service	ection 898) (	see instructio	ns) beginning JAN	1,	2019, and ending	DEC 31, 201	_ <b>9</b> Seqi	uence No. <b>1</b>	121			
Name of person filing this return					A Identifying num		•					
NAMEDAL DEGOLDO	NE GOLT				00 4451	200						
NATURAL RESOURO  Number, street, and room or suite no. (c					20-4451		, annliaghla	hov(00)\:				
80 BROAD STREET	ı. NO.	1801			B Category of filer (See instructions. Check applicable box(es)):  1 2 3 4 X 5							
City or town, state, and ZIP code	, _,				C Enter the total percentage of the foreign corporation's voting stock							
	0004				you owned at th	e end of its annual accou	-	-	%			
Filer's tax year beginning JA	AN 1		, $2019$ , and ending	DE	C 31	,2019						
D Check box if this is a final Forr									📙			
E Check if any excepted specifie			•	(see in:	structions)				<u></u>			
F Person(s) on whose behalf thi	is information	n return is tile	<u>a:</u>				(A) Chec	k applicable	a hov(ac)			
<b>(1)</b> Name			(2) Address	;		(3) Identifying number	Shareholder	Officer	Director			
								0111001	Birottor			
								<u> </u>				
Important: Fill in all applications			s. All information <b>mus</b>	t be in	n English. All amou	nts <b>must</b> be stated in	U.S. dollar	S				
unless otherwi						h(1) Employer ident	ification nun	abor if any				
1a Name and address of foreign NRGI TZ LIMIT	•					000000		cation number, if any				
TCRS BUILDING		,436 M	IKOCHENI B,	MW.	AI KIBAKI		number (see instructions)					
DAR ES SALAAM	1		-			2044513			,			
TANZANIA						<b>c</b> Country under		incorporate	bd			
						TANZANI						
d Date of e Princip incorporation DAR ES	al place of b		f Principal business activity	-	Principal business ac	-	<b>h</b> Function	al currency	,			
DAR ES 02/20/17TANZANI		M	code number 519100	TNL	ORMATIONA		ANIA,	ситт.т	.TNC			
2 Provide the following informa		foreian corno	L	d stater	d ahove	IANZA	шти,	SIITHE	IIIG			
a Name, address, and identifying						filed, enter:						
NOT APPLICABL							(ii) l	(ii) U.S. income tax paid				
						(i) Taxable income or (l	(loss) (after all cr		credits)			
Name and address of foreign	aarnaration	lo ototutoru or	rapidant agent	٠,	Name and address	(including cornerate den	ortmont if a	nnlicable) (				
<ul> <li>Name and address of foreign in country of incorporation</li> </ul>	corporation	S Statutory or	resident agent	"	person (or persons	(including corporate dep ) with custody of the boo	oks and reco	ords of the f	oreign			
					corporation, and the	e location of such books	and records	s, if differen	t			
NOT APPLICABL	ĿΕ				NATURAL R	ESOURCE GOV	ERNAN	CE IN	ST.			
					80 BROAD							
					NEW YORK	NY 10004						
Schedule A Stock of	files Fam	oian Cam										
Schedule A Stock of	the For	eign Cor <sub>l</sub>	oration			<b>(b)</b> Number of sh	ares issued	and outstar	nding			
	(a) Desc	rintion of each	n class of stock			(i) Beginning of annu		(ii) End of a				
	(4) 0030	inplion of odol	I GIGGG OT GLOCK			accounting period		ccounting p				
COMMON							1		1			
								F 474 ·-	10.65.55			
LHA For Paperwork Reduction	Act Notice,	see instructio	ns.				Form	<b>5471</b> (Re	v. 12-2019)			

Form 5471 (Rev. 12-2019) Page 2

Schedule B   Shareholders of Forei				
Part I U.S. Shareholders of Foreig	n Corporation (see instructions)			
(a) Name, address, and identifying number of shareholder	(b) Description of each class of stock held by shareholder.  Note: This description should match the corresponding description entered in Schedule A, column (a).	(c) Number of shares held at beginning of annual accounting period	(d) Number of shares held at end of annual accounting period	(e) Pro rata share of Subpart F income (enter as a percentage)
NAT RES GOV INST	COMMON	1	1	
80 BROAD STREET				1
NEW YORK NY 10004				1
				1
				1
				1
				1
				1
				1
				1
				1
Part II Direct Shareholders of Fore	eign Corporation (see instructions)	1		
<u> </u>			(a) Number of	(d) Number of
(a) Name, address, and identifying number of shareholder. Also include country of incorporation or formation, if applicable.	(b) Description of each class of stock hel  Note: This description should match the description entered in Schedule A,	ne corresponding	(c) Number of shares held at beginning of annual accounting period	(d) Number of shares held at end of annual accounting period

Form 5471 (Rev. 12-2019) Page **3** 

#### Schedule C Income Statement

**Important:** Report all information in functional currency in accordance with U.S. GAAP. Also, report each amount in U.S. dollars translated from functional currency (using GAAP translation rules). However, if the functional currency is the U.S. dollar, complete only the U.S. Dollars column. See instructions for special rules for DASTM corporations.

			Functional Currency	U.S. Dollars
	1a Gross receipts or sales	1a		
	<b>b</b> Returns and allowances	1b		
	c Subtract line 1b from line 1a	1c		
	2 Cost of goods sold			
	3 Gross profit (subtract line 2 from line 1c)			
ы	4 Dividends	4		
ncome	5 Interest	I -		
<u>ء</u>	6a Gross rents	6a		
	<b>b</b> Gross royalties and license fees			
	7 Net gain or (loss) on sale of capital assets			
	8a Foreign currency transaction gain or loss - unrealized	8a	1,822,581.	791.
	<b>b</b> Foreign currency transaction gain or loss - realized	8b		
	9 Other income (attach statement) SEE STATEMENT 9	9	633,921,659.	275,122.
	10 Total income (add lines 3 through 9)		635,744,240.	275,913.
	11 Compensation not deducted elsewhere	11	387,105,375.	168,009.
	12a Rents		40,293,765.	17,488.
	<b>b</b> Royalties and license fees	12b		
Su	13 Interest	13		
cţi	14 Depreciation not deducted elsewhere		4,214,513.	1,829.
Deductions	15 Depletion			
Ď	16 Taxes (exclude income tax expense (benefit))			
	17 Other deductions (attach statement - exclude income tax expense			
	(benefit)) SEE STATEMENT 10	17	204,375,556.	
	18 Total deductions (add lines 11 through 17)	18	635,989,209.	276,028.
	19 Net income or (loss) before unusual or infrequently occurring items, and			
ше	income tax expense (benefit) (subtract line 18 from line 10)	19	-244,969.	-115.
Net Income	20 Unusual or infrequently occurring items	20		
늘	21a Income tax expense (benefit) - current	21a		
Ž	<b>b</b> Income tax expense (benefit) - deferred	21b		
	22 Current year net income or (loss) per books (combine lines 19 through 21b)	22	-244,969.	-115.
- Ve	23a Foreign currency translation adjustments	23a		
Other Comprehensive Income	<b>b</b> Other			
Othe preh ncon	c Income tax expense (benefit) related to other comprehensive income	23c		
Com	24 Other comprehensive income (loss), net of tax (line 23a plus line 23b less			
ŭ	line 23c)	24		

Form **5471** (Rev. 12-2019)

Form 5471 (Rev. 12-2019) Page 4

#### Schedule F Balance Sheet

**Important:** Report all amounts in U.S. dollars prepared and translated in accordance with U.S. GAAP. See instructions for an exception for DASTM corporations

	Assets	(a) Beginning of annual accounting period	En: accoi	(b) d of annual unting period	
1	Cash	1	20,898.		7,718.
2a	Trade notes and accounts receivable	2a			
b	Less allowance for bad debts	2b	(	(	)
3	Derivatives	3			
4	Inventories	4			
5	Other current assets (attach statement) SEE STATEMENT 11	5	6,043.		2,287.
6	Loans to shareholders and other related persons	6			
7	Investment in subsidiaries (attach statement)	7			
8	Other investments (attach statement)	8			
9a	Buildings and other depreciable assets	9a	4,548.		7,577.
b	Less accumulated depreciation	9b	( 1,037,	(	2,862,
10a	1	10a			
b	Less accumulated depletion	10b	(	(	)
11	Land (net of any amortization)	11			
12	Intangible assets:				
a	Goodwill	12a			
b	Organization costs	12b			
C	Patents, trademarks, and other intangible assets	12c	,	,	
	Less accumulated amortization for lines 12a, 12b, and 12c	12d	(	(	)
13	Other assets (attach statement)	13	20 452		14 720
14	Total assets  Liabilities and Shareholders' Equity	14	30,452.		14,720.
15	<del>-</del> <del>-</del>	45	10,676.		11,214.
15	Accounts payable Other current liabilities (attach statement) SEE STATEMENT 12	15	19,029.		2,874.
16 17		16 17	19,029.		2,074.
18	Derivatives	18			
19	Loans from shareholders and other related persons  Other liabilities (attach statement)	19			
20	Other liabilities (attach statement)  Capital stock:	19			
a	Preferred stock	20a			
b	Common stock	20b			
21	Paid-in or capital surplus (attach reconciliation)	21			
22	Retained earnings	22	747.		632.
23	Less cost of treasury stock	23	(	(	)
24	Total liabilities and shareholders' equity	24	30,452.	\	14,720.
Sc	nedule G Other Information		,		· ·
					Yes No
1	During the tax year, did the foreign corporation own at least a 10% interest, directly or indirectly, in	n any fo	oreign		
	partnership?				X
	If "Yes," see the instructions for required statement.				
2	During the tax year, did the foreign corporation own an interest in any trust?				Х
3	During the tax year, did the foreign corporation own any foreign entities that were disregarded as s	separat	e from		
	their owner under Regulations sections 301.7701-2 and 301.7701-3 or did the foreign corporation	n own a	ny foreign		
	branches (see instructions)?				X
	If "Yes," you are generally required to attach Form 8858 for each entity or branch (see instructions	).			
4a	During the tax year, did the filer pay or accrue any base erosion payment under section 59A(d) to	the fore	eign		
	corporation or did the filer have a base erosion tax benefit under section 59A(c)(2) with respect to				
	payment made or accrued to the foreign corporation (see instructions)?				X
	If "Yes," complete lines 4b and 4c.				
b	Enter the total amount of the base erosion payments				
C	Enter the total amount of the base erosion tax benefit				
5a	During the tax year, did the foreign corporation pay or accrue any interest or royalty for which the	aeducti	ion is not		77
	allowed under section 267A?				1   X

**b** Enter the total amount of the disallowed deductions (see instructions) 912331 12-16-19

If "Yes," complete line 5b.

FORM 5471	ОТНЕ	R INCOME		STATEMENT	9
DESCRIPTION		FUNCTIONAL CURRENCY	EXCHANGE RATE	U.S. DOLL	AR
CONTRIBUTIONS		633,921,659	000434	275,1	22.
TOTAL TO 5471, SCHEDULE C, LI	INE 9	633,921,659	- • =	275,1	22.
FORM 5471	OTHER I	DEDUCTIONS		STATEMENT	10
DESCRIPTION		FUNCTIONAL CURRENCY	EXCHANGE RATE	U.S. DOLL	AR
OTHER PROFESSIONAL FEES TRAVEL CONFERENCES OFFICE EXPENSES SOFTWARE SUPPORT MISCELLANEOUS PRINTING		56,422,092 84,194,578 32,983,525 25,961,450 2,576,825 2,237,086	000434 000434 000434	24,4 36,5 14,3 11,2 1,1	42. 15. 68.
TOTAL TO 5471, SCHEDULE C, LI	INE 17	204,375,556	- - =	88,7	02.
FORM 5471	OTHER CUI	RRENT ASSETS		STATEMENT	11
DESCRIPTION			G. OF ANNUAL ACCOUNTING PERIOD	END OF ANN ACCOUNTIN PERIOD	-
PREPAID EXPENSES			6,043.	2,2	87.
TOTAL TO 5471, PAGE 4, SCHEDU	JLE F, LII	NE 5	6,043.	2,2	87.

FORM 5471 OTHER CURRENT LIABI	LITIES	STATEMENT 12
DESCRIPTION	BEG. OF ANNUAL ACCOUNTING PERIOD	END OF ANNUAL ACCOUNTING PERIOD
DEFERRED REVENUE	19,029.	2,874.
TOTAL TO 5471, PAGE 4, SCHEDULE F, LINE 16	19,029.	2,874.

Form 5471 (Rev. 12-2019)

Schedule G Other Information (continued) Page 5

<u> </u>	Tedule G Other Information (continued)		
٥.	Lather files of this Form F 474 alringing of continuation of interesting in the continuation (and a section 050) with a section	Yes	No
ьа	Is the filer of this Form 5471 claiming a foreign-derived intangible income deduction (under section 250) with respect		Х
	to any amounts listed on Schedule M?		
	If "Yes," complete lines 6b, 6c, and 6d.		
D	Enter the amount of gross income derived from sales, leases, exchanges, or other dispositions (but not licenses)		
	from transactions with the foreign corporation that the filer included in its computation of foreign-derived deduction		
	eligible income (FDDEI) (see instructions)		
C	Enter the amount of gross income derived from a license of property to the foreign corporation that the filer included		
	in its computation of FDDEI (see instructions)		
d	Enter the amount of gross income derived from services provided to the foreign corporation that the filer included in		
	its computation of FDDEI (see instructions)		
7	During the tax year, was the foreign corporation a participant in any cost sharing arrangement?		X
8	During the course of the tax year, did the foreign corporation become a participant in any cost sharing arrangement?		X
9	If the answer to question 7 is "Yes," was the foreign corporation a participant in a cost sharing arrangement that		
	was in effect before January 5, 2009?		_X_
10	If the answer to question 7 is "Yes," did a U.S. taxpayer make any platform contributions as defined under		
	Regulations section 1.482-7(c) to that cost sharing arrangement during the taxable year?		X
11	If the answer to question 10 is "Yes," enter the present value of the platform contributions in U.S. dollars \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		
12	If the answer to question 10 is "Yes," check the box for the method under Regulations section 1.482-7(g) used to		
	determine the price of the platform contribution transaction(s):		
	Comparable uncontrolled transaction method Income method Acquisition price method		
	Market capitalization method Residual profit split method Unspecified methods		
13	From April 25, 2014, to December 31, 2017, did the foreign corporation purchase stock or securities of a		
	shareholder of the foreign corporation for use in a triangular reorganization (within the meaning of Regulations		
	section 1.358-6(b)(2))?		X
14a	Did the foreign corporation receive any intangible property in a prior year or the current tax year for which the U.S.		
	transferor is required to report a section 367(d) annual income inclusion for the taxable year?		X
	If "Yes," go to line 14b.		
b	Enter the amount of the earnings and profits reduction pursuant to section 367(d)(2)(B) for the taxable year > \$		
15	During the tax year, was the foreign corporation an expatriated foreign subsidiary under Regulations section		
	1.7874-12(a)(9)?		X
	If "Yes," see instructions and attach statement.		
16	During the tax year, did the foreign corporation participate in any reportable transaction as defined in Regulations		
	section 1.6011-4?		X
	If "Yes," attach Form(s) 8886 if required by Regulations section 1.6011-4(c)(3)(i)(G).		
17	During the tax year, did the foreign corporation pay or accrue any foreign tax that was disqualified for credit under		
	section 901(m)?		X
18	During the tax year, did the foreign corporation pay or accrue foreign taxes to which section 909 applies, or treat		
	foreign taxes that were previously suspended under section 909 as no longer suspended?		Х
19	Did you answer "Yes" to any of the questions in the instructions for line 19?		X
	If "Yes," enter the corresponding code(s) from the instructions and attach statement (see instructions)		
20	Does the foreign corporation have interest expense disallowed under section 163(j) (see instructions)?		X
	If "Yes," enter the amount		
21	Does the foreign corporation have previously disallowed interest expense under section 163(j) carried forward		
	to the current tax year (see instructions)?		X
	If "Yes," enter the amount \$\infty\$		

20-4451390

Form 5471 (Rev. 12-2019) Page **6** 

#### Schedule I Summary of Shareholder's Income From Foreign Corporation

If item F on page 1 is completed, a separate Schedule I must be filed for each Category 4 or 5 filer for whom reporting is furnished on this Form 5471. This Schedule I is being completed for:

Name of	U.S. shareholder	Identifying number				
1a	Section 964(e)(4) Subpart F dividend income from the sale of stock of a lower-	r-tier foreign corporation				
	(see instructions)		1a			
b	Section 245A(e)(2) Subpart F income from hybrid dividends of tiered corporat	tions (see instructions)	1b			
C	Section 954(c) Subpart F Foreign Personal Holding Company Income (enter re	esult from Worksheet A)	1c			
d	Section 954(d) Subpart F Foreign Base Company Sales Income (enter result fr	rom Worksheet A)	1d			
е	Section 954(e) Subpart F Foreign Base Company Services Income (enter resul	ılt from Worksheet A)	1e			
f	Other subpart F income (see instructions)		1f			
2	Earnings invested in U.S. property (enter the result from Worksheet B in the in-		2			
3	Section 245A eligible dividends (see instructions)		3			
	Factoring income		4			
	See instructions for reporting amounts on lines 1, 2, and 4 on your income tax					
5	Dividends received (translated at spot rate on payment date under section 989	9(b)(1))	5			
6	Exchange gain or (loss) on a distribution of previously taxed earnings and prof	ofits	6			
					Yes	No
<ul><li>Was a</li></ul>	ny income of the foreign corporation blocked?					X
<ul><li>Did an</li></ul>	y such income become unblocked during the tax year (see section 964(b))?					X
If the ans	swer to either question is "Yes," attach an explanation.					
				- 474 /-		00 10

#### SCHEDULE H (Form 5471)

(December 2018)
Department of the Treasury
Internal Revenue Service

### **Current Earnings and Profits**

► Attach to Form 5471.

► Go to www.irs.gov/Form5471 for instructions and the latest information.

OMB No. 1545-0123

	of person filing Form 5471 JRAL RESOURCE GOVERNANCE INSTITUTI	3			Identifyii		mber 4451390
	of foreign corporation JRAL RESOURCE GOVERNANCE INSTI		(if any) 0 0 0 0 0 0 0 0 0		Reference 2044		number (see instr.) 9 0
а	Separate Category (Enter code-see instructions.)						GEN
b	If code 901j is entered on line a, enter the country code for the s	anctio	oned country (see inst	ructions)		<b>•</b>	
IMPC	PRTANT: Enter the amounts on lines 1 through 5c infunctional co	urrenc	су.				
1	Current year net income or (loss) per foreign books of account .					1	-13282725.
2	Net adjustments made to line 1 to determine current	Ī					
	earnings and profits according to U.S. financial and tax						
	accounting standards (see instructions):		Net Additions	Net Subtr	actions		
а	Capital gains or losses	2a					
b	Depreciation and amortization	2b					
С	Depletion	2c					
d	Investment or incentive allowance	2d					
е	Charges to statutory reserves	2e					
f	Inventory adjustments	2f					
g	Income taxes (see Schedule E, Part I, line 9, column (j))	2g					
h	Foreign currency gains or losses	2h					
i	Other (attach statement)	2i					
3	Total net additions	3					
4	Total net subtractions	4					
5 a	Current earnings and profits (line 1 plus line 3 minus line 4)					5a	-13282725.
b	DASTM gain or (loss) for foreign corporations that use DASTM (see	ee inst	tructions)			5b	
С	Combine lines 5a and 5b					5с	-13282725.
d	Current earnings and profits in U.S. dollars (line 5c translated at the	he ave	erage exchange rate, a	as			
	defined in section 989(b)(3) and the related regulations (see instru	uction	s))			5d	-8,801.
	Enter exchange	ne rate	e used for line 5d		1509		

LHA For Paperwork Reduction Act Notice, see instructions.

Schedule H (Form 5471) (12-2018)

#### SCHEDULE J (Form 5471) (Rev. December 2019)

### Accumulated Earnings & Profits (E&P) of Controlled Foreign Corporation

► Attach to Form 5471.

► Go to www.irs.gov/Form5471 for instructions and the latest information.

OMB No. 1545-0123

Name of person filing Form 5471

through 13)

Department of the Treasury Internal Revenue Service

Identifying number

NAT	URAL RESOURCE GOVERNANCE INSTIT	UTE					20-	4451390
Name o	of foreign corporation			EIN (if any)		Reference ID number		
<b>NA</b> 1	URAL RESOURCE GOVERNANCE INSTIT	UTE - LEBAN	ION	00000	0000	204451390		
a	Separate Category (Enter code - see instructions.)					<b>&gt;</b>		FB
b I	f code 901j is entered on line a, enter the country code for the	sanctioned country (s	ee instructions)			<b>&gt;</b>		
	t I Accumulated E&P of Controlled Foreign Co							
	Check the box if person filing return does not have all U.S. sha	areholders' information	to complete amount f	or columns (e)(i), (e)	(ii), (e)(iv), and (e)(x)	through (e)(xii) (see	instruct	ions).
Impo	rtant: Enter amounts in functional currency.	(a) Post-2017 E&P Not Previously Taxed (post-2017 section 959(c)(3) balance)	Post-1986 Undistributed Earnings (post-1986 and pre-2018 section 959(c)(3) balance)	(c) Pre-1987 E&P No Previously Taxed (pre-1987 section 959(c)(3) balance	and Deduction for Suspender	(i) Section 9	165(a) n	E&P (see instructions)  (ii) Section 965(b)(4)(A) (section 959(c)(1)(A))
1a	Balance at beginning of year (as reported on prior							
	year Schedule J)		24,039,244.					
b	Beginning balance adjustments (attach statement)							
c	Adjusted beginning balance (combine lines 1a and 1b)		24,039,244.					
2a	Reduction for taxes unsuspended under anti-splitter rules							
b	Disallowed deduction for taxes suspended under							
	anti-splitter rules							
_3_	Current year E&P (or deficit in E&P)		13,282,725.					
4	E&P attributable to distributions of previously taxed							
	E&P from lower-tier foreign corporation							
_5a	E&P carried over in nonrecognition transaction							
b	Reclassify deficit in E&P as hovering deficit after							
	nonrecognition transaction							
6_	Other adjustments (attach statement)							
7	Total current and accumulated E&P (combine lines 1c through 6)		37,321,969.					
8	Amounts reclassified to section 959(c)(2) E&P from							
	section 959(c)(3) E&P							
9	Actual distributions							
10	Amounts reclassified to section 959(c)(1) E&P							
	from section 959(c)(2) E&P							
11	Amounts included as earnings invested in U.S. property			·				
	and reclassified to section 959(c)(1) E&P (see instructions)							
12	Other adjustments (attach statement)							
13	Hovering deficit offset of undistributed							
	posttransaction E&P (see instructions)							
14	Balance at beginning of next year (combine lines 7				1			1

37,321,969.

Page 2

Part	I Accumulated	E&P of Controlle	d Foreign Corpora	tion <sub>(continued)</sub>						
				ously Taxed E&P (se	ee instructions)					
	(iii) Earnings Invested in U.S. Property (section 959(c)(1)(A))	(iv) Section 951A Inclusion (section 959(c)(1)(A))	(v) Section 245A(e)(2) Inclusion		(vii) Section	on	(viii) Section 951(a)(1)(A) Inclu (section 959(c)(1	ısion   in Excess Passi	ve Assets	(x) Section 965(a) Inclusion (section 959(c)(2))
1a										
b										
С										
2a										
b										
3										
4										
5a										
b										
6										
7 8										
9										
10										
11										
12										
13										
14										
			(e) Previ	ously Taxed E&P (se	ee instructions)			•		(f)
	(xi) Section 965(b)(4)( (section 959(c)(2))	(xii) Section 9 Inclusion (section 959(c	Inclus	sion (X	iv) Section 959(e) section 959(c)(2))		Section 964(e)(4) Inclusion ction 959(c)(2))	(xvi) Section 951(a)(1)(A) Inclusi (section 959(c)(2)	on (Co	Total Section 964(a) E&P ombine columns (a), (b), (c), and (e)(i) through (e)(xvi))
1a										24,039,244.
b										
С										24,039,244.
2a										
b										12 000 505
3										13,282,725.
4						-				
5a										
6										
7										37,321,969.
8										0.,022,000
9									-+	
10										
11									$\neg \uparrow$	
12										
13										
14										37,321,969.

Par	II Nonpreviously Taxed E&P Subject to Recapture as Subpart F Income (section 952(c)(2))		
Impor	tant: Enter amounts in functional currency.		
1	Balance at beginning of year	1	6,161,738.
2	Additions (amounts subject to future recapture)	2	59,558,597.
3	Subtractions (amounts recaptured in current year)	3	-72,841,322.
4	Balance at end of year (combine lines 1 through 3)	4	-7,120,987.

Schedule J (Form 5471) (Rev. 12-2019)

#### SCHEDULE M (Form 5471)

(Rev. December 2018)
Department of the Treasury
Internal Revenue Service

Name of person filing Form 5471

# Transactions Between Controlled Foreign Corporation and Shareholders or Other Related Persons

► Attach to Form 5471.

► Go to www.irs.gov/Form5471 for instructions and the latest information.

OMB No. 1545-0123

Identifying number

NATURAL RESOURCE GOVERNANCE INSTIT	TUTE	20-4451390					
Name of foreign corporation	EIN (if any)	Reference ID number					
NATURAL RESOURCE CHARTER, LTD 000000000 204451390							
Important: Complete a separate Schedule M for each controlled foreign corporation. Enter the totals for each type of transaction that occurred during							

**Important:** Complete a **separate** Schedule M for each controlled foreign corporation. Enter the totals for each type of transaction that occurred during the annual accounting period between the foreign corporation and the persons listed in columns (b) through (f). All amounts must be stated in U.S. dollars translated from functional currency at the average exchange rate for the foreign corporation's tax year. See instructions.

Enter the relevant functional currency and the e	xchange rate used thro	oughout this schedule 🕨	UNITED KING	DOM, POUND	.782449
(a) Transactions of foreign corporation	(b) U.S. person filing this return	(C) Any domestic corporation or partnership controlled by U.S. person filing this return	(d) Any other foreign corporation or partnership controlled by U.S. person filling this return	(e) 10% or more U.S. shareholder of controlled foreign corporation (other than the U.S. person filing this return)	(f) 10% or more U.S. shareholder of any corporation controlling the foreign corporation
1 Sales of stock in trade (inventory)					
2 Sales of tangible property other than					
stock in trade					
3 Sales of property rights (patents,					
trademarks, etc.)					
4 Platform contribution transaction payments received					
5 Cost sharing transaction payments received					
6 Compensation received for technical,					
managerial, engineering, construction,					
or like services					
7 Commissions received					
8 Rents, royalties, and license fees received					
9 Hybrid dividends received (see instr.)					
10 Dividends received (exclude hybrid dividends, deemed distributions under subpart F, and distributions of					
previously taxed income)					
11 Interest received					
12 Premiums received for insurance or					
reinsurance					
13 Add lines 1 through 12					
14 Purchases of stock in trade (inventory)					
15 Purchases of tangible property other					
than stock in trade					
16 Purchases of property rights					
(patents, trademarks, etc.)					
17 Platform contribution transaction payments paid					
18 Cost sharing transaction payments paid					
19 Compensation paid for technical, managerial, engineering, construction, or like services					
20 Commissions paid					
21 Rents, royalties, and license fees paid					
22 Hybrid dividends paid (see instructions) 23 Dividends paid (exclude hybrid dividends paid)					
24 Interest paid					
25 Premiums paid for insurance or reinsurance					
26 Add lines 14 through 25					
27 Accounts Payable					
28 Amounts borrowed (enter the maximum					
loan balance during the year) - see instr.					
29 Accounts Receivable					
30 Amounts loaned (enter the maximum					
loan balance during the year) - see instr.					

912371 04-01-19 LHA For Paperwork Reduction Act Notice, see the Instructions for Form 5471.

Schedule M (Form 5471) (Rev. 12-2018)

### Form **547**1

(Rev. December 2019)

Department of the Treasury

# Information Return of U.S. Persons With Respect to Certain Foreign Corporations

► Go to www.irs.gov/Form5471 for instructions and the latest information.

Information furnished for the foreign corporation's annual accounting period (tax year required by

OMB No. 1545-0123

Attachment

Internal Revenue Service section 898)	(see instructions) beginning JAN 1	, 2019, and ending	DEC 31, 201	9 Seque	ence No. <b>1</b>	21		
Name of person filing this return		A Identifying num		•				
		00.4454						
NATURAL RESOURCE GOV  Number, street, and room or suite no. (or P.O. box nur			20-4451390					
80 BROAD STREET, NO.	,	<b>B</b> Category of filer	(See instructions. Check	applicable b				
City or town, state, and ZIP code		C. Enter the total no	1 2 3 ercentage of the foreign c			ck		
NEW YORK, NY 10004		1	e end of its annual accou	-	voting sto	%		
Filer's tax year beginning JAN 1	, $2019$ , and ending $$ I	DEC 31	.2019	nting poriou				
D Check box if this is a final Form 5471 for the	, , ,		,					
E Check if any excepted specified foreign fin	nancial assets are reported on this form (se	e instructions)						
F Person(s) on whose behalf this informatio	on return is filed:							
<b>(1)</b> Name	(2) Address		(3) Identifying number	<del></del>	applicable	box(es)		
(1)1141110	(2)/(dai/000		(e) raditally mg mamber	Shareholder	Officer	Director		
	<u> </u>							
	+							
Important: Fill in all applicable lines a	Land schedules All information must b	ne in Fnalish All amou	nts <b>must</b> be stated in	L L U.S. dollars	<u> </u>			
unless otherwise indicate		o III Erigilori. 7 III arriod	mo made so stated m	o.o. donaro	•			
1a Name and address of foreign corporation	n		b(1) Employer identif	fication numb	per, if any			
	OVERNANCE INSTITUTE	E - LEBANON	0000000	00				
HAROUT BUILDING, F	'L 3		b(2) Reference ID nu		structions	)		
BEIRUT 701161			2044513					
LEBANON			c Country under v		ncorporate	d		
d Date of e Principal place of b	business <b>f</b> Principal	a Dringing business as	LEBANON	h Functiona	Lourrency			
incorporation	husiness activity	g Principal business ac NFORMATIONA	tivity	ii ranonona	. curroncy			
02/02/16LEBANON	519100	NI OIUIIII I OINI		ON, PO	DUND			
	foreign corporation's accounting period st	ated above.		,				
a Name, address, and identifying number of			<b>b</b> If a U.S. income tax i	return was fil	led, enter:			
NOT APPLICABLE			(i) Taxable income or (lo		S. income			
			(i) raxable illcome or (io	(8	after all cre	dits)		
Name and address of females are supplied		d. Name and address	(:!!:		- !  -  - \			
<ul> <li>Name and address of foreign corporation in country of incorporation</li> </ul>	is statutory or resident agent	person (or persons	(including corporate depa ) with custody of the bool	ks and recor	ds of the fo	ı oreign		
		corporation, and the	e location of such books a	and records,	if different			
NOT APPLICABLE		NATURAL R	ESOURCE GOV	ERNANC	CE IN	ST.		
		80 BROAD	STREET					
		NEW YORK	NY 10004					
Schedule A Stock of the For	reign Corporation		(1) 1)	<u> </u>				
(1)			(b) Number of sha					
(a) Desc	cription of each class of stock		(i) Beginning of annua accounting period	al (II	i) End of ar counting p			
COMMON			31	1		1		
				_				
I ⊢∆ For Panerwork Reduction Act Notice	see instructions			Form 5	471 (Rev	/ 12-2019)		

Schedule B   Shareholders of Forei				
Part I U.S. Shareholders of Foreig	n Corporation (see instructions)			
(a) Name, address, and identifying number of shareholder	(b) Description of each class of stock held by shareholder.  Note: This description should match the corresponding description entered in Schedule A, column (a).	(c) Number of shares held at beginning of annual accounting period	(d) Number of shares held at end of annual accounting period	(e) Pro rata share of Subpart F income (enter as a percentage)
NAT RES GOV INST	COMMON	1	1	-
80 BROAD STREET				
NEW YORK NY 10004				
				_
				_
				4
				-
				-
				-
				1
				1
Part II Direct Shareholders of Fore	eign Corporation (see instructions)			
(a) Name, address, and identifying number of shareholder. Also include country of incorporation or formation, if applicable.	(b) Description of each class of stock held <b>Note;</b> This description should match the description entered in Schedule A, o	corresponding	(c) Number of shares held at beginning of annual accounting period	(d) Number of shares held at end of annual accounting period

#### Schedule C Income Statement

**Important:** Report all information in functional currency in accordance with U.S. GAAP. Also, report each amount in U.S. dollars translated from functional currency (using GAAP translation rules). However, if the functional currency is the U.S. dollar, complete only the U.S. Dollars column. See instructions for special rules for DASTM corporations.

			Functional Currency	U.S. Dollars
	1a Gross receipts or sales	1a		
	<b>b</b> Returns and allowances			
	c Subtract line 1b from line 1a			
	2 Cost of goods sold			
	3 Gross profit (subtract line 2 from line 1c)	3		
<u>ə</u>	4 Dividends			
ncome	5 Interest			
<u>=</u>	6a Gross rents			
	<b>b</b> Gross royalties and license fees			
	7 Net gain or (loss) on sale of capital assets			
	8a Foreign currency transaction gain or loss - unrealized		-170,437.	-113.
	<b>b</b> Foreign currency transaction gain or loss - realized	8b	2.	0.
	9 Other income (attach statement) SEE STATEMENT 13	9	59,729,032.	
	10 Total income (add lines 3 through 9)	10	59,558,597.	39,464.
	11 Compensation not deducted elsewhere		45,371,872.	30,064.
	12a Rents	40	17,671,055.	11,709.
	<b>b</b> Royalties and license fees	12b		
ns	13 Interest	13		
Deductions	14 Depreciation not deducted elsewhere	14	606,144.	402.
Ď	15 Depletion			
å	16 Taxes (exclude income tax expense (benefit))	16		
	17 Other deductions (attach statement - exclude income tax expense			
	(benefit)) SEE STATEMENT 14	17	9,192,251.	6,090.
	18 Total deductions (add lines 11 through 17)		72,841,322.	48,265.
	19 Net income or (loss) before unusual or infrequently occurring items, and			
ц	income tax expense (benefit) (subtract line 18 from line 10)	19	-13,282,725.	-8,801.
Net Income	20 Unusual or infrequently occurring items	20		
두	21a Income tax expense (benefit) - current	21a		
Š	<b>b</b> Income tax expense (benefit) - deferred	21b		
	22 Current year net income or (loss) per books (combine lines 19 through 21b)	22	-13,282,725.	-8,801.
φ	23a Foreign currency translation adjustments	23a		
Other nprehensive Income	<b>b</b> Other	23b		
Othe orehe	c Income tax expense (benefit) related to other comprehensive income	23c		
Comp	24 Other comprehensive income (loss), net of tax (line 23a plus line 23b less			
U	line 23c)	24		
	· · · · · · · · · · · · · · · · · · ·			Form <b>5471</b> (Rev. 12-2019)

#### Schedule F | Balance Sheet

**Important:** Report all amounts in U.S. dollars prepared and translated in accordance with U.S. GAAP. See instructions for an exception for DASTM corporations.

for a	n exception for DASTM corporations.		(a)	(b)
	Assets		Beginning of annual accounting period	End of annual accounting period
1	Cash	1	13,651.	359.
2a	Trade notes and accounts receivable	2a		
b	Less allowance for bad debts	2b	( )	(
3	Derivatives	3		
4	Inventories	4		
5	Other current assets (attach statement) SEE STATEMENT 15	5	960.	1,032.
6	Loans to shareholders and other related persons	6		
7	Investment in subsidiaries (attach statement)	7		
8	Other investments (attach statement)	8	1 006	1 005
9a	Buildings and other depreciable assets	9a	1,206.	1,205.
b	Less accumulated depreciation	9b	( 804.)	( 1,205)
10a	Depletable assets	10a		
b	Less accumulated depletion	10b	( )	( )
11	Land (net of any amortization)	11		
12	Intangible assets:			
а	Goodwill	12a		
b	Organization costs	12b		
C	Patents, trademarks, and other intangible assets	12c		
d	Less accumulated amortization for lines 12a, 12b, and 12c	12d	( )	( )
13	Other assets (attach statement) SEE STATEMENT 16	13	5,715.	5,710.
14	Total assets	14	20,728.	7,101.
	Liabilities and Shareholders' Equity		4 006	
15	Accounts payable	15	4,826.	0.
16	Other current liabilities (attach statement)	16		
17	Derivatives	17		
18	Loans from shareholders and other related persons	18		
19	Other liabilities (attach statement)	19		
20	Capital stock:			
a	Preferred stock	20a		
b	Common stock	20b		
21	Paid-in or capital surplus (attach reconciliation)	21	15 002	7,101.
22	Retained earnings	22	15,902.	7,101.
23	Less cost of treasury stock	23	20,728.	7,101.
24 <b>S</b> A	Total liabilities and shareholders' equity  hedule G Other Information	24	20,720.	7,101.
30	deduie G Other information			Yes No
1	During the tax year, did the foreign corporation own at least a 10% interest, directly or indirectly, i	n any f	oroian	162 140
'	1.0		•	x
	partnersnip?  If "Yes," see the instructions for required statement.			A
2	During the touring did the ferring comparation come interest in any twest			x
3	During the tax year, did the foreign corporation own any foreign entities that were disregarded as		e from	A
3	their owner under Regulations sections 301.7701-2 and 301.7701-3 or did the foreign corporation			
	hranches (aga instructions)?			x
	If "Yes," you are generally required to attach Form 8858 for each entity or branch (see instructions			A
4a	During the tax year, did the filer pay or accrue any base erosion payment under section 59A(d) to	,	aian	
44	corporation or did the filer have a base erosion tax benefit under section $59A(c)(2)$ with respect to			
	payment made or accrued to the foreign corporation (see instructions)?			x
				A
L	If "Yes," complete lines 4b and 4c.		<b>▶</b> ♠	
b	Enter the total amount of the base erosion payments		🟲 🐧	
C	Enter the total amount of the base erosion tax benefit  During the tax year, did the foreign corporation pay or accrue any interest or royalty for which the	dodust	🏲 🖔	
ъа				X
	allowed under section 267A?			A
L	If "Yes," complete line 5b.		<b>▶</b> •	
D	Enter the total amount of the disallowed deductions (see instructions)		🏲 ф	

90

FORM 5471 O	THER INCOME		STATEMENT 13
DESCRIPTION	FUNCTIONA CURRENCY		U.S. DOLLAR
CONTRIBUTIONS	59,729,0	032000663	39,577.
TOTAL TO 5471, SCHEDULE C, LINE 9	59,729,0	032.	39,577.
FORM 5471 OTHE	ER DEDUCTIONS		STATEMENT 14
DESCRIPTION	FUNCTIONA CURRENCY		U.S. DOLLAR
OTHER PROFESSIONAL FEES TRAVEL OFFICE EXPENSES	8,360,	.000663	5,540.
MISCELLANEOUS	498,9		330.
TOTAL TO 5471, SCHEDULE C, LINE 17	9,192,2	251 <b>.</b> ====	6,090.
FORM 5471 OTHER	CURRENT ASSETS	5	STATEMENT 15
DESCRIPTION		BEG. OF ANNUAL ACCOUNTING PERIOD	END OF ANNUAL ACCOUNTING PERIOD
PREPAID EXPENSES		960.	1,032.
TOTAL TO 5471, PAGE 4, SCHEDULE F,	LINE 5	960.	1,032.
FORM 5471 OT	THER ASSETS		STATEMENT 16
DESCRIPTION		BEG. OF ANNUAL ACCOUNTING PERIOD	END OF ANNUAL ACCOUNTING PERIOD
SECURITY DEPOSITS		5,715.	5,710.
TOTAL TO 5471, PAGE 4, SCHEDULE F,	LINE 13	5,715.	5,710.

Scl	nedule G Other Information (continued)		
		Yes	No
6a	Is the filer of this Form 5471 claiming a foreign-derived intangible income deduction (under section 250) with respect		
	to any amounts listed on Schedule M?		X
	If "Yes," complete lines 6b, 6c, and 6d.		
b	Enter the amount of gross income derived from sales, leases, exchanges, or other dispositions (but not licenses)		
	from transactions with the foreign corporation that the filer included in its computation of foreign-derived deduction		
	eligible income (FDDEI) (see instructions)		
C	Enter the amount of gross income derived from a license of property to the foreign corporation that the filer included		
	in its computation of FDDEI (see instructions)		
d	Enter the amount of gross income derived from services provided to the foreign corporation that the filer included in		
	its computation of FDDEI (see instructions)		
7	During the tax year, was the foreign corporation a participant in any cost sharing arrangement?		X
8	During the course of the tax year, did the foreign corporation become a participant in any cost sharing arrangement?		X
9	If the answer to question 7 is "Yes," was the foreign corporation a participant in a cost sharing arrangement that		
	was in effect before January 5, 2009?		X
10	If the answer to question 7 is "Yes," did a U.S. taxpayer make any platform contributions as defined under		
	Regulations section 1.482-7(c) to that cost sharing arrangement during the taxable year?		X
11	If the answer to question 10 is "Yes," enter the present value of the platform contributions in U.S. dollars 🕨 \$		
12	If the answer to question 10 is "Yes," check the box for the method under Regulations section 1.482-7(g) used to		
	determine the price of the platform contribution transaction(s):		
	Comparable uncontrolled transaction method Income method Acquisition price method		
	Market capitalization method Residual profit split method Unspecified methods		
13	From April 25, 2014, to December 31, 2017, did the foreign corporation purchase stock or securities of a		
	shareholder of the foreign corporation for use in a triangular reorganization (within the meaning of Regulations		
	section 1.358-6(b)(2))?		X
14a	Did the foreign corporation receive any intangible property in a prior year or the current tax year for which the U.S.		
	transferor is required to report a section 367(d) annual income inclusion for the taxable year?		X
	If "Yes," go to line 14b.		
	Enter the amount of the earnings and profits reduction pursuant to section 367(d)(2)(B) for the taxable year		
15	During the tax year, was the foreign corporation an expatriated foreign subsidiary under Regulations section		37
	1.7874-12(a)(9)?		X
40	If "Yes," see instructions and attach statement.		
16	During the tax year, did the foreign corporation participate in any reportable transaction as defined in Regulations section 1.6011-4?		Х
	section 1.6011-4?  If "Yes," attach Form(s) 8886 if required by Regulations section 1.6011-4(c)(3)(i)(G).		22
17	During the tax year, did the foreign corporation pay or accrue any foreign tax that was disqualified for credit under		
"	section 901(m)?		Х
18	During the tax year, did the foreign corporation pay or accrue foreign taxes to which section 909 applies, or treat		
	foreign taxes that were previously suspended under section 909 as no longer suspended?		Х
19	Did you answer "Yes" to any of the questions in the instructions for line 19?		X
	If "Yes," enter the corresponding code(s) from the instructions and attach statement (see instructions)		
20	Does the foreign corporation have interest expense disallowed under section 163(j) (see instructions)?		Х
	If "Yes," enter the amount		
21	Does the foreign corporation have previously disallowed interest expense under section 163(j) carried forward		
	to the current tax year (see instructions)?		Х
	If "Yes," enter the amount \$\rightarrow\$\$		

#### Schedule I Summary of Shareholder's Income From Foreign Corporation

If item F on page 1 is completed, a separate Schedule I must be filed for each Category 4 or 5 filer for whom reporting is furnished on this Form 5471. This Schedule I is being completed for:

Name of	U.S. shareholder	Identifying number				
1a	Section 964(e)(4) Subpart F dividend income from the sale of stock of a lower-	r-tier foreign corporation				
	(see instructions)		1a			
b	Section 245A(e)(2) Subpart F income from hybrid dividends of tiered corporat	tions (see instructions)	1b			
C	Section 954(c) Subpart F Foreign Personal Holding Company Income (enter re	esult from Worksheet A)	1c			
d	Section 954(d) Subpart F Foreign Base Company Sales Income (enter result fr	rom Worksheet A)	1d			
е	Section 954(e) Subpart F Foreign Base Company Services Income (enter resul	ılt from Worksheet A)	1e			
f	Other subpart F income (see instructions)		1f			
2	Earnings invested in U.S. property (enter the result from Worksheet B in the in-		2			
3	Section 245A eligible dividends (see instructions)		3			
	Factoring income		4			
	See instructions for reporting amounts on lines 1, 2, and 4 on your income tax					
5	Dividends received (translated at spot rate on payment date under section 989	9(b)(1))	5			
6	Exchange gain or (loss) on a distribution of previously taxed earnings and prof	ofits	6			
					Yes	No
<ul><li>Was a</li></ul>	ny income of the foreign corporation blocked?					Х
<ul><li>Did an</li></ul>	y such income become unblocked during the tax year (see section 964(b))?					X
If the ans	swer to either question is "Yes," attach an explanation.					
				- 474 /-		00 10

#### SCHEDULE M (Form 5471)

(Rev. December 2018) Department of the Treasury Internal Revenue Service

Name of person filing Form 5471

#### Transactions Between Controlled Foreign Corporation and Shareholders or Other Related Persons

► Attach to Form 5471.

▶ Go to www.irs.gov/Form5471 for instructions and the latest information.

OMB No. 1545-0123

Identifying number

NATURAL	RESOURCE	GOVERNANCE	INSTI	TUTE
Name of foreign	corporation			EIN (if anv)

20-4451390

Reference ID number

00000000 204451390 NRGI TZ LIMITED Important: Complete a separate Schedule M for each controlled foreign corporation. Enter the totals for each type of transaction that occurred during the annual accounting period between the foreign corporation and the persons listed in columns (b) through (f). All amounts must be stated in U.S.

dollars translated from functional currency at the average exchange rate for the foreign corporation's tax year. See instructions. Enter the relevant functional currency and the exchange rate used throughout this schedule **TANZANIA**, (C) Any domestic corporation or partnership controlled by (f) 10% or more U.S. shareholder of (d) Any other foreign corporation or partnership (e) 10% or more U.S. shareholder of controlled (a) Transactions of (b) U.S. person filing this return foreign corporation (other than the U.S. person filing this return) any corporation controlling the foreign corporation controlled by foreign corporation U.S. person filing this return 1 Sales of stock in trade (inventory) 2 Sales of tangible property other than stock in trade 3 Sales of property rights (patents, trademarks, etc.) 4 Platform contribution transaction payments received 5 Cost sharing transaction payments received 6 Compensation received for technical, managerial, engineering, construction, or like services 7 Commissions received ..... 8 Rents, royalties, and license fees received  $\boldsymbol{9}\,$  Hybrid dividends received (see instr.) ... 10 Dividends received (exclude hybrid dividends, deemed distributions under subpart F, and distributions of previously taxed income) ...... 11 Interest received 12 Premiums received for insurance or reinsurance 13 Add lines 1 through 12.... **14** Purchases of stock in trade (inventory) 15 Purchases of tangible property other than stock in trade 16 Purchases of property rights (patents, trademarks, etc.) 17 Platform contribution transaction payments paid 18 Cost sharing transaction payments paid 19 Compensation paid for technical, managerial, engineering, construction, or like services ..... 20 Commissions paid 21 Rents, royalties, and license fees paid 22 Hybrid dividends paid (see instructions)
23 Dividends paid (exclude hybrid dividends paid) ...... 24 Interest paid 25 Premiums paid for insurance or reinsurance **26** Add lines 14 through 25 ..... 27 Accounts Payable 28 Amounts borrowed (enter the maximum loan balance during the year) - see instr. 29 Accounts Receivable 30 Amounts loaned (enter the maximum loan balance during the year) - see instr.

912371 04-01-19 LHA For Paperwork Reduction Act Notice, see the Instructions for Form 5471.

Schedule M (Form 5471) (Rev. 12-2018)

#### Form **8868**

(Rev. January 2020)

Department of the Treasury Internal Revenue Service

### Application for Automatic Extension of Time To File an Exempt Organization Return

File a separate application for each return.

► Go to www.irs.gov/Form8868 for the latest information.

OMB No. 1545-0047

**Electronic filing (e-file).** You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit <a href="https://www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits">www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits</a>.

filing of the	his form, visit www.irs.gov/e-file-providers/e-file-for-chari	ities-and-r	non-profits.				
Autom	atic 6-Month Extension of Time. Only subm	nit origin	al (no copies needed).				
All corpo	rations required to file an income tax return other than Fore Form 7004 to request an extension of time to file income	orm 990-T	(including 1120-C filers), partnership	os, REMIC	Cs, and trusts		
Type or print	Name of exempt organization or other filer, see instru	ctions.		Taxpayer	r identification numb	er (TIN)	
print	NATURAL RESOURCE GOVERNANCI	E INS	TITUTE		20-445139	0	
File by the due date for filing your return. See instructions	we date for ing your turn. See structions.  Number, street, and room or suite no. If a P.O. box, see instructions.  80 BROAD STREET, NO. 1801  City, town or post office, state, and ZIP code. For a foreign address, see instructions.						
Entor the	NEW YORK, NY 10004  Return Code for the return that this application is for (file	0.0.00001	ate application for each return			011	
		1				<del>                                     </del>	
Applicat Is For	ion	Return Code	Application Is For			Return Code	
	O or Form 990-EZ	01	Form 990-T (corporation)			07	
					08		
Form 472						09	
					10		
Form 990-T (sec. 401(a) or 408(a) trust) 05 Form 6069 1					11		
Form 990	O-T (trust other than above)	06	Form 8870			12	
Telepl  If the	ooks are in the care of ► 80 BROAD STREE?  hone No. ► 718-395-5149  organization does not have an office or place of business is for a Group Return, enter the organization's four digit  If it is for part of the group, check this box ►	s in the Ui Group Exe	Fax No. ▶nited States, check this box	f this is fo	r the whole group, c		
the	equest an automatic 6-month extension of time until e organization named above. The extension is for the organization year $\frac{2019}{}$ or	NOVE:	MBER 16, 2020 , to file s return for:		npt organization retu		
	his application is for Forms 990-BL, 990-PF, 990-T, 4720, y nonrefundable credits. See instructions.	, or 6069,	enter the tentative tax, less	3a	\$	0.	
	his application is for Forms 990-PF, 990-T, 4720, or 6069	, enter an	y refundable credits and				
est	timated tax payments made. Include any prior year overp	payment a	llowed as a credit.	3b	\$	0.	
	lance due. Subtract line 3b from line 3a. Include your pa					_	
	ng EFTPS (Electronic Federal Tax Payment System). See			3c	\$	0.	
Caution: instruction	: If you are going to make an electronic funds withdrawal ons.	(direct de	ebit) with this Form 8868, see Form 8	3453-EO ai	nd Form 8879-EO fo	r payment	

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form 8868 (Rev. 1-2020)

LHA

#### Form **8868**

(Rev. January 2020)

Department of the Treasury Internal Revenue Service

### **Application for Automatic Extension of Time To File an Exempt Organization Return**

File a separate application for each return.

► Go to www.irs.gov/Form8868 for the latest information.

OMB No. 1545-0047

Electronic filing (e-file). You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic

filing of th	nis form, visit www.irs.gov/e-file-providers/e-file-for-chari	ities-and-r	non-profits.			
Autom	atic 6-Month Extension of Time. Only subm	nit origin	al (no copies needed).			
All corpo	rations required to file an income tax return other than Fo	orm 990-T	(including 1120-C filers), partnership	os, REMIC	s, and trusts	
must use	Form 7004 to request an extension of time to file incom	e tax retu	rns.			
Type or	Name of exempt organization or other filer, see instru	ctions.		Taxpayer	ridentificatio	n number (TIN)
print	NATURAL RESOURCE GOVERNANCE	E INS	TITUTE		20-44	51390
File by the due date for filing your return. See Number, street, and room or suite no. If a P.O. box, see instructions.  80 BROAD STREET, NO. 1801						
instructions.	City, town or post office, state, and ZIP code. For a for NEW YORK, NY 10004					
Enter the	Return Code for the return that this application is for (fil	e a separa	ate application for each return)			0   7 <u> </u>
Applicati	on	Return	Application			Return
Is For		Code	Is For			Code
Form 990	or Form 990-EZ	01	Form 990-T (corporation)			07
Form 990	P-BL	02	Form 1041-A			08
Form 4720 (individual) 03 Form 4720 (other than individual)					09	
Form 990	)-PF	04	Form 5227			10
Form 990	Form 990-T (sec. 401(a) or 408(a) trust) 05 Form 6069				11	
Form 990-T (trust other than above) 06 Form 8870 12  FEI ZHENG					12	
Teleph  If the	books are in the care of ▶ 80 BROAD STREE!  none No. ▶ 718-395-5149  organization does not have an office or place of business is for a Group Return, enter the organization's four digit  If it is for part of the group, check this box ▶	s in the Ur Group Exe	Fax No. ▶nited States, check this box	f this is fo	r the whole g	roup, check this
the ▶	quest an automatic 6-month extension of time until organization named above. The extension is for the org calendar year 2019 or tax year beginning tax year entered in line 1 is for less than 12 months, concluded the control of the	anization's	s return for:	the exem		ion return for
	nis application is for Forms 990-BL, 990-PF, 990-T, 4720, nonrefundable credits. See instructions.	, or 6069,	enter the tentative tax, less	3a	\$	0.
b If th	nis application is for Forms 990-PF, 990-T, 4720, or 6069	, enter an	y refundable credits and			
	imated tax payments made. Include any prior year overp			3b	\$	2,080.
	ance due. Subtract line 3b from line 3a. Include your pa	•				•
	ng EFTPS (Electronic Federal Tax Payment System). See			Зс	\$	0.
Caution: instruction	If you are going to make an electronic funds withdrawal ns.	(direct de	ebit) with this Form 8868, see Form 8	453-EO aı	nd Form 887	9-EO for payment
LHA F	or Privacy Act and Paperwork Reduction Act Notice,	see instr	uctions.		Form 8	868 (Rev. 1-2020)

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## **CHAR500**

NYS Annual Filing for Charitable Organizations www.CharitiesNYS.com

Send with fee and attachments to:

NYS Office of the Attorney General
Charities Bureau Registration Section
28 Liberty Street
New York, NY 10005

2019

Open to Public Inspection

#### 1.General Information

1.General Informat	.1011							
For Fiscal Year Beginning (mm/dd/yyyy) 01/01/2019 and Ending (mm/dd/yyyy) 12/31/2019								
Check if Applicable:  Address Change		rganization: <b>AL RESOUR</b>	CE GOVERNAI	ICE IN	STITU	JTE	Employer Identification Number ( $20-4451390$	(EIN):
Name Change Initial Filing	Mailing Add		T, NO. 1801				NY Registration Number: 43-76-24	
Final Filing  Amended Filing	City / State / ZIP: Telephone: NEW YORK, NY 10004 646 929						Telephone: 646 929-9750	
Reg ID Pending	Website:	ESOURCEGO	VERNANCE.OI	 RG			Email:	
Check your organization's								
registration category:	7A (	only EPTL	only X DUAL (	7A & EPTL)	)		Confirm your Registration Category in th Charities Registry at www.CharitiesNYS.o	
2. Certification								
See instructions for certif two signatories.	fication requ	irements. Imprope	r certification is a viol	ation of law	that may	y be subjec	to penalties. The certification requi	ires
We certify under r	nenalties of r	periury that we revi	ewed this report incl	udina all att	tachment	ts and to th	e best of our knowledge and belief,	
							applicable to this report.	
					SUNE	ETA KA	IMAL	
President or Authorized	Officer:						ESIDENT AN	
		Signature					e and Title Date	-
		· ·			LUIS	GARCI.	A	
Chief Financial Officer of	r Treasurer:				CFO			_
		Signature				Print Name	e and Title Date	
3. Annual Reporting								
' ''			•	•	•		egory (7A or EPTL only filers) or both	
							ied Char500. No fee, schedules, or	
schedules and attachments at			an exemption or are	a DUAL THE	er that cla	aims only or	ne exemption, you must file applicat	эіе
Scriedules and attachmen	rits ariu pay	applicable lees.						
3a. 7A filir	na exemptior	n: Total contributio	ns from NY State inc	udina resid	lents, fou	ındations. d	overnment agencies, etc. did not	
	<u> </u>	_		-			raising counsel (FRC) to solicit	
contribution	ons during th	ne fiscal year.						
3b. EPTL	filing exemp	tion: Gross receipt	s did not exceed \$25	,000 and th	ne market	t value of as	sets did not exceed \$25,000 at any	/ time
during the	e fiscal year.							
4. Schedules and A	ttacnmer	nts						
See the following page		<b>V</b>						
for a checklist of Yes X No 4a. Did your organization use a professional fund raiser, fund raising counsel or commercial co-venturer								
schedules and for fund raising activity in NY State? If yes, complete Schedule 4a.								
attachments to complete your filing. X Yes No 4b. Did the organization receive government grants? If yes, complete Schedule 4b.								
complete your filing. X Yes No 4b. Did the organization receive government grants? If yes, complete Schedule 4b.								
5. Fee								
See the checklist on the	7A filir	ng fee:	EPTL filing fee:	Tota	al fee:			
next page to calculate yo		-	J				Make a single check or money or	rder
fee(s). Indicate fee(s) you	l l						payable to:	
are submitting here:	\$	25.	\$ <u>250.</u>	\$_	2	75 <u>.</u>	"Department of Law"	
<u> </u>			l .					

CHAR500 Annual Filing for Charitable Organizations (Updated January 2020)

Example dategory relief to an organization of the regional of status. It does not relief to its line tax designation.

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<sup>\*</sup>The "Exempt" category refers to an organization's NYS registration status. It does not refer to its IRS tax designation.

Annual Filing Checklist

Simply submit the certified CHAR500 with no fee, schedule, or additional attachments IF:

- Your organization is registered as 7A only and you marked the 7A filing exemption in Part 3.
- Your organization is registered as EPTL only and you marked the EPTL filing exemption in Part 3.
- Your organization is registered as DUAL and you marked both the 7A and EPTL filing exemption in Part 3.

#### **Checklist of Schedules and Attachments**

Check the schedules you must submit with your CHAR500 as described in Part 4:  If you answered "yes" in Part 4a, submit Schedule 4a: Professional Fund Raiser  If you answered "yes" in Part 4b, submit Schedule 4b: Government Grants	s (PFR), Fund Raising Counsel (FRC), Commercial Co-Venturers (CCV)
Check the financial attachments you must submit with your CHAR500:  X IRS Form 990, 990-EZ, or 990-PF, and 990-T if applicable  X All additional IRS Form 990 Schedules, including Schedule B (Schedule of C disclosure and will not be available for public review.  Our organization was eligible for and filed an IRS 990-N e-postcard. Our rever filing year. We have included an IRS Form 990-EZ for state purposes only.	
If you are a 7A only or DUAL filer, submit the applicable independent Certified Publicable Review Report if you received total revenue and support greater than \$250,000 Audit Report if you received total revenue and support greater than \$750,000 No Review Report or Audit Report is required because total revenue and support We are a DUAL filer and checked box 3a, no Review Report or Audit Report is	000 and up to \$750,000. Opport is less than \$250,000
Calculate Your Fee	
For 7A and DUAL filers, calculate the 7A fee:  \$0, if you checked the 7A exemption in Part 3a  \$\overline{X}\$\$ \$25, if you did not check the 7A exemption in Part 3a	Is my Registration Category 7A, EPTL, DUAL or EXEMPT? Organizations are assigned a Registration Category upon registration with the NY Charities Bureau: 7A filers are registered to solicit contributions in New York under Article 7-A of the Executive Law ("7A")
For EPTL and DUAL filers, calculate the EPTL fee:  \$0, if you checked the EPTL exemption in Part 3b  \$25, if the NET WORTH is less than \$50,000  \$50, if the NET WORTH is \$50,000 or more but less than \$250,000  \$100, if the NET WORTH is \$250,000 or more but less than \$1,000,000  \$250, if the NET WORTH is \$1,000,000 or more but less than \$10,000,000	EPTL filers are registered under the Estates, Powers & Trusts Law ("EPTL") because they hold assets and/or conduct activities for charitable purposes in NY.  DUAL filers are registered under both 7A and EPTL.  EXEMPT filers have registered with the NY Charities Bureau and meet conditions in Schedule E - Registration  Exemption for Charitable Organizations. These organizations are not required to file annual financial reports
\$750, if the NET WORTH is \$10,000,000 or more but less than \$50,000,000 \$1500, if the NET WORTH is \$50,000,000 or more  Send Your Filing	but may do so voluntarily.  Confirm your Registration Category and learn more about NY law at <a href="https://www.charitiesNYS.com">www.charitiesNYS.com</a> .
Send your CHAR500, all schedules and attachments, and total fee to:	Where do I find my organization NET WORTH?  NET WORTH for fee purposes is calculated on:  JRS Form 990 Part Lline 22
NYS Office of the Attorney General Charities Bureau Registration Section 28 Liberty Street New York, NY 10005	<ul> <li>- IRS Form 990 Part I, line 22</li> <li>- IRS Form 990 EZ Part I, line 21</li> <li>- IRS Form 990 PF, calculate the difference between Total Assets at Fair Market Value (Part II, line 16(c)) and Total Liabilities (Part II, line 23(b)).</li> </ul>

968461 01-08-20 1019 CHAR500 Annual Filing for Charitable Organizations (Updated January 2020)

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www.CharitiesNYS.com

(212) 416-8401 Email: Charities.Bureau@ag.ny.gov

Need Assistance?

Visit:

Call:

### **CHAR500**

Schedule 4b: Government Grants www.CharitiesNYS.com

2019

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If you checked the box in question 4b in Part 4, complete this schedule and list EACH government grant award by a domestic (federal, state or local) agency; interstate or intergovernmental agency (for example Port Authority of New York and New Jersey); and state or local authorities. **Use additional pages if necessary.** Include this schedule with your certified CHAR500 NYS Annual Filing for Charitable Organizations.

#### 1. Organization Information

Name of Organization:		NY Registration Number:
NATURAL RESOURCE GOVERNA	NCE INSTITUTE	43-76-24

#### 2. Government Grants

Name of Government Agency		Amount of Grant
1. STATE SECRETARIAT FOR ECONOMIC AFFAIRS	1.	199,900.
2. UK DEPARTMENT FOR INTERNATIONAL DEVELOPMENT	2.	4,762,614.
3. DETSCHE GESELLSCHAFT FUR INTERNATIONALE ZUSAMMENARBE	3.	345,563.
4. SWISS FEDERAL DEPARTMENT OF FOREIGN AFFAIRS	4.	425,867.
5. NORWEGIAN AGENCY FOR DEVELOPMENT COOPERATION	5.	1,288,325.
6. U.S DEPARTMENT OF STATE	6.	25,691.
7.	7.	
8.	8.	
9.	9.	
10.	10.	
11.	11.	
12.	12.	
13.	13.	
14.	14.	
15.	15.	
Total Government Grants:	Total:	7,047,960.